# Image Analysis and Computer Vision

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February 14<sup>th</sup> 2024 UEM, Maputo

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# Image Classification

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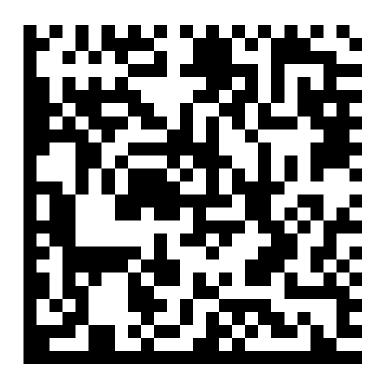
#### Course Slides

Slides can be found on my website

https://boracchi.faculty.polimi.it/

and follow Tutorials and Talks

https://boracchi.faculty.polimi.it/seminars.html

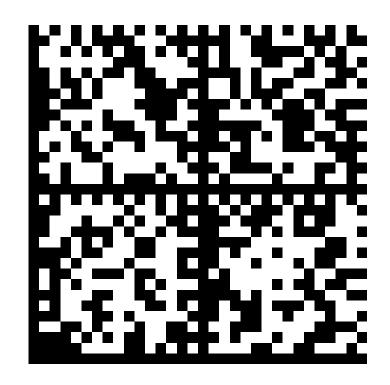


### Colab Folder

In this folder you will find, regularly updated notebooks

https://drive.google.com/drive/folders/10j99rb2 kKo4KpLxca-uMe7uesy-8RZeD

Notebooks require you to "fill in" some codes or to extend codes we illustrate during lectures to new data/new challenges

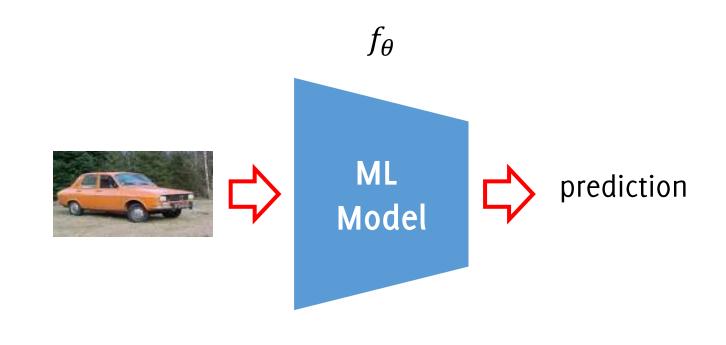


# A Machine Learning Take on Image Understanding

# Machine Learning Paradigms

#### **Supervised Learning**

- Classification
- Regression



$$x \qquad \qquad f_{\theta}(x) = y$$

# Machine Learning Paradigms

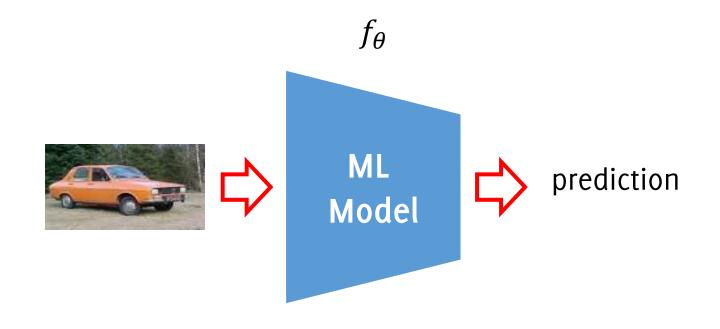
#### **Supervised Learning**

- Classification
- Regression

#### **Unsupervised Learning**

- Clustering
- Anomaly Detection

•



$$x \qquad \qquad \qquad \int f_{\theta}(x) = y$$

## Machine Learning Paradigms

#### **Supervised Learning**

Classification

 $f_{\theta}$ 

Regression

Learning consists is (automatically) defining the parameters  $\theta$  of the model f. Unsupervised Different settings applies, which give rise to the

prediction

Clustering

supervised and unsupervised settings

**Anomaly Detection** 

$$\boldsymbol{\chi}$$



$$f_{\theta}(x) = y$$

## Supervised Learning

In Supervised Learning we are given a training in the form:

$$TR = \{(x_1, y_1), \dots, (x_n, y_n)\}$$

#### where

- $x_i \in \mathbb{R}^d$  is the input
- $y_i \in \Lambda$  is the target, the expected output of the model to  $x_i$

The set  $\Lambda$  can be

- A discrete set, as in classification  $\Lambda = \{\text{"brown", "green", "blue"}\}\$  (e.g., possible eye colors)
- An ordinal set (often continuous set,  $\mathbb{R}$ ) in case of regression.

Λ can be also multivariate (e.g., regressing weight and height of an individual or estimating they eye colors and heirs color)

# Training Set for (binary) Image Classification



Cars



Motorcycles

$$TR = \{(x_1, y_1), ..., (x_n, y_n)\}$$

- $x_i \in \mathbb{R}^{R \times C \times 3}$  is the input image
- $y_i \in \{\text{"car", "motorcycle"}\}\$

# Inference Using the Trained Classifier









Motorcycles

Classifier



# Supervised learning: Regression







Regressor -



3800\$

## Training Set for Regression



$$TR = \{(x_1, y_1), ..., (x_n, y_n)\}$$

- $x_i \in \mathbb{R}^{R \times C \times 3}$  is the input image
- $y_i \in \mathbb{R}$

# Supervised learning: Regression







Regressor -



3800\$

### Remarks

- Number of classes can be larger than two (multiclass classification, e.g., {"car", "motorcycle","truck"})
- The input size in general needs to be fixed
- The number of outputs for regression can be larger (multivariate regression, e.g., estimating cost and weight of the vehicle)
- Training a Classifier or a Regressor requires different losses
- Difference between classification or regression is not only on the fact that  $\Lambda$  discrete, but whether it is ordinal
  - Λ categorical (no ordinal) → classification
  - Λ ordinal (either discrete or continuous) -> regression

# Give a few examples of

#### Classification problem in images

- •
- •
- •
- •
- •

#### Regression problems on images

- •
- •
- •
- •

## Unupervised Learning

In Unsupervised Learning, the training set contains only inputs,

$$TR = \{x_1, \dots, x_n\}$$

and the goal is to find structure in the data, like

- grouping or clustering of data points
- estimating probability density distribution
- detecting outliers

• ...

































































































































































# Unsupervised learning: Anomaly Detection





































## Unupervised Learning

In **Unsupervised Learning**, the training set contains only inputs,  $TR = \{x_1, ..., x_n\}$ 

and the goal is to find structure in the data, like

- grouping or clustering of data points
- estimating probability density distribution
- detecting outliers

•

We will see that in Deep Learning, Unsupervised learning (or self-supervised learning) can also be used to learn meaningful representations of data, to ease classification problem



# Image Classification



 $\Lambda = \{\text{"wheel", "cars", ..., "castle", "baboon"}\}$ 

**二**〉"wheel"



castle"

## Image Classification



 $\Lambda = \{\text{"wheel", "cars", ..., "castle", "baboon"}\}$ 

"wheel" 65%, "tyre" 30%...



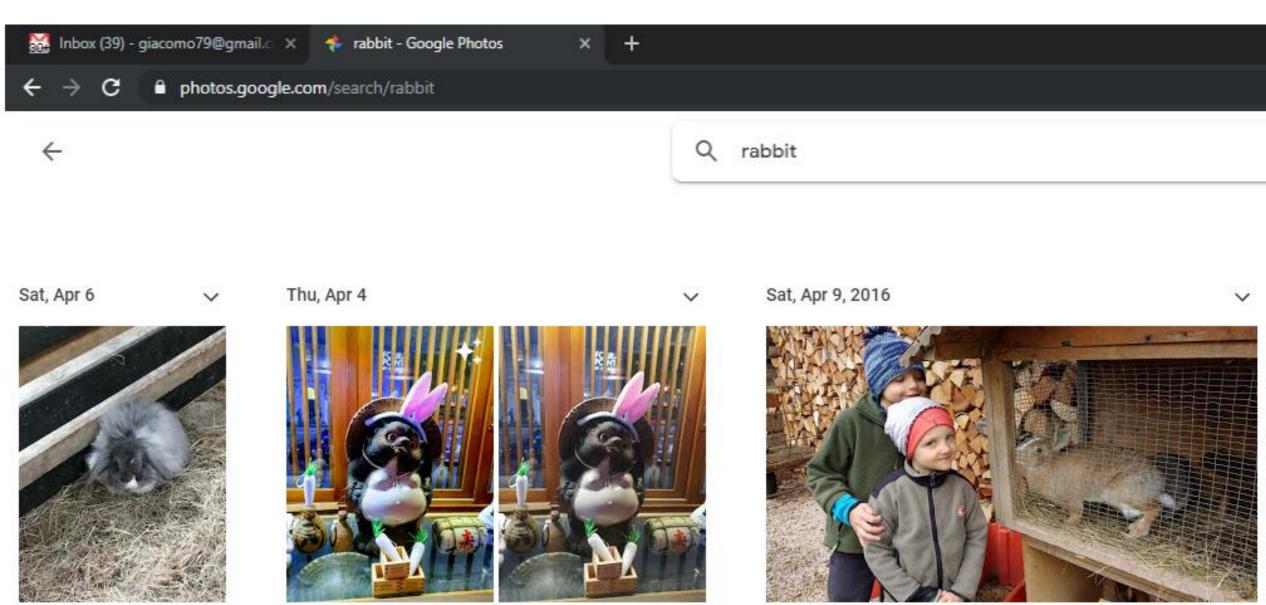
## Image Classification, the problem

Assign to an input image  $x \in \mathbb{R}^{R \times C \times 3}$ :

• a label y from a fixed set of categories  $\Lambda = \{\text{"wheel", "cars", ..., "castle", "baboon"}\}$ 

$$x \to f_{\theta}(x) \in \Lambda$$

# Image Classification Example



# Is Image Classification a Challenging Problem?

Yes, it is...

First challenge: dimensionality

Images are very high-dimensional image data

### CIFAR-10 dataset

The CIFAR-10 dataset contains 60000 images:

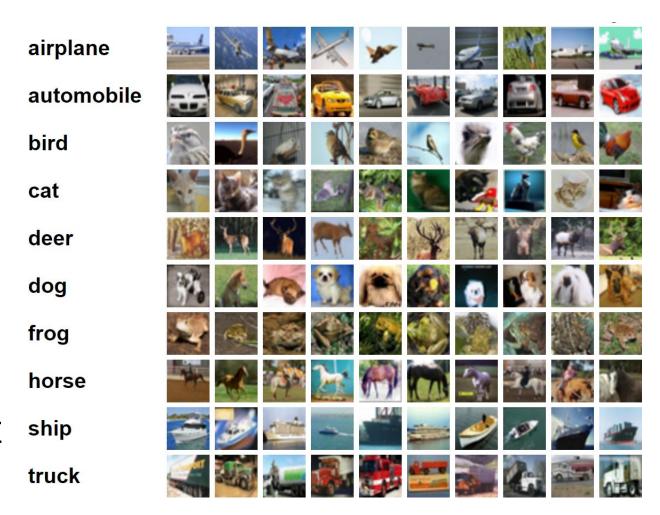
Each image is 32x32 RGB

Images are in 10 classes

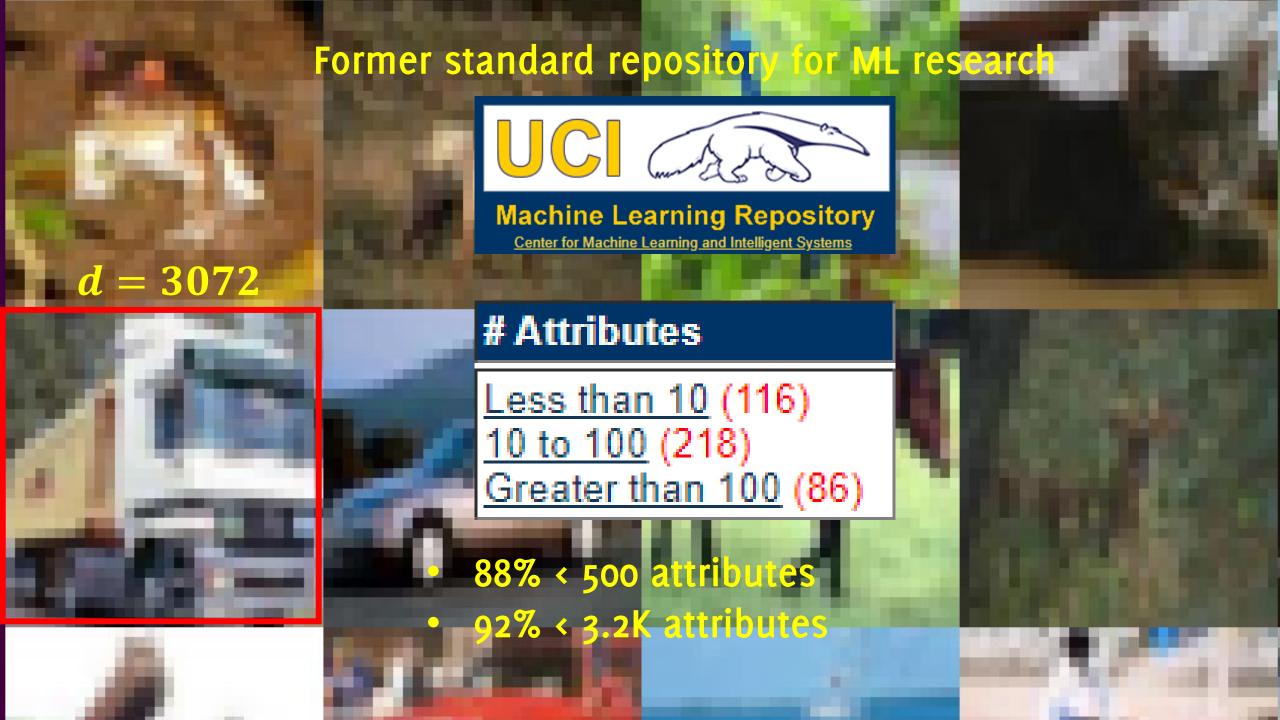
6000 images per class

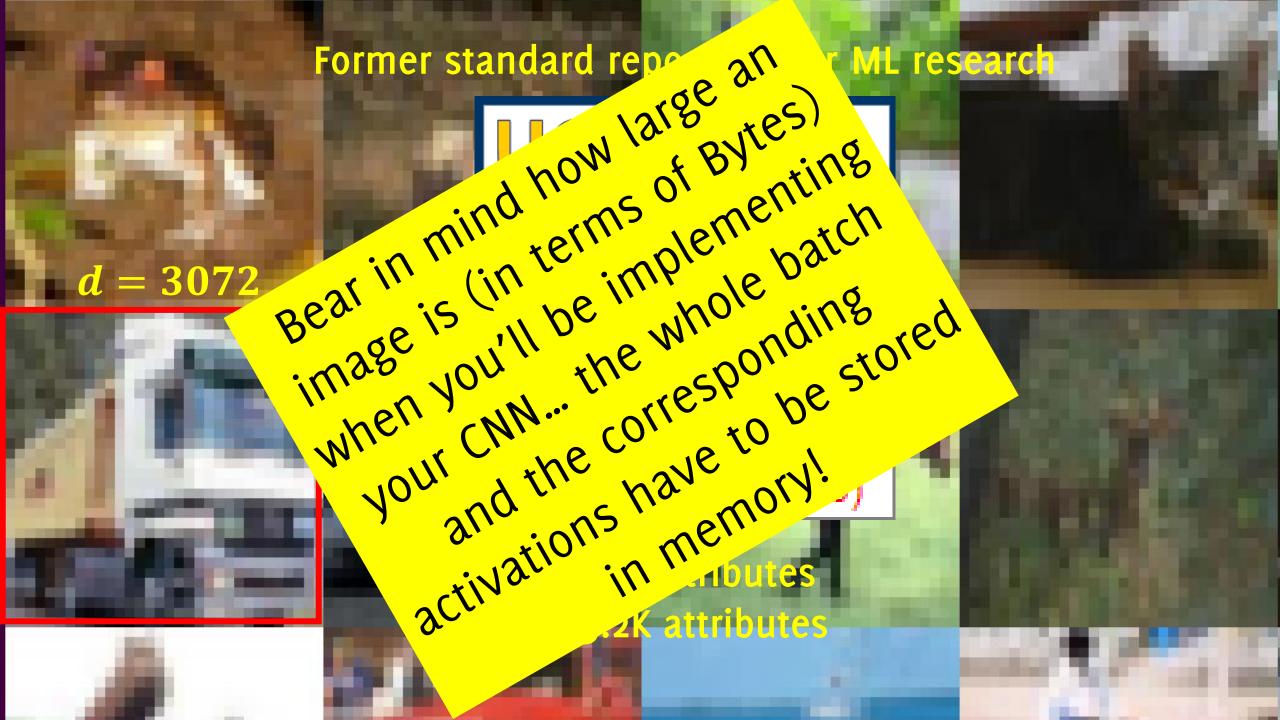
Extremely small images, but high-dimensional:

$$d = 32 \times 32 \times 3 = 3072$$









Second challenge: label ambiguity

A label might not uniquely identify the image

# Second challenge: label ambiguity

Man?

Beer?

Dinner?

Restaurant?

Sausages?

••••



#### Third challenge: transformations

There are many transformations that change the image dramatically, while not its label

Changes in the Illumination Conditions













#### **Deformations**





Copyright Christine Matthews





© Copyright Patrick Roper

# View Point Change



### ... and many others







Background clutter







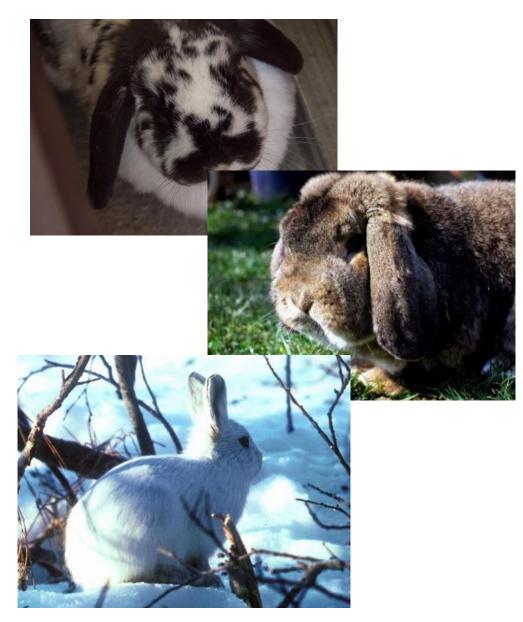
CS231n: Convolutional Neural Networks for Visual Recognition http://cs231n.github.io/

## Fourth challenge: inter-class variability

Images in the same class might be dramatically different

# Inter-class variability





Fifth problem: perceptual similarity

Perceptual similarity in images is not related to pixel-similarity

### Nearest Neighborhood Classifiers for Images

Assign to each test image, the label of the closest image in the training set

$$\hat{y}_j = y_{j^*}$$
, being  $j^* = \underset{i=1...N}{\operatorname{argmin}} d(x_j, x_i)$ 

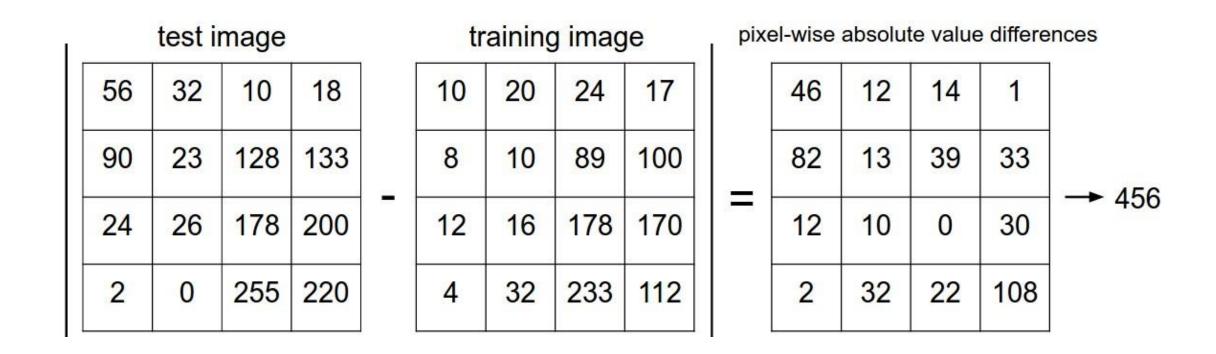
Distances are typically measured as

$$d(x_j, x_i) = ||x_j - x_i||_2 = \sqrt{\sum_k ([x_j]_k - [x_i]_k)^2}$$

Or

$$d(x_j,x_i) = |x_j - x_i| = \sum_{\nu} |[x_j]_k - [x_i]_k|$$

### Pixel-wise distance among images



# K-Nearest Neighborhood Classifiers for Images

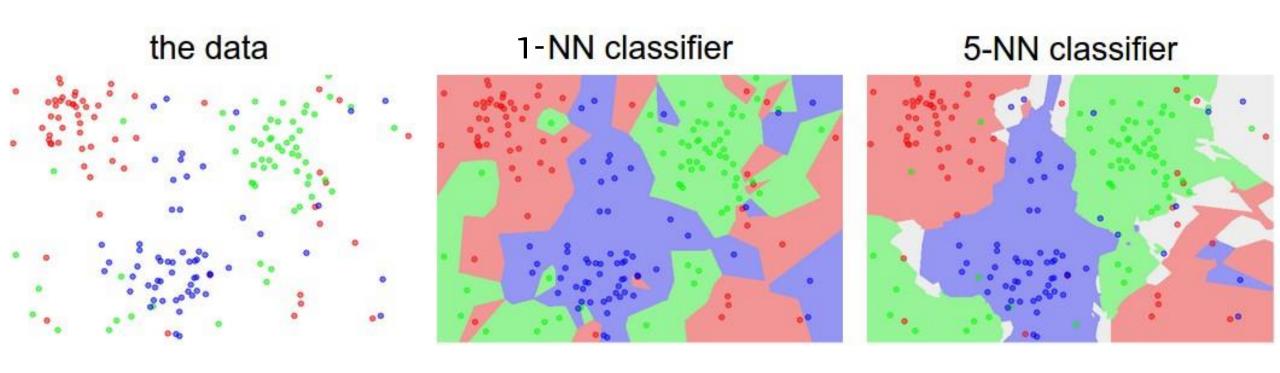
Assign to each test image, the most frequent label among the K —closest images in the training set

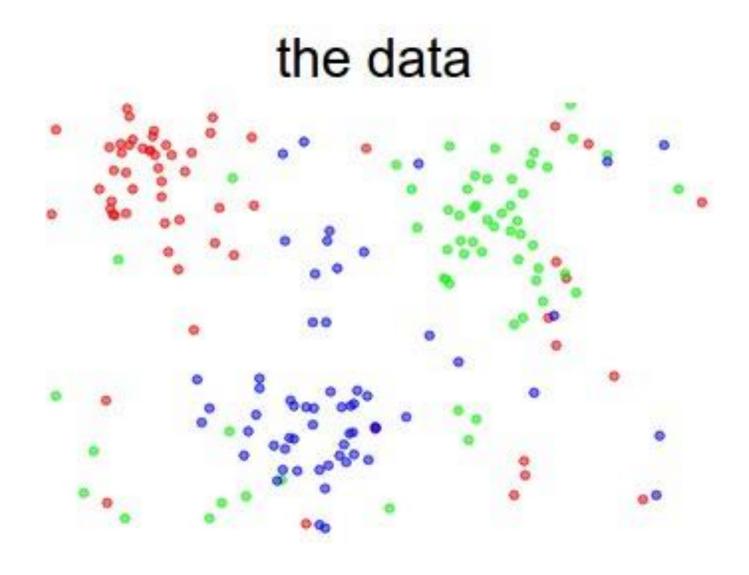
$$\hat{y}_j = y_{j^*}$$
, being  $j^*$  the mode of  $\mathcal{U}_K(x_j)$ 

where  $\mathcal{U}_K(x_j)$  contains the K closest training images to  $x_j$ 

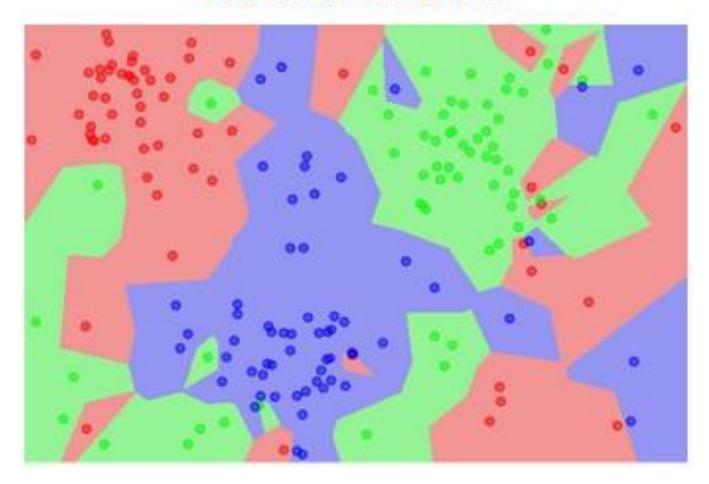
Setting the parameter K and the distance measure is an issue

### Nearest Neighborhood Classifier (k-NN) for Images

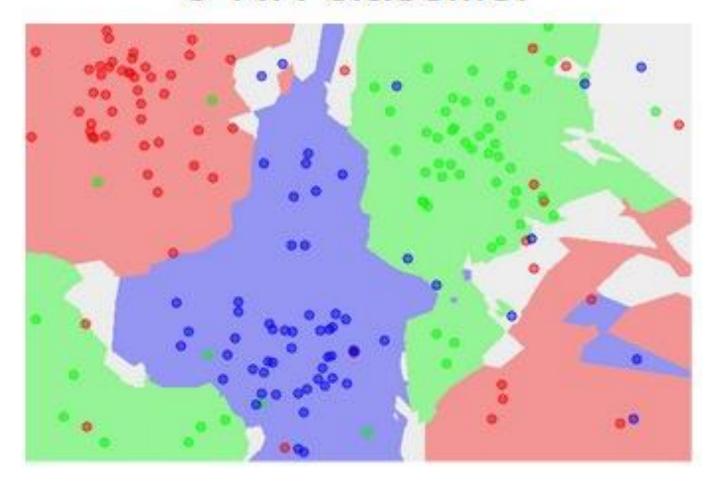




#### NN classifier



#### 5-NN classifier



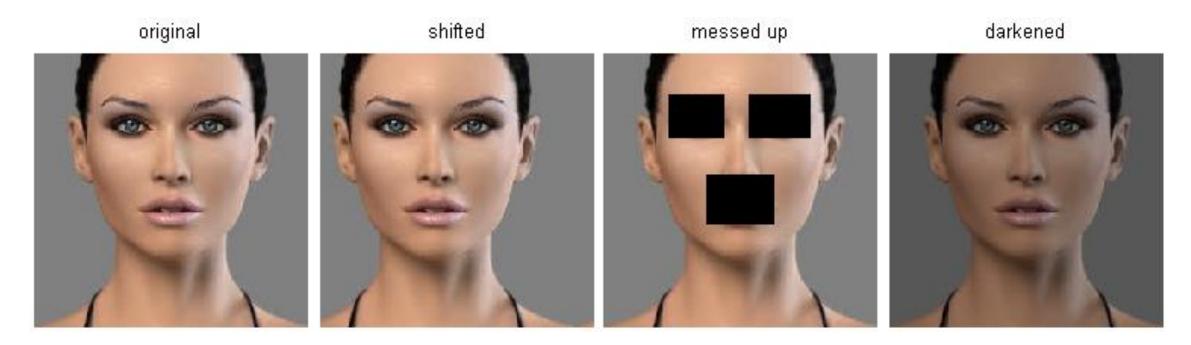
#### Pros:

- Easy to understand and implement
- It takes no training time

#### Cons:

- Computationally demanding at test time, when TR is large and d is also large.
- Large training sets must be stored in memory.
- Rarely practical on images: distances on high-dimensional objects are difficult to interpret.

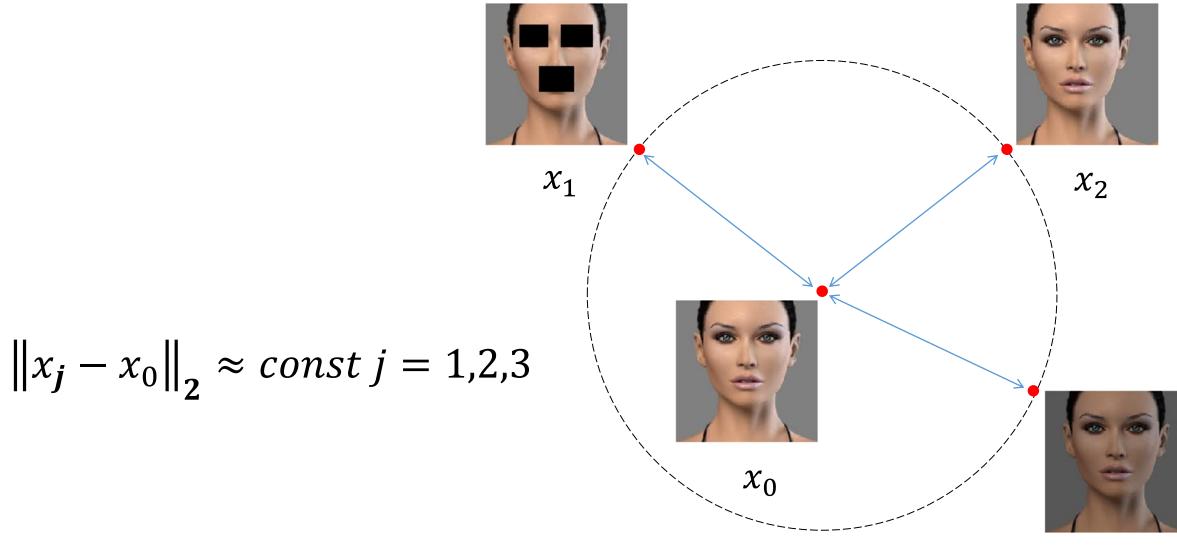
#### Perceptual Similarity vs Pixel Similarity



The three images have the same pixel-wise distance from the original one...

...but perceptually they are very different

#### Perceptual Similarity vs Pixel Similarity





### On CIFAR10 we see exactly this problem



#### On CIFAR10 we see exactly this problem



#### On CIFAR10 we see exactly this problem



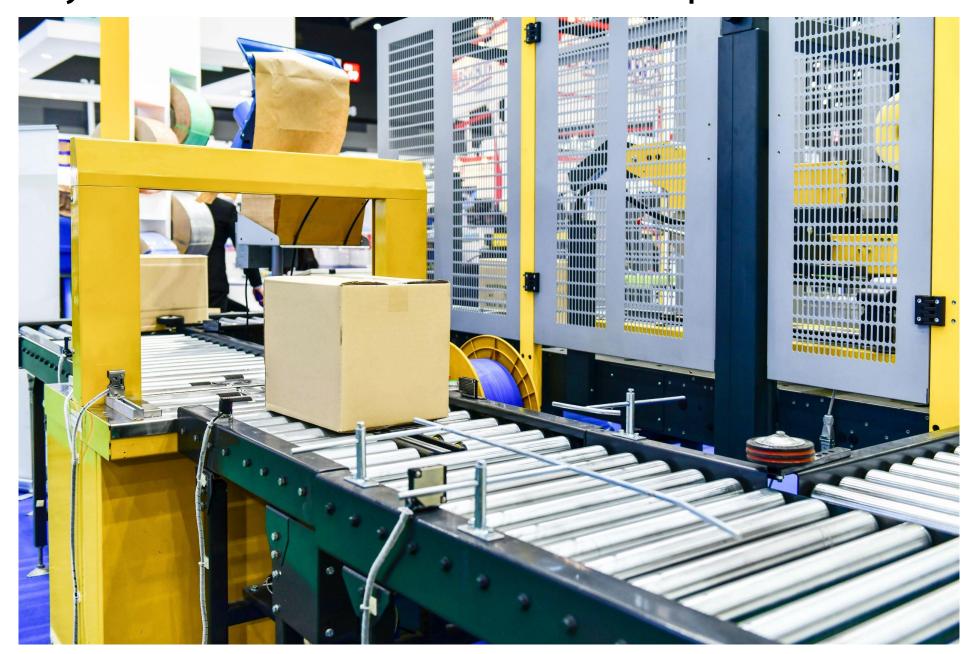
# Hand-Crafted Features

How images / signals were classified before deep learning

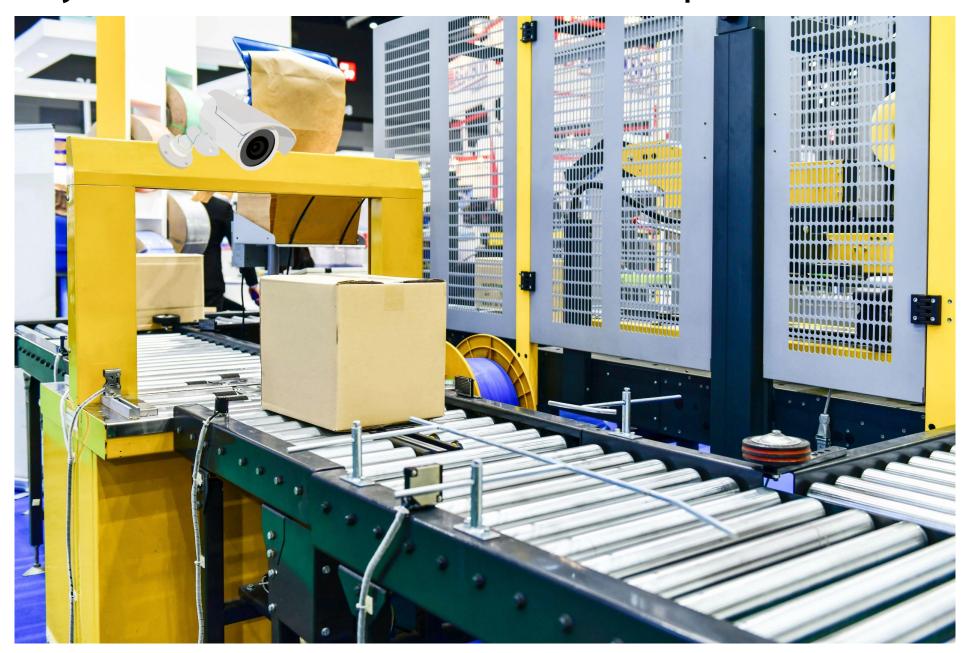
Assume you need to automatize this process



#### Assume you need to automatize this process

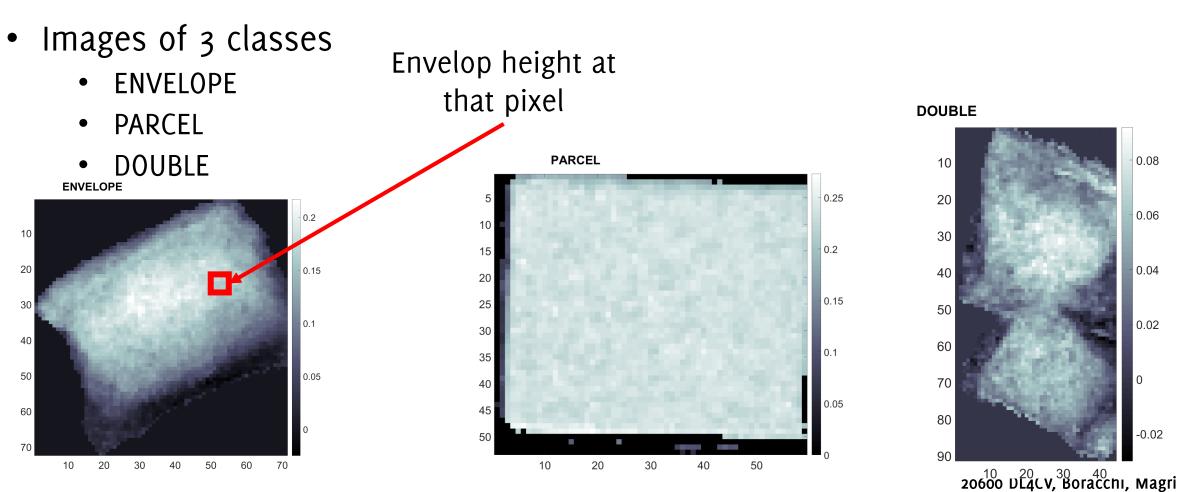


#### Assume you need to automatize this process



Images acquired from an RGB-D sensor:

No color information provided



0.08

0.06

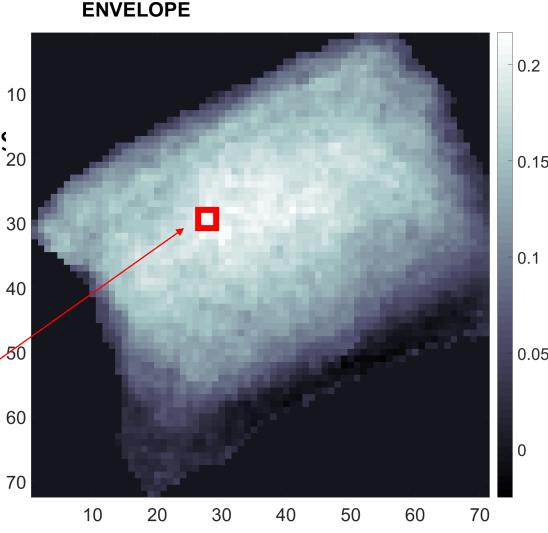
0.04

0.02

Images acquired from a RGB-D sensor:

- No color information provided
- A few pixels report depth measurements
- Images of 3 classes
  - ENVELOPE
  - PARCEL
  - DOUBLE

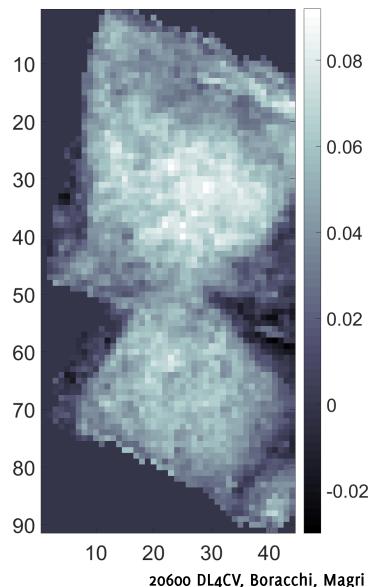
Envelop height at that pixel



Images acquired from a RGB-D sensor:

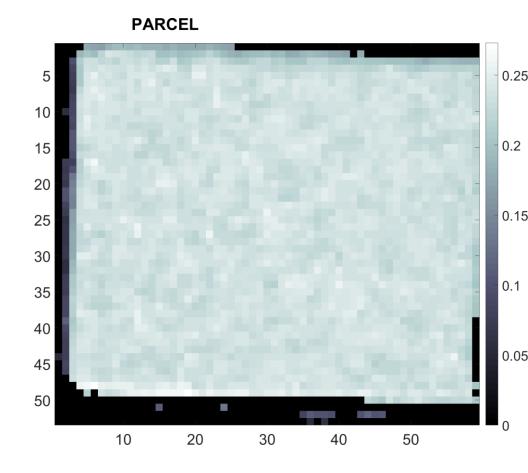
- No color information provided
- A few pixels report depth measurements
- Images of 3 classes
  - ENVELOPE
  - PARCEL
  - DOUBLE





Images acquired from a RGB-D sensor:

- No color information provided
- A few pixels report depth measurements
- Images of 3 classes
  - ENVELOPE
  - PARCEL
  - DOUBLE

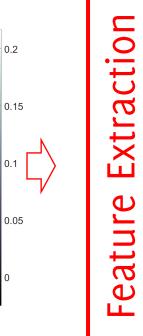


#### Hand Crafted Featues

#### **Engineers:**

- know what's meaningful in an image (e.g. a specific color/shape, the area, the size)
- can implement algorithms to map this information in a set of measurements, a feature vector





**ENVELOPE** 

20

10

10

20

30

40

50

60

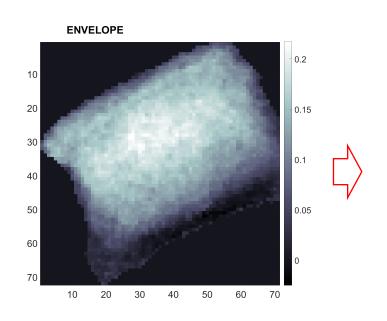


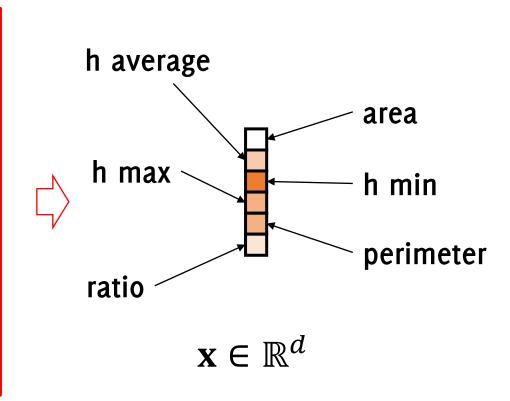
#### Hand Crafted Featues



Extraction

Feature





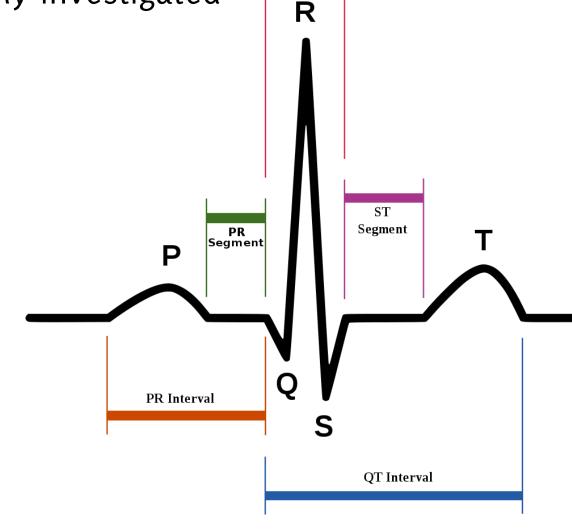
This is exactly what a doctor would to to classify ECG tracings

Heartbeats morphology has been widely investigated

Doctors know which patterns are meaningful for classifying each beat

Features are extracted from landmarks indicated by doctors:

e.g. QT distance, RR distance...



Complex

#### The Training Set

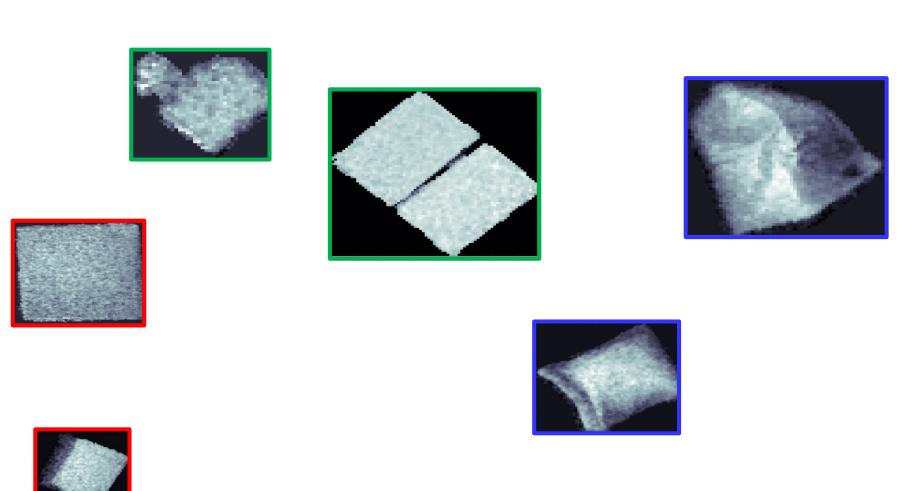
The training set is a set of annotated examples

$$TR = \{(x, y)_i, i = 1, ..., N\}$$

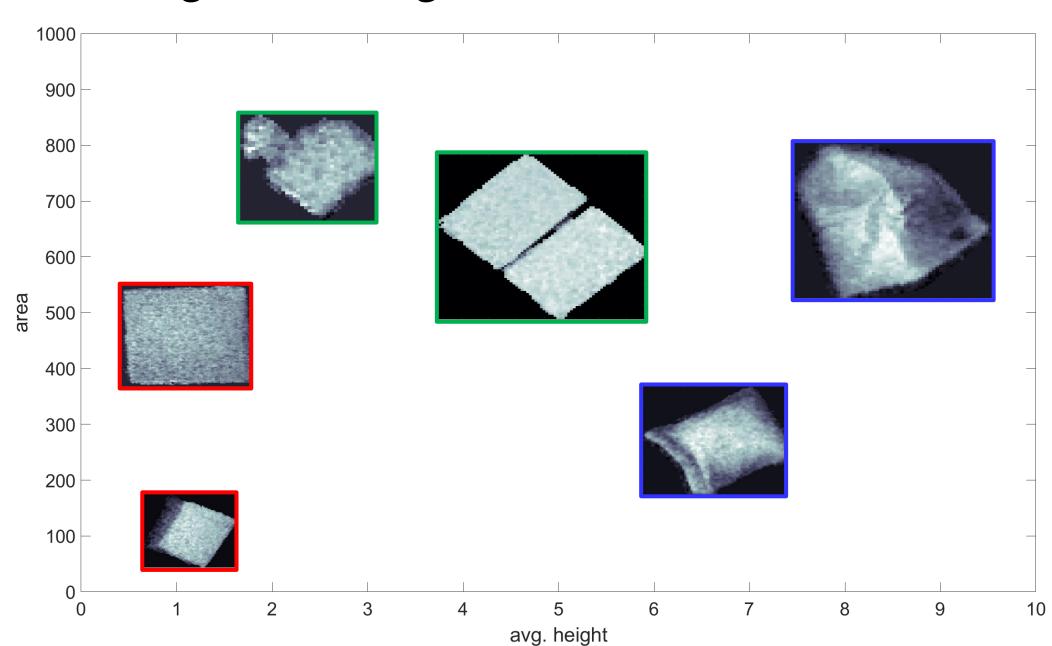
Each couple  $(x, y)_i = (x_i, y_i)$  corresponds to:

- an image  $x_i \in \mathbb{R}^{R \times C \times 3}$
- the corresponding label  $y_i \in \Lambda$

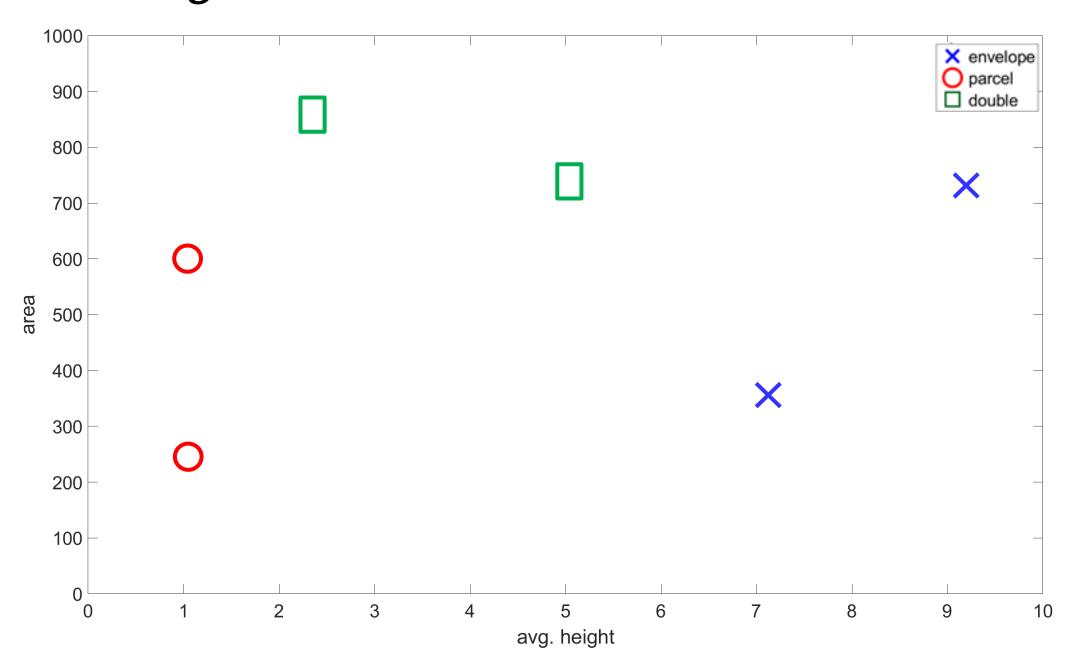
### The Training Set: images + labels



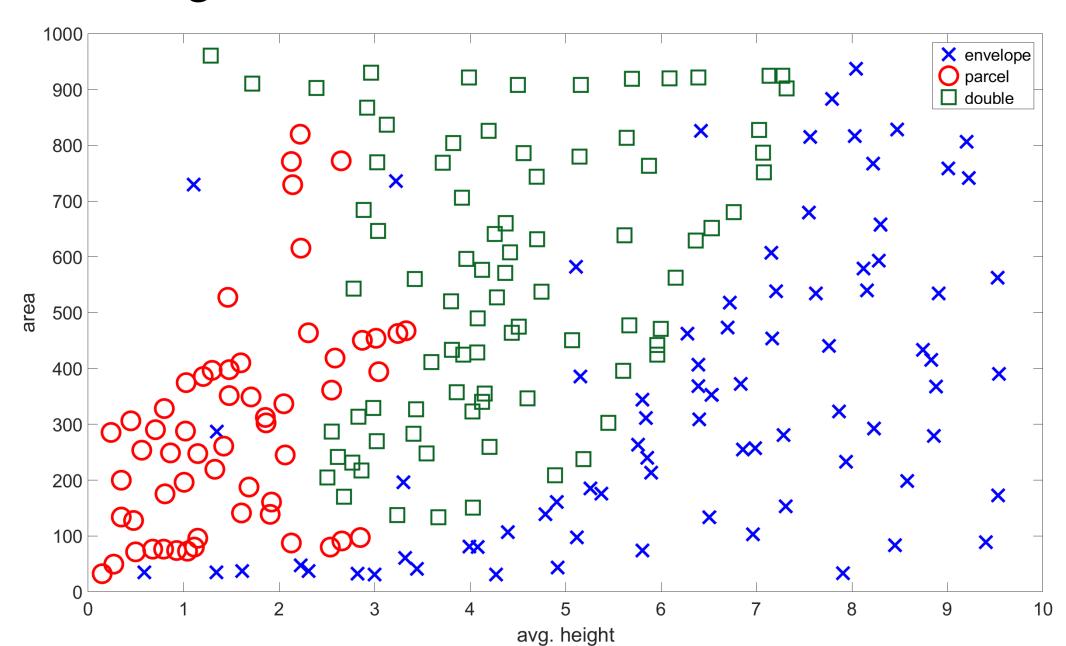
#### The Training Set: images + labels



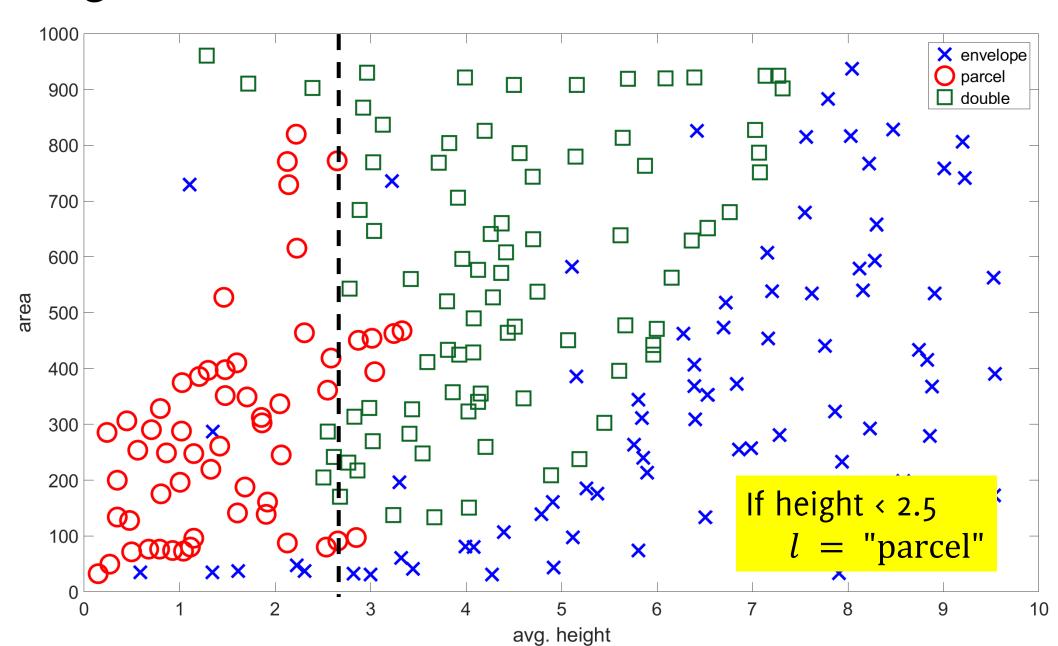
# The Training Set: features + labels



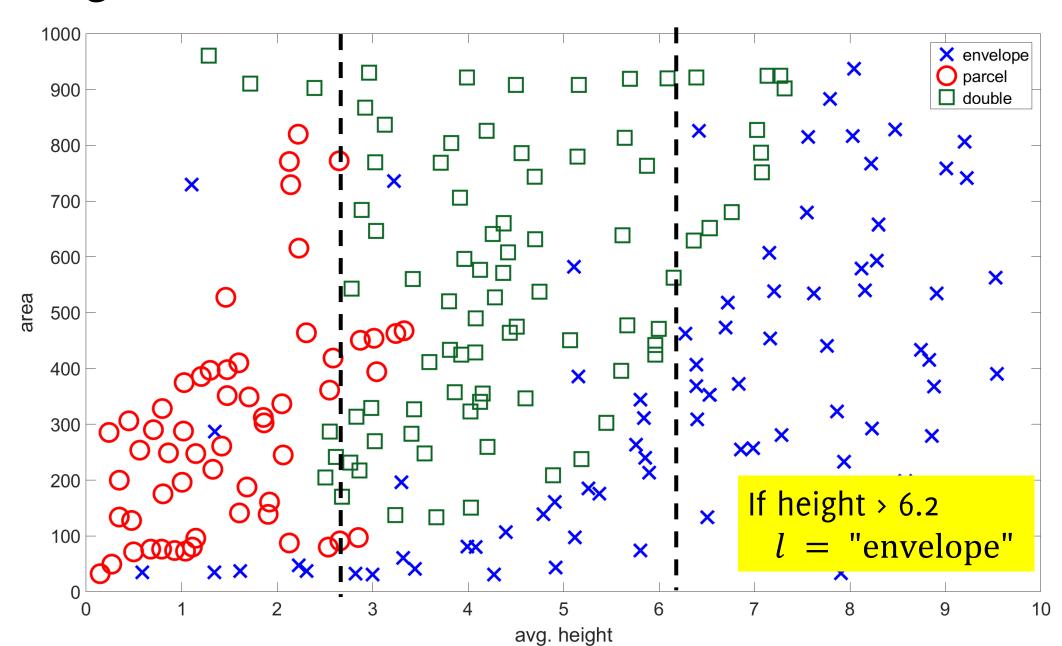
# The Training Set



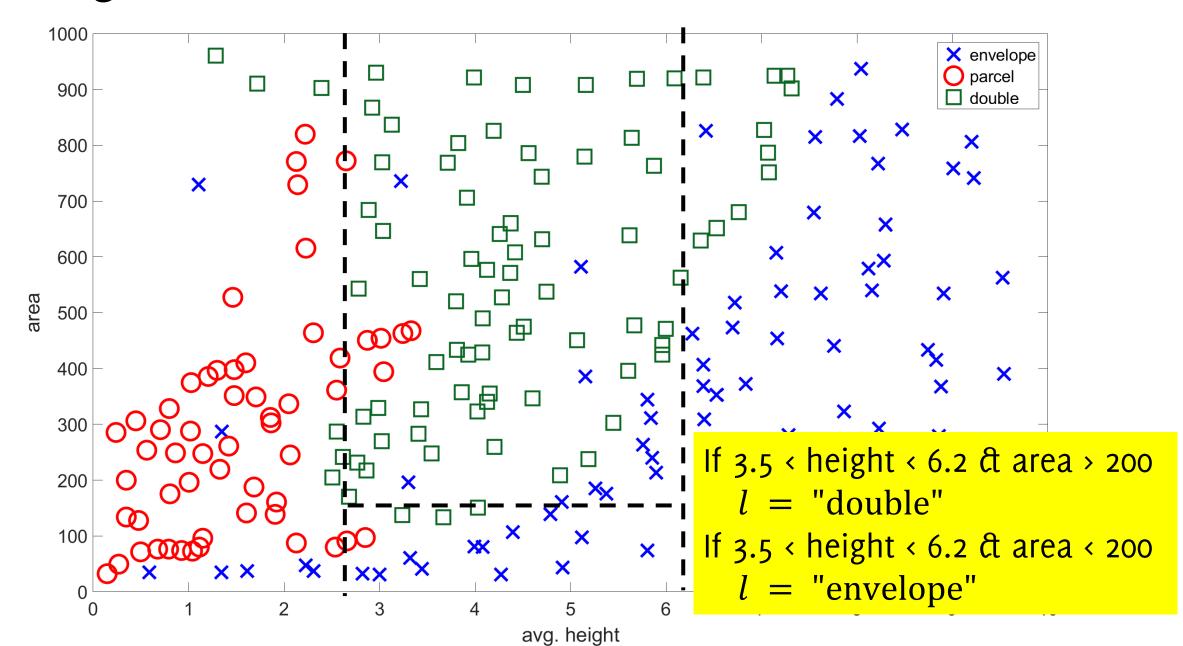
# Training Set



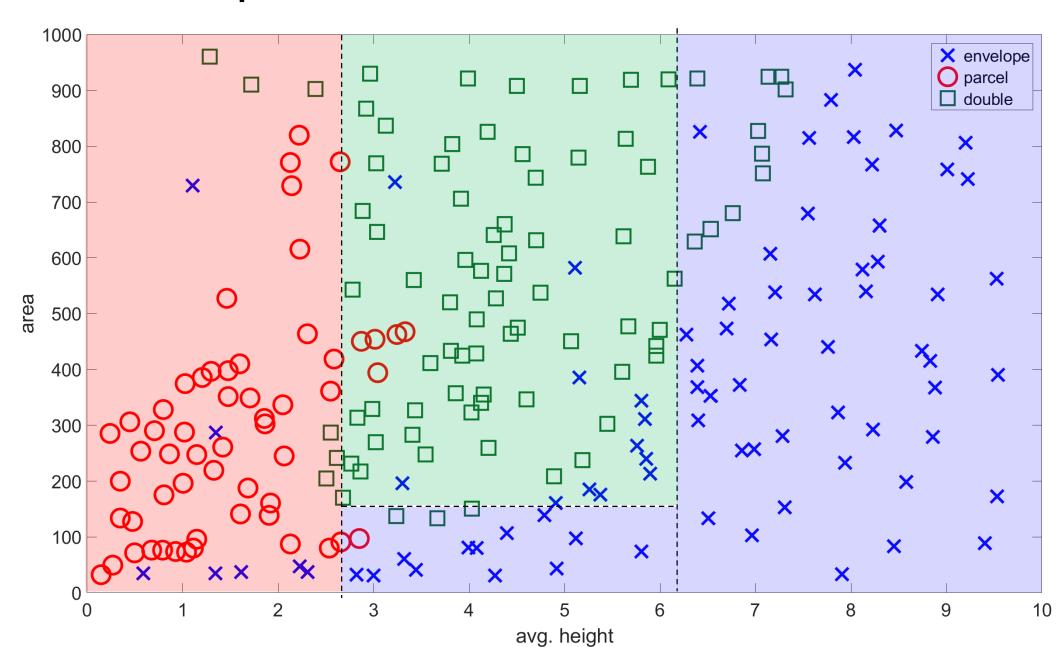
# Training Set



# Training Set

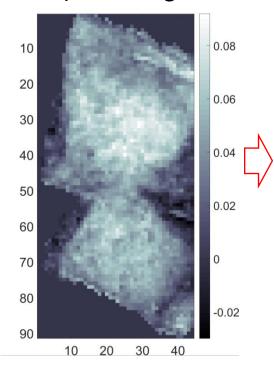


# Classifier output



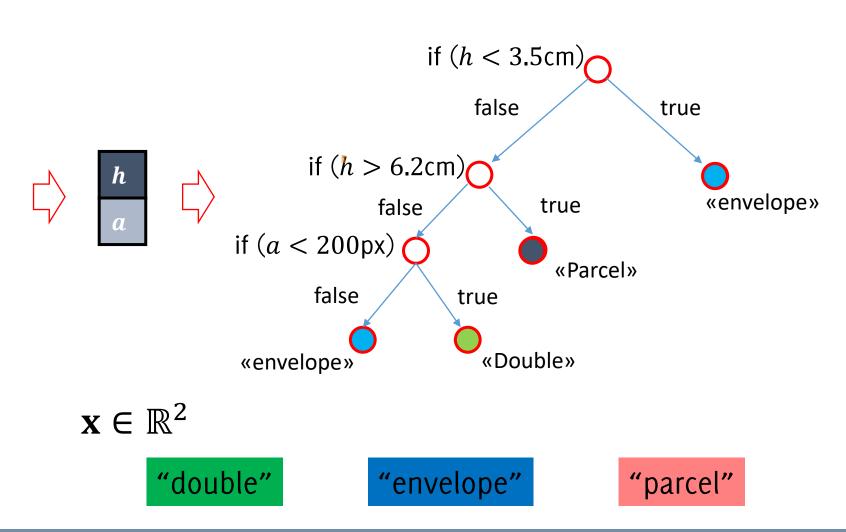
#### A tree classifying image features

#### Input image



$$I_1 \in \mathbb{R}^{r_1 \times c_1}$$





#### Limitations of Rule Based Classifier

It is difficult to grasp what are meaningful dependencies over multiple variables (it is also impossible to visualize these)

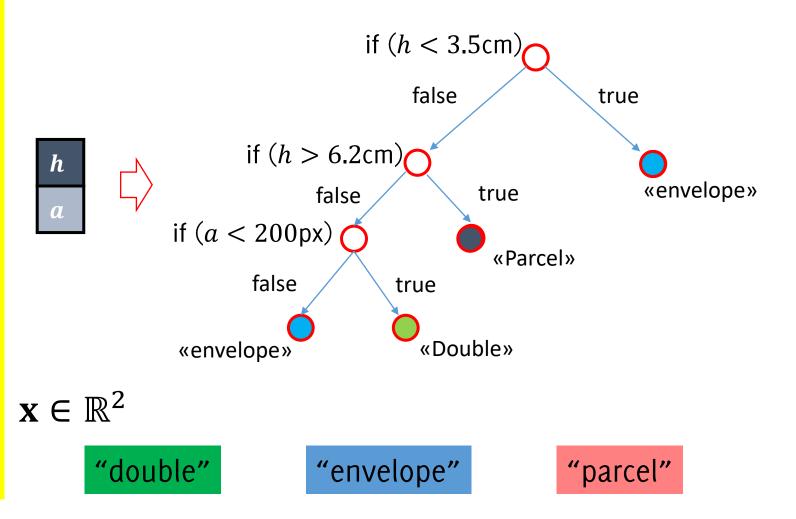
Let's resort to a **data-driven model** for the only task of separating feature vectors in different classes.

How can a classifier achieve better performance?

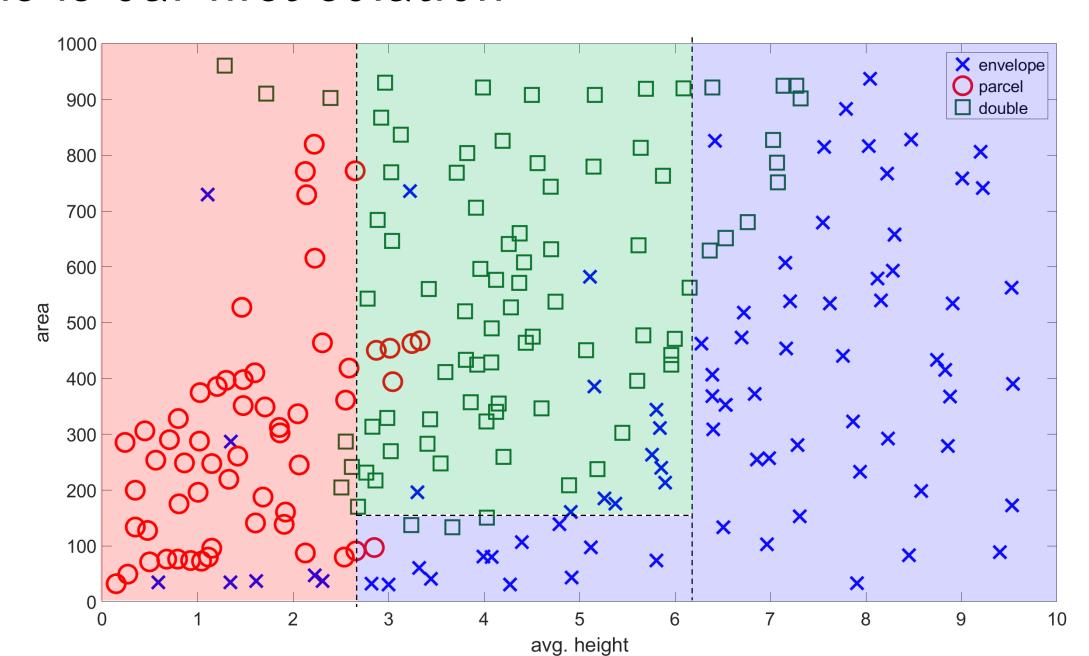
# A tree classifying image features

The classifier has a few patameters:

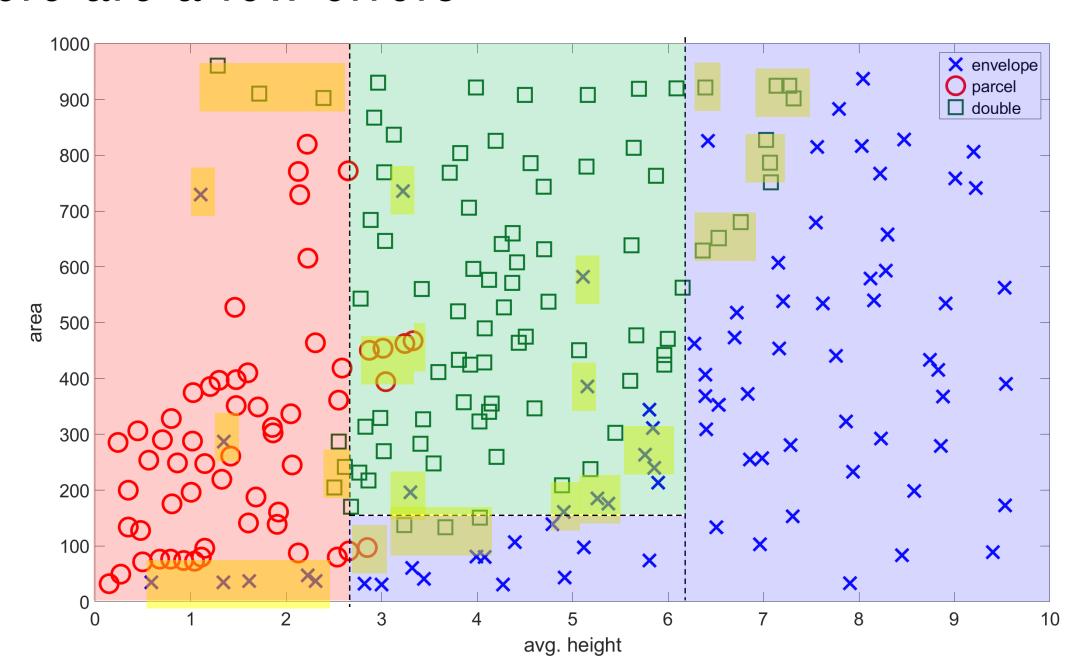
- The splitting criteria
- The splitting thresholds T<sub>i</sub>



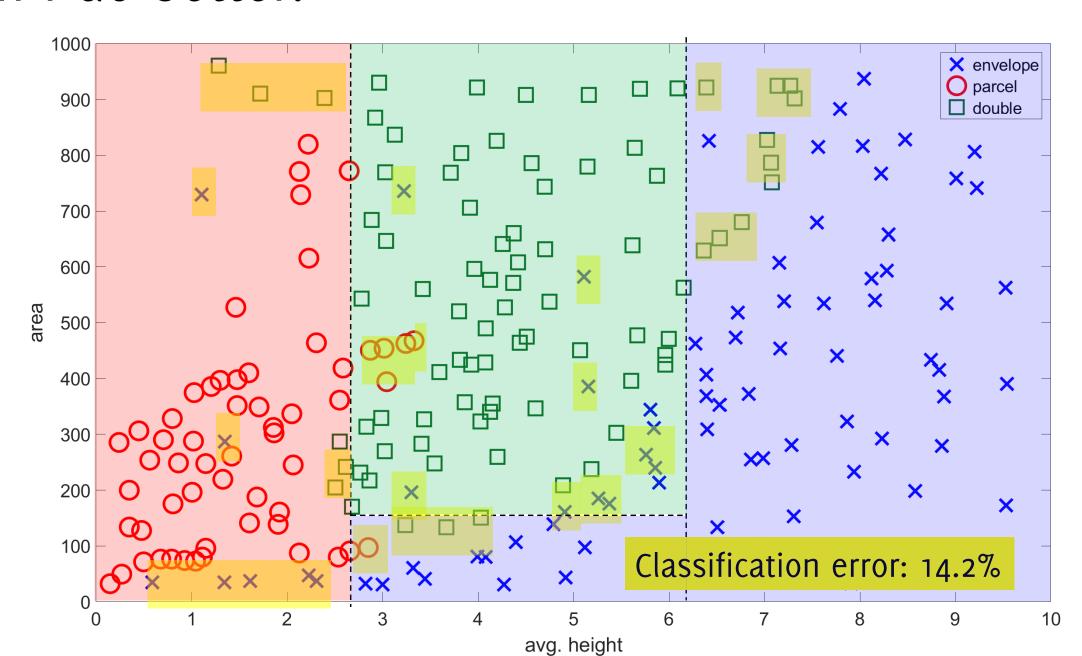
## This is our first solution



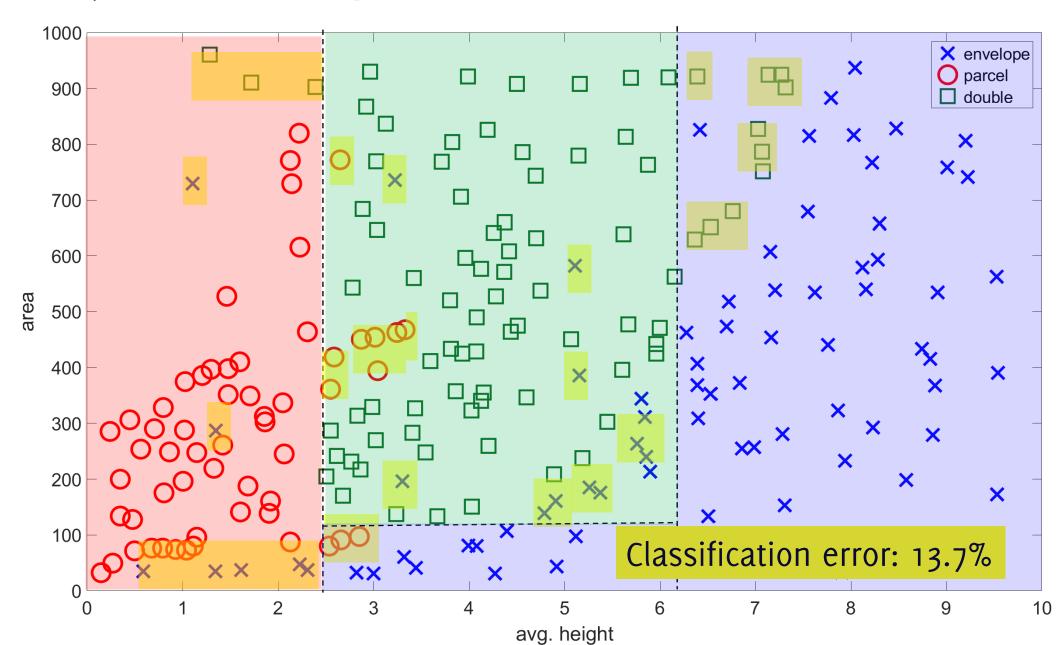
#### There are a few errors



#### Can I do better?



# Let's try different parameters



#### Data Driven Models

They are defined from a training set of supervised pairs

$$TR = \{(x, y)_i, i = 1, ..., N\}$$

The model parameters (e.g. Neural Network weights) are set to minimize a **loss function** (e.g., the classification error in case of discrete output or the reconstruction error in case of continuous output)

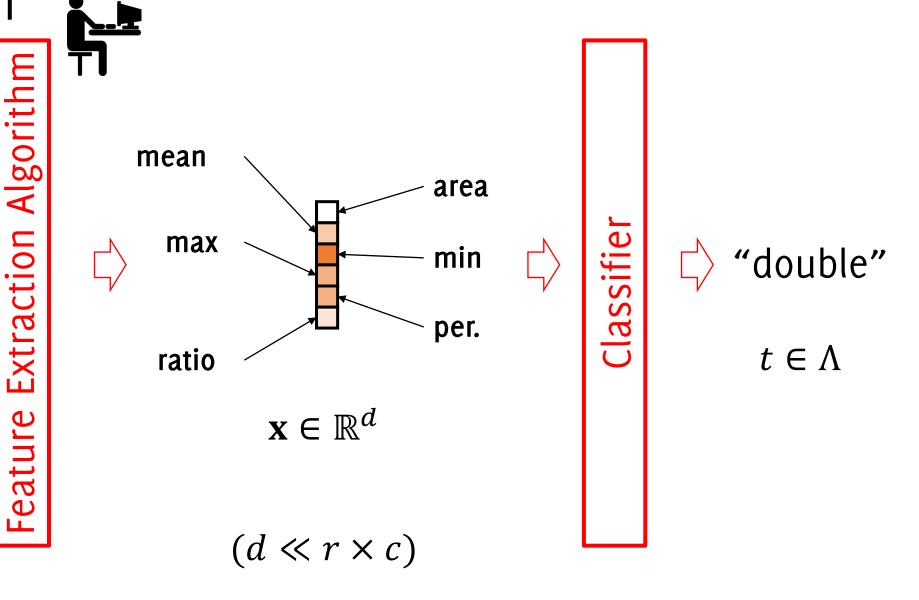
Can definitively boost the image classification performance

This is how, during training, the computer learns.

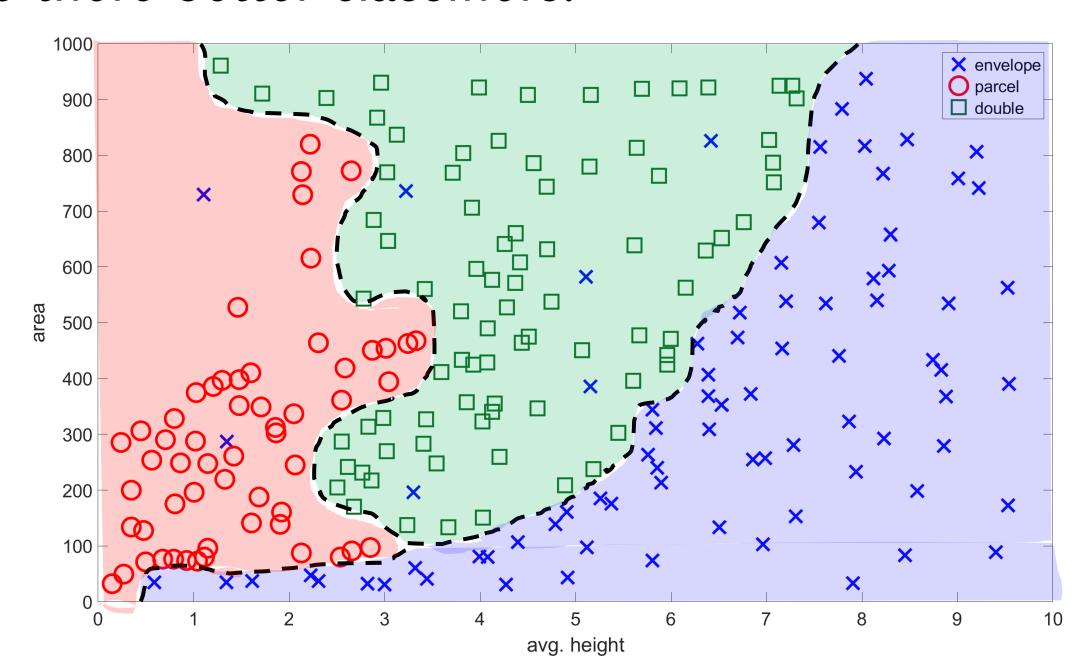
- Annotated training set is always needed
- Classification performance depends on the training set
- Generalization is not guaranteed

Hand Crafted Feature Extraction, data-driven Classification • •

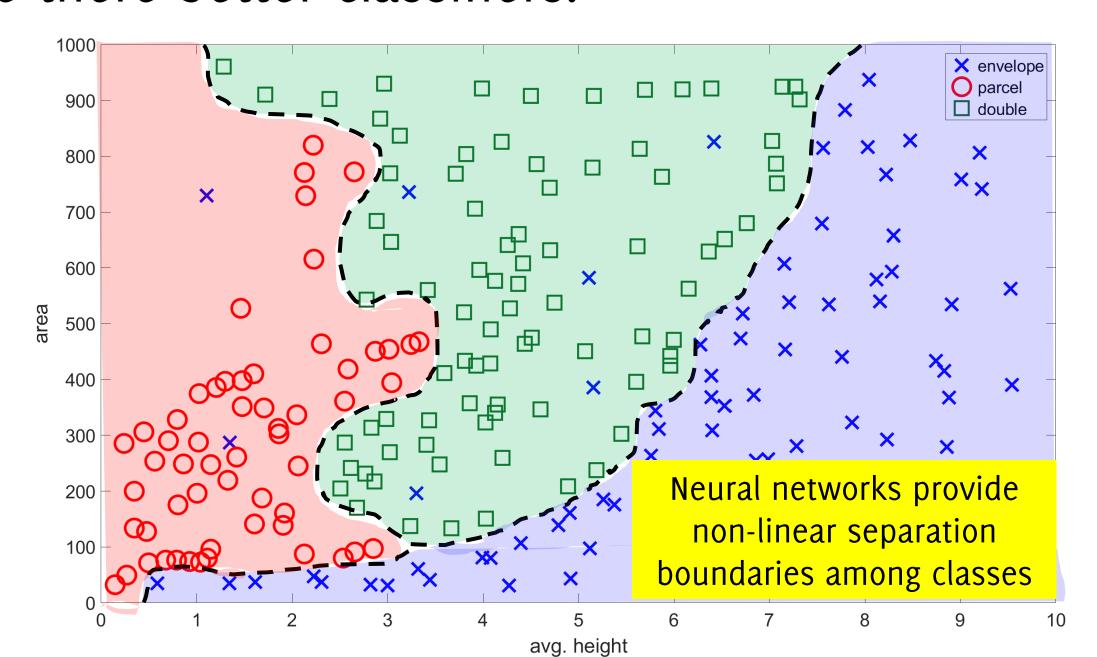
Input image 0.08 10 20 0.06 30 0.04 40 50 0.02 60 70 80 -0.02



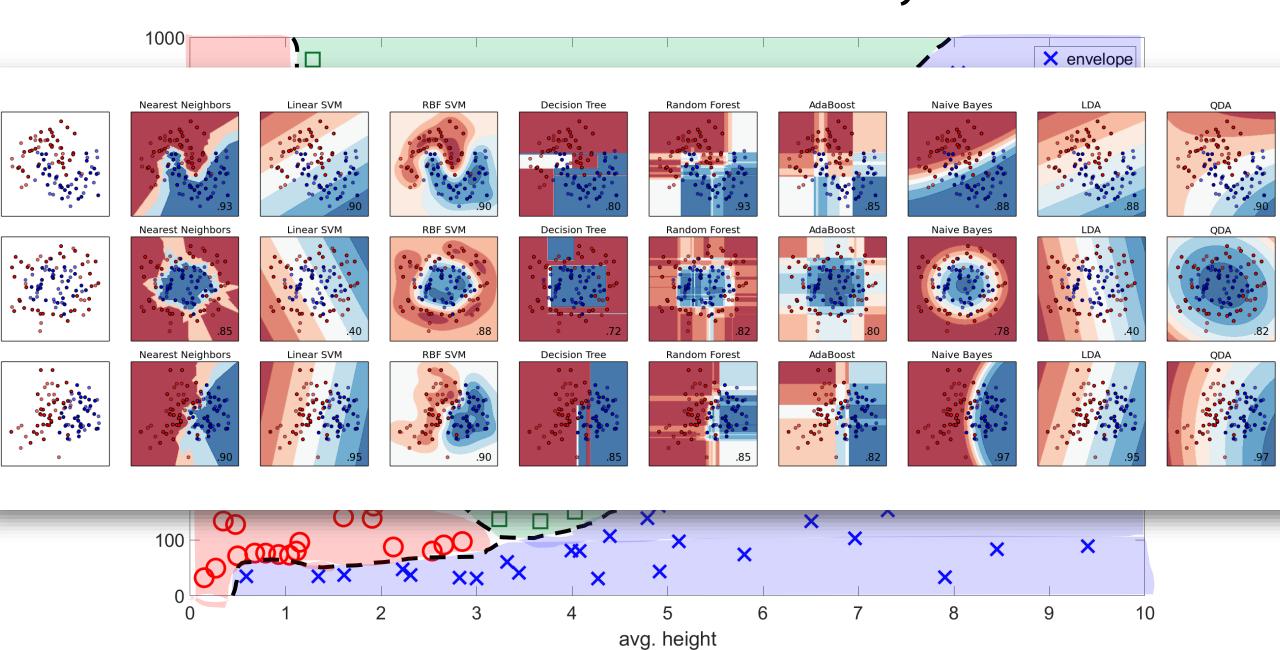
#### Are there better classifiers?



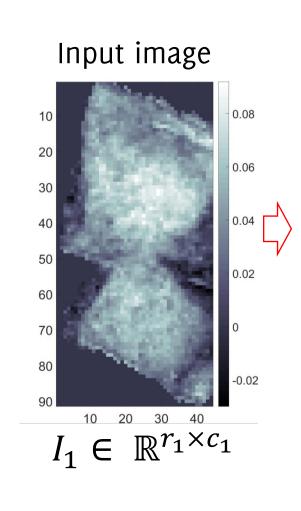
#### Are there better classifiers?

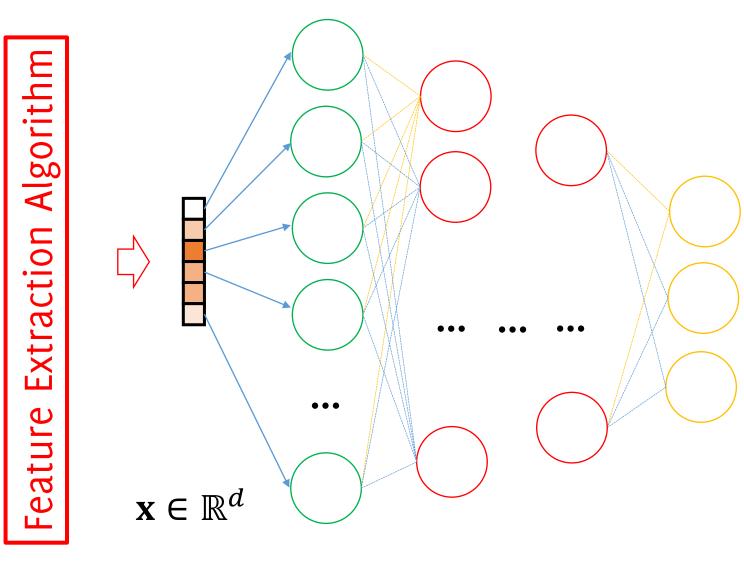


# And Neural Networks are not the only..



#### Neural Networks for Feature Classification





input layer

Hidden layer(s)

# A Short Recap on Neural Network

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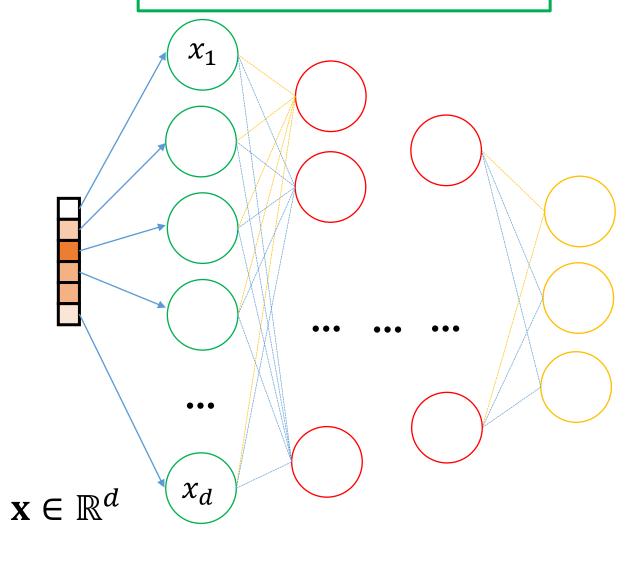
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 The input layer has the same number of neurons as the number of inputs

This is not a hyperparameter! **Input layer:** Same size of the feature vector

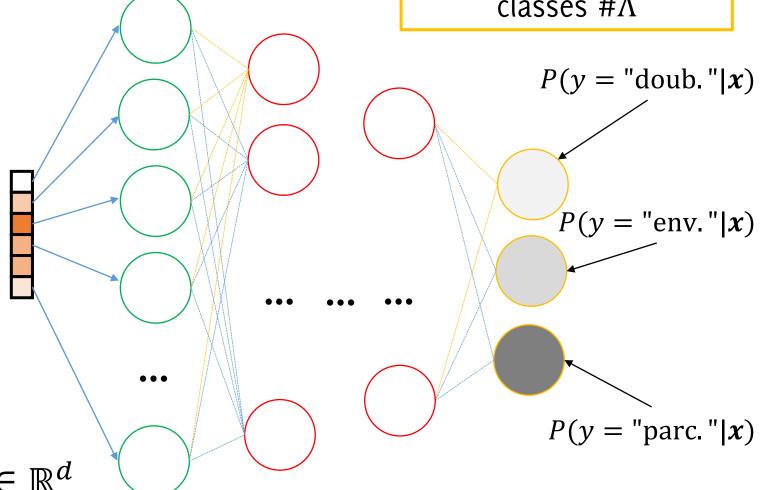


input layer

Hidden layer(s)

- The output size depends on the number of classes to be predicted (or the number of outputs in case of regression).
- This is not a hyperparameter, this is defined by the task!
- In case of classification, the output are probabilities, in case of regression these are real  $\mathbf{x} \in \mathbb{R}^d$  values

Output layer: Same size as the number of classes #Λ



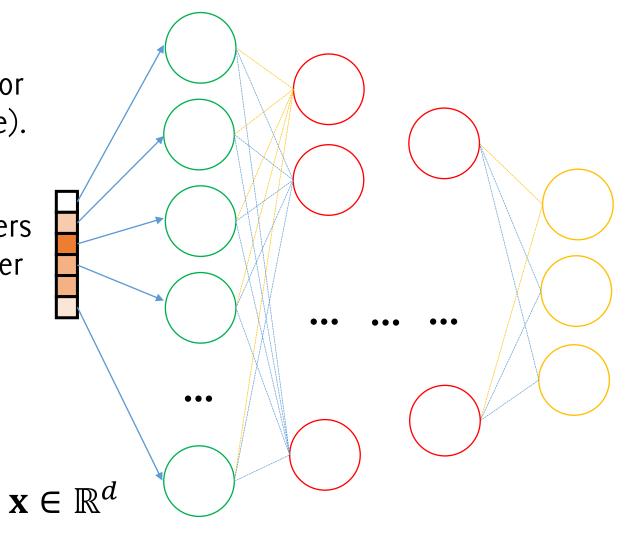
input layer

Hidden layer(s)

Hidden layers: arbitrary size

 Hidden layers are not directly connected input or output (hence their name).

 The design of hidden layers (number of layers, number or neurons) is a hyperparameter of the network.



input layer

Hidden layer(s)

Inside Neural Networks

Each connection is associated to a weight

$$w_{i,j}^k \in \mathbb{R}$$

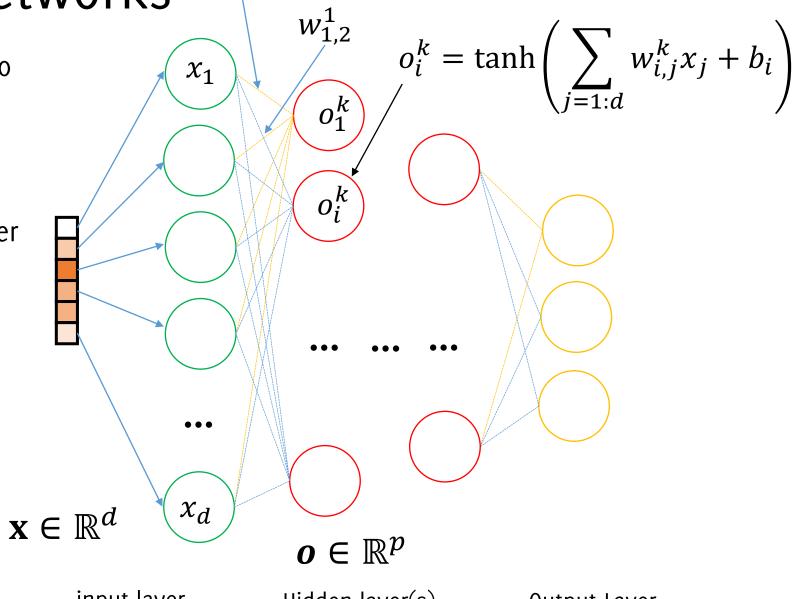
This weight connects:

- The  $i^{th}$  input neuron of layer (k - 1)
- The  $j^{th}$  output neuron of layer k<sup>th</sup>

On top of weights there are biases, one bias per neuron

The parameters of the network are:

$$\left\{w_{i,j}^{k}\right\}_{i,j,k}, \left\{b_{i}^{k}\right\}_{i,k}$$

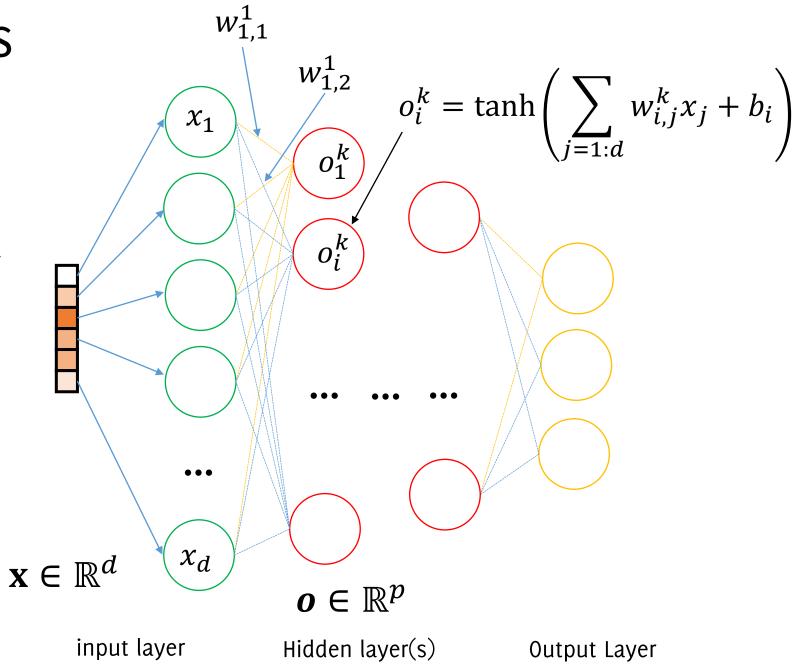


input layer

Hidden layer(s)

#### Each neuron:

- Computes a linear combination of its inputs
- Applies a nonlinear, scalar function (here tanh(·))

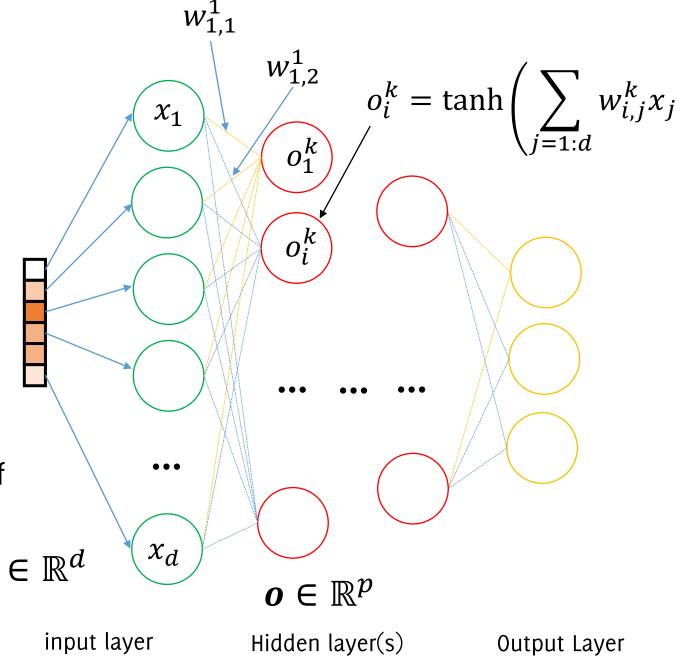


#### Each neuron:

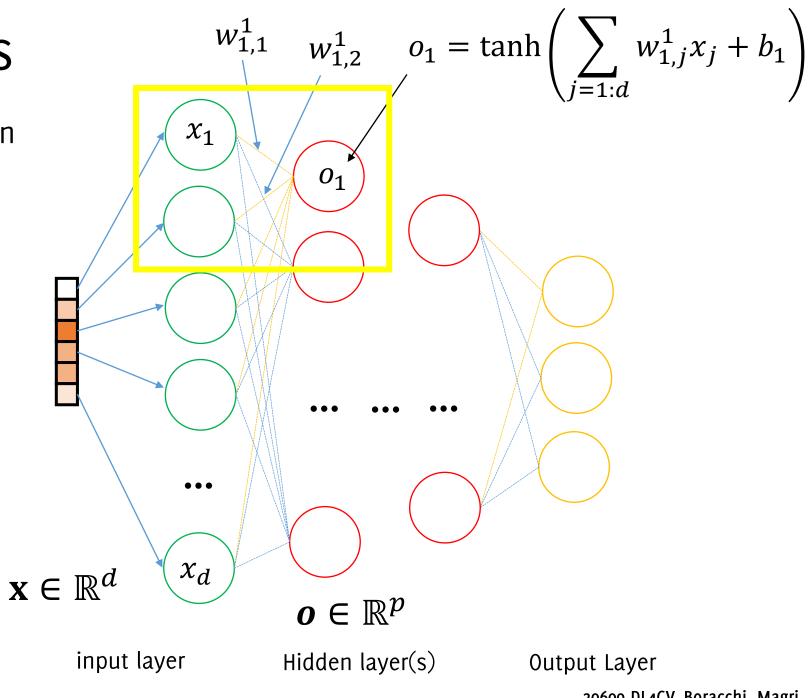
- Computes a linear combination of its inputs
- Applies a nonlinear, scalar function (here tanh(·))

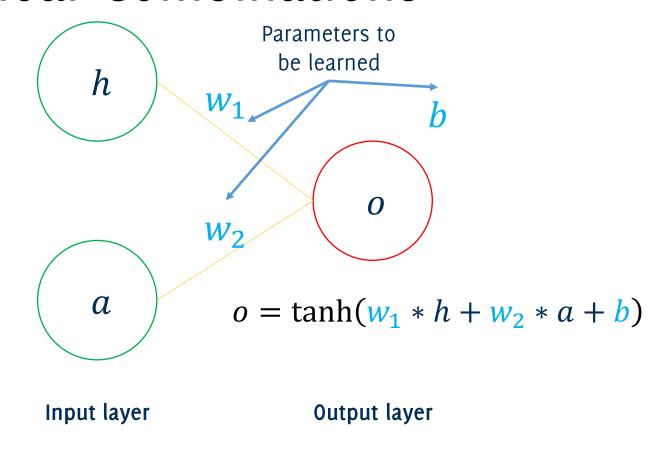
Nonlinearity is mandatory, otherwise everything will become a linear combination of a linear combination...

Thus, equivalent to a linear  $\mathbf{x} \in \mathbb{R}^d$  classifier!

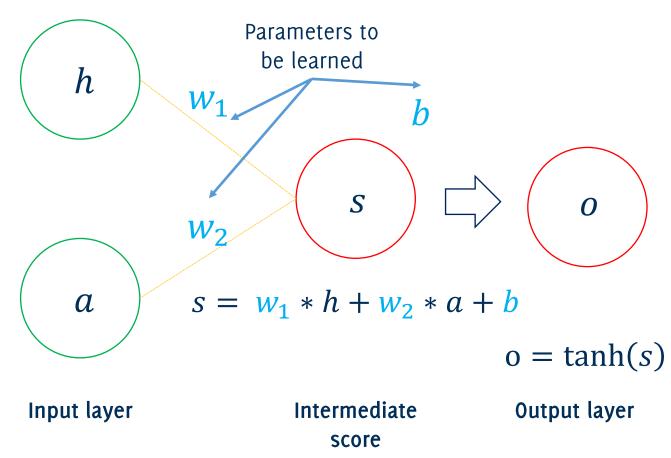


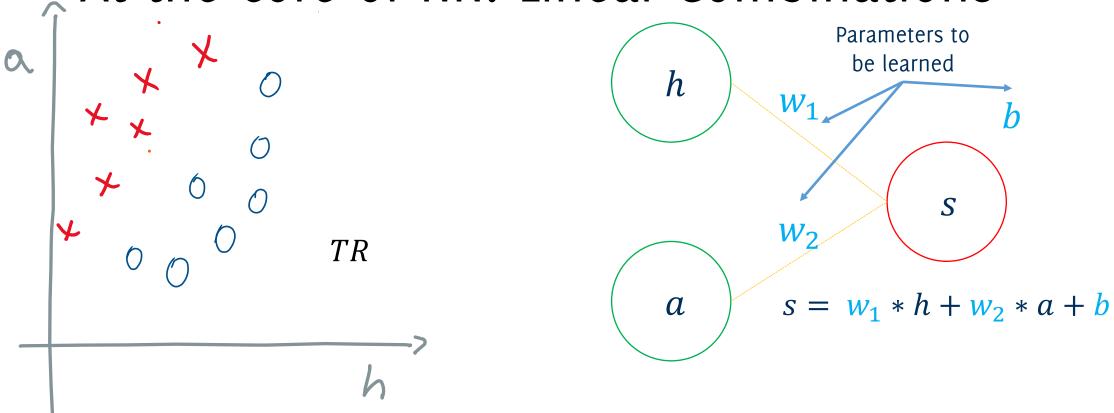
Let's focus on a single neuron and see what happens while learning



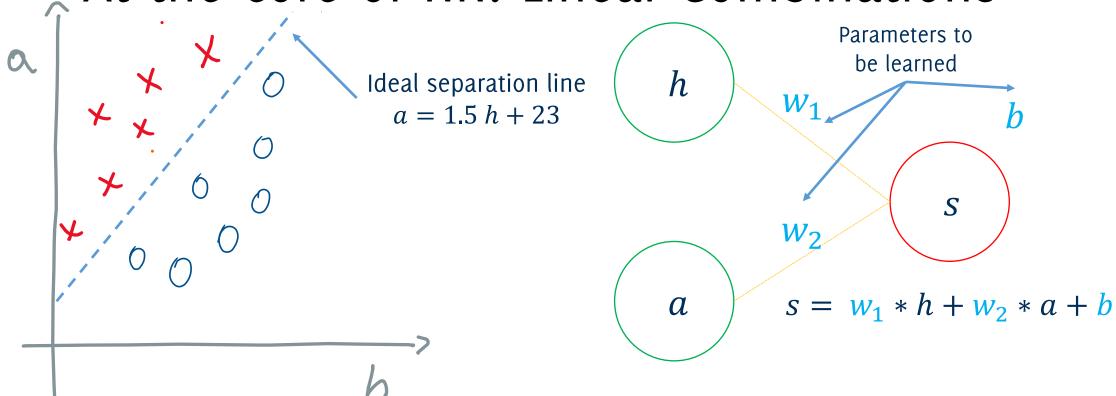


Let us ignore the nonlinearity for a while, as this is not relevant for a single layer





What parameters would the classifier learn from this training set?

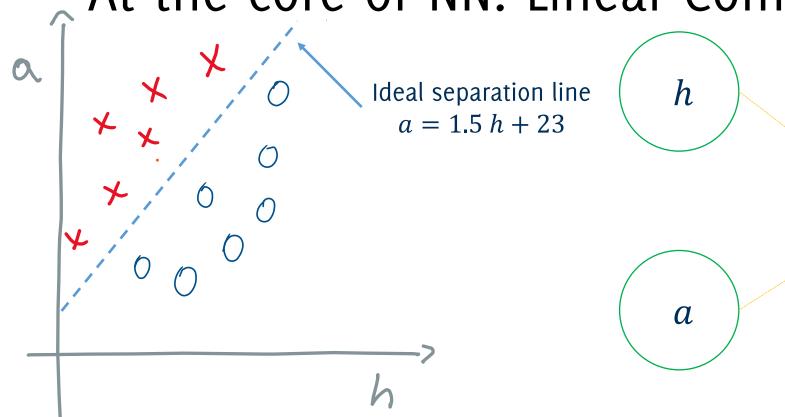


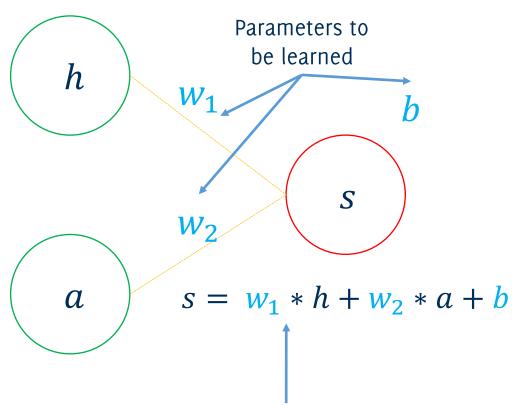
Thus, the ideal parameters are

$$w_1 = 1.5, w_2 = -1$$
 and  $b = 23$ 

To define the ideal score function function

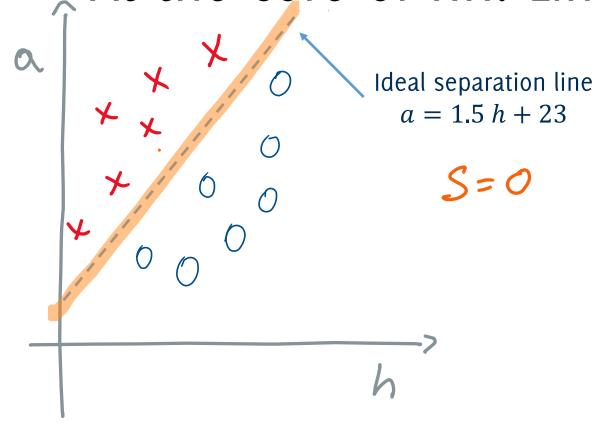
$$s = 1.5 * h - a + 23$$



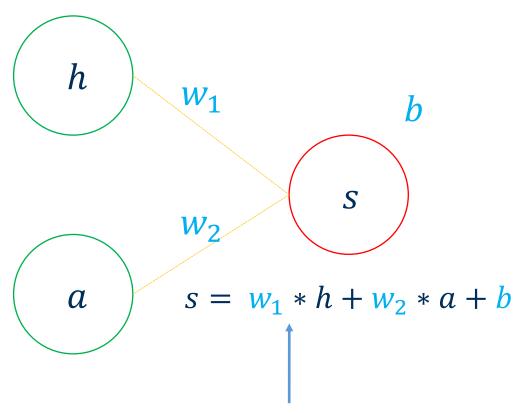


If the training is successful, the parameters will be

$$w_1 = 1.5, w_2 = -1, b = 23$$

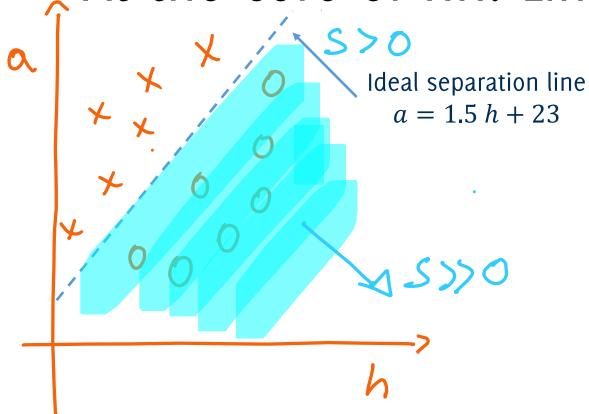


$$s = 1.5 * h - a + 23$$

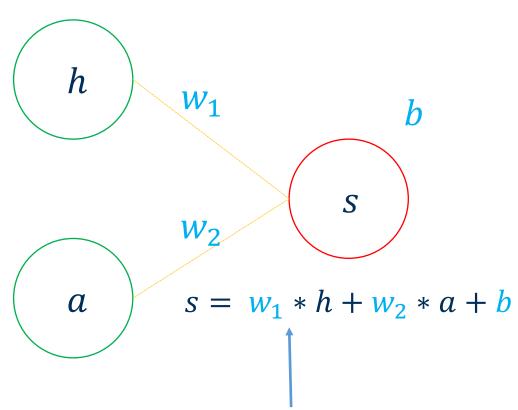


If the training is successful, the parameters will be

$$w_1 = 1.5, w_2 = -1, b = 23$$

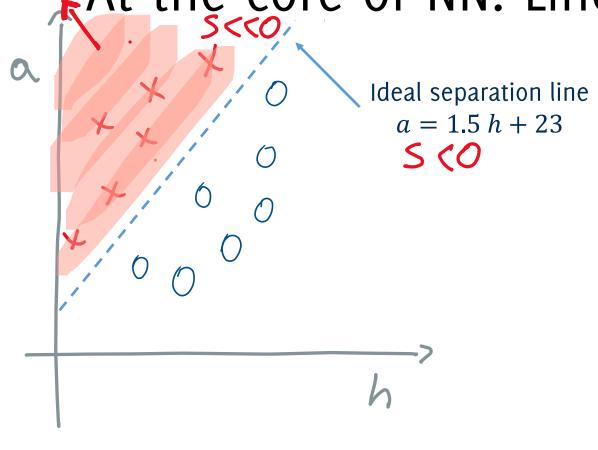


$$s = 1.5 * h - a + 23$$

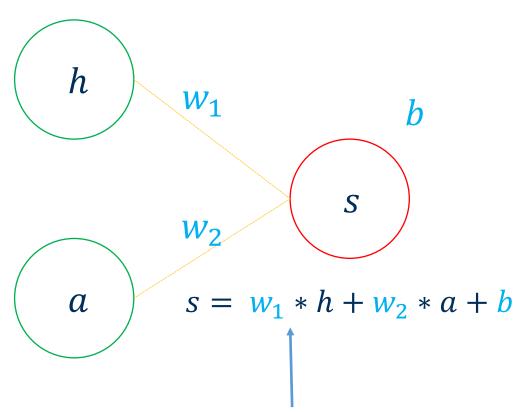


If the training is successful, the parameters should be

$$w_1 = 1.5, w_2 = -1, b = 23$$

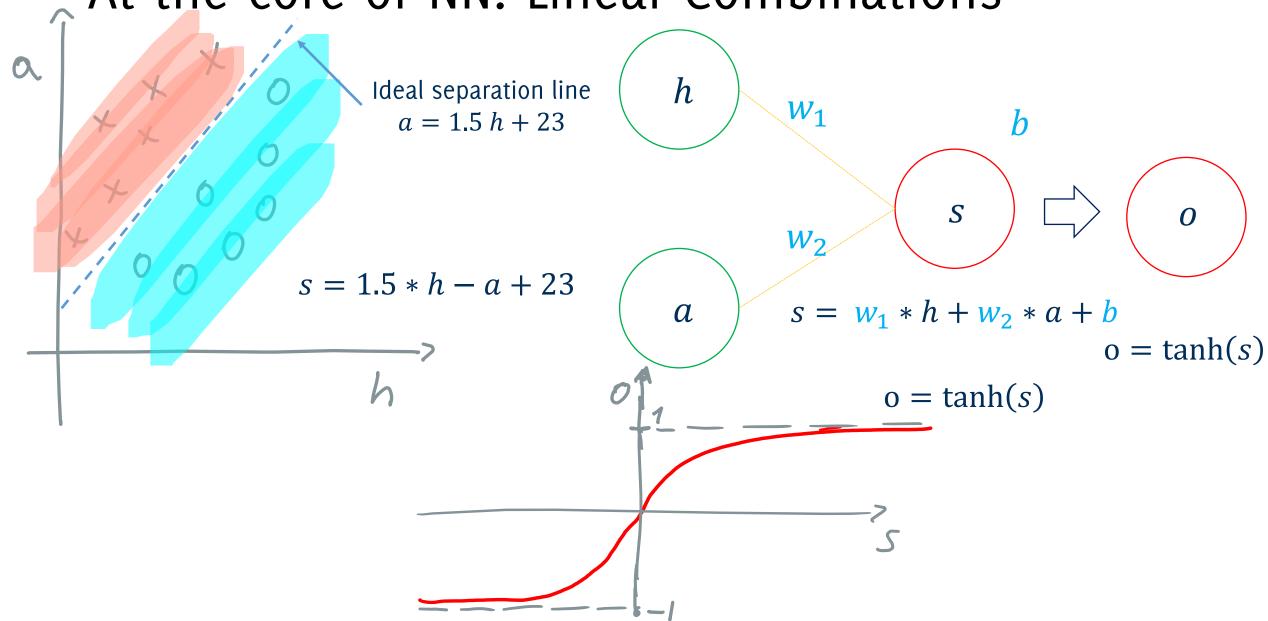


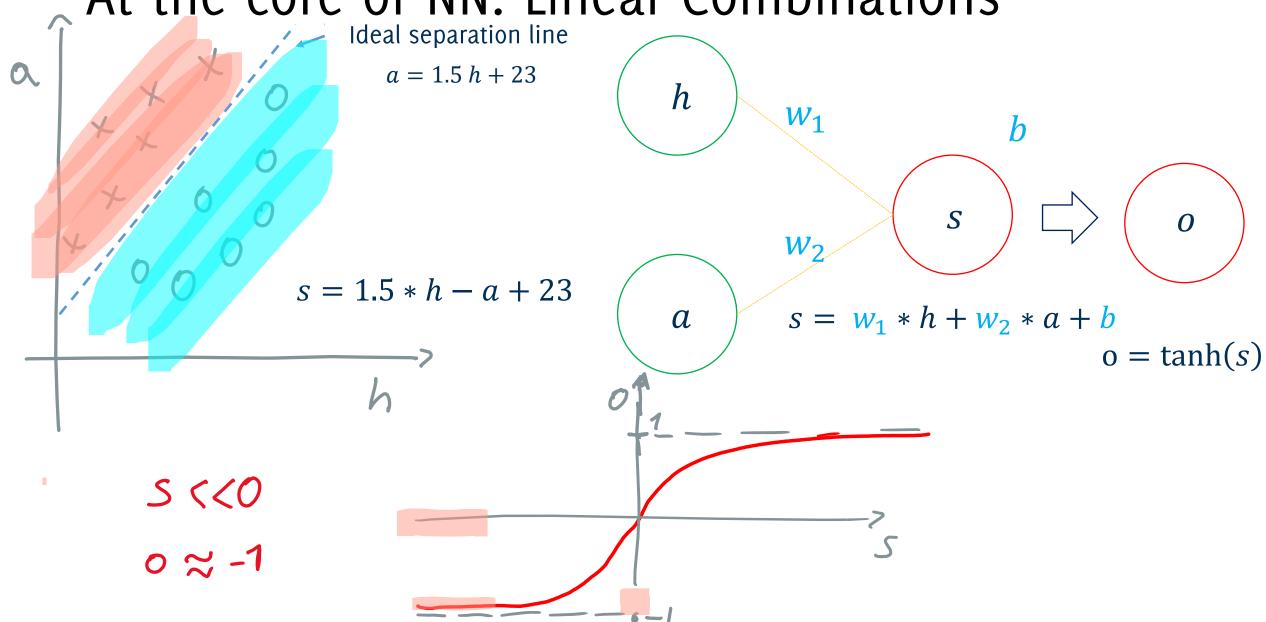
$$s = 1.5 * h - a + 23$$

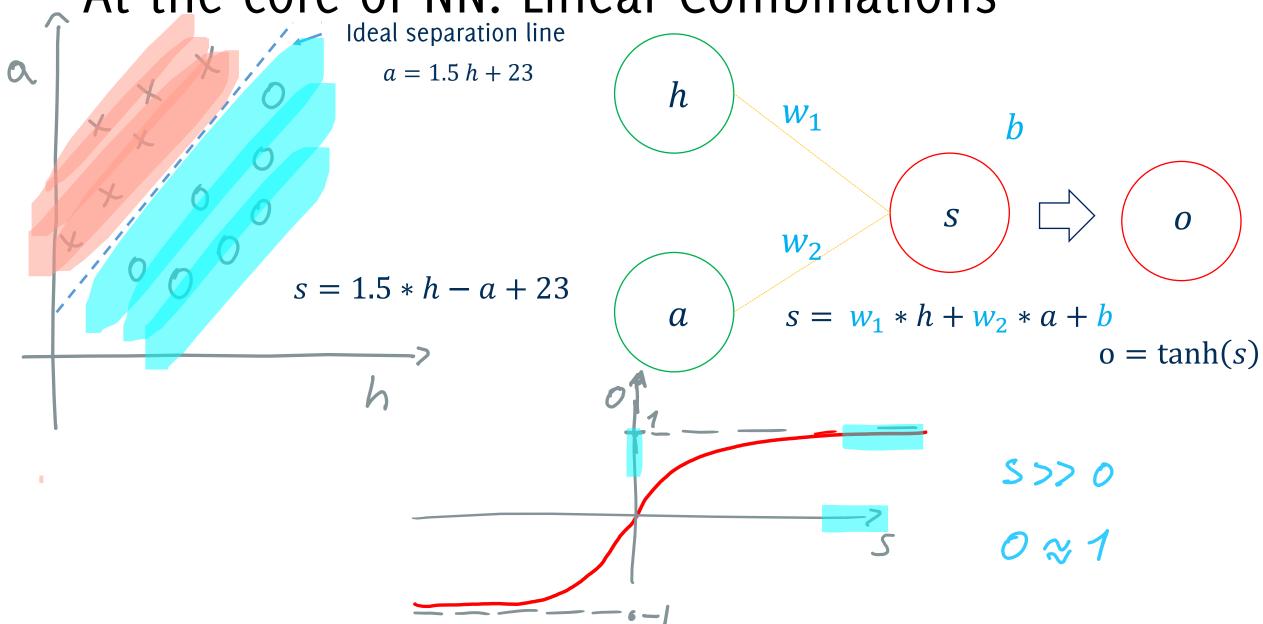


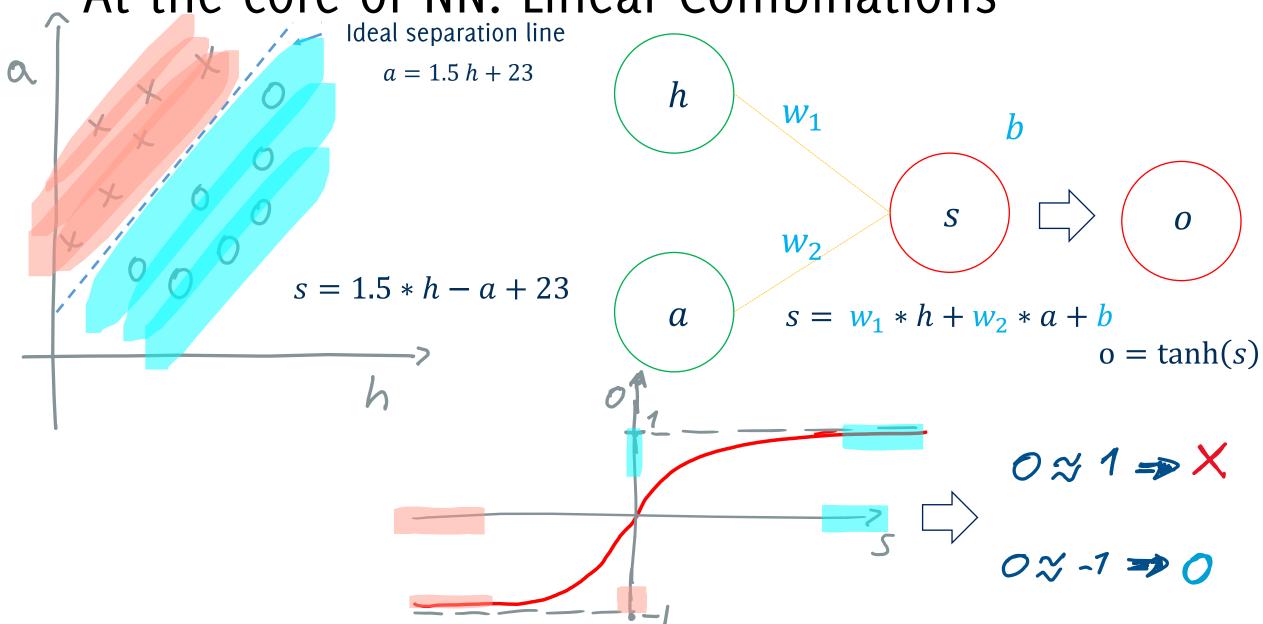
If the training is successful, the parameters should be

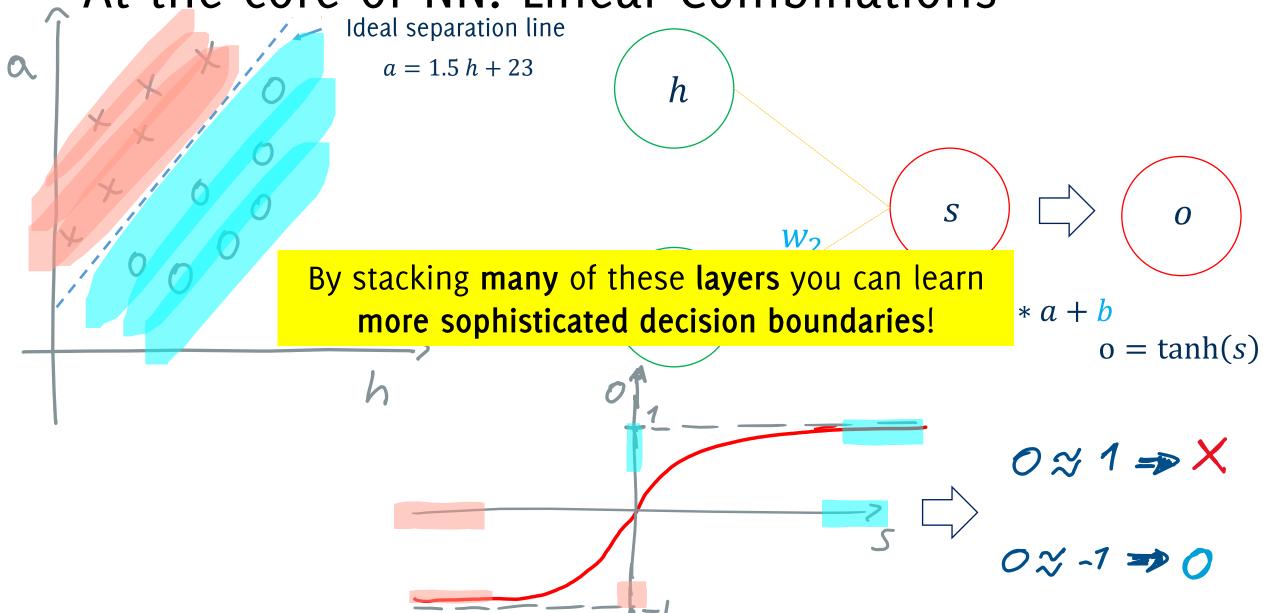
$$w_1 = 1.5, w_2 = -1, b = 23$$











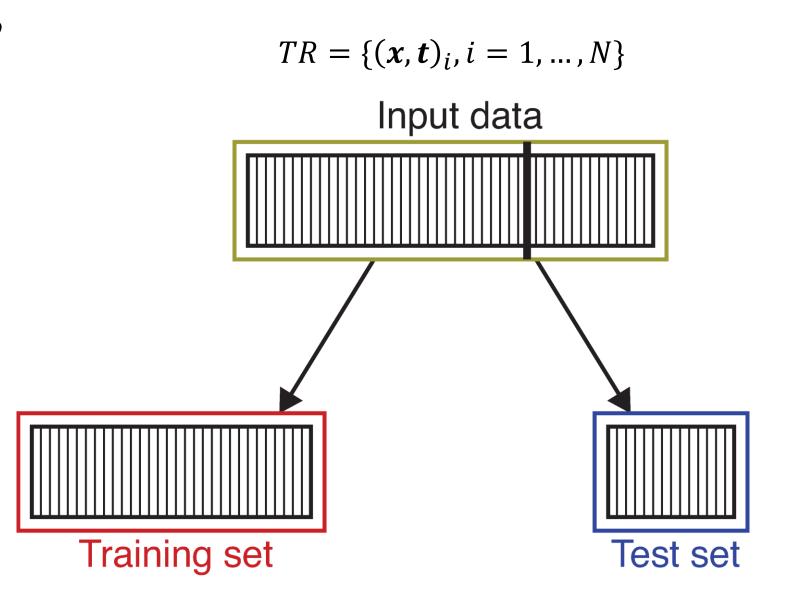
# Neural Network Training

The process of taking a NN that's been initialized with default or random values and gradually improving it so that it "generalize" well.

### Training, testing

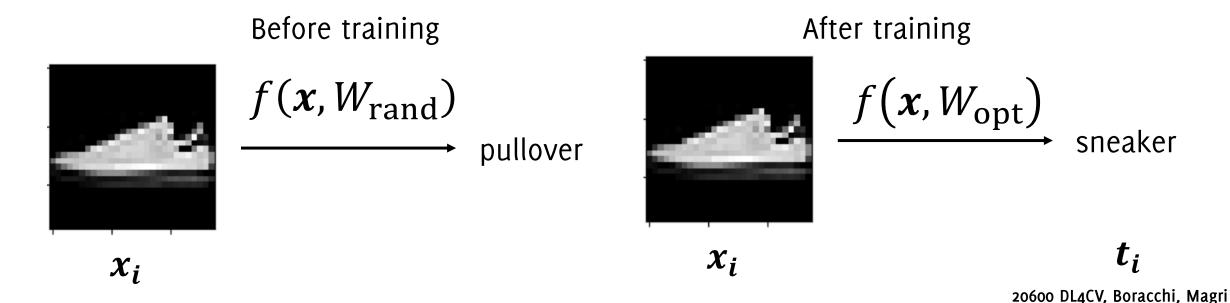
Training set: the data used to learn the model parameters

Test set: used only at the end to perform final model assessment



#### Given:

- the training set  $TR = \{(\boldsymbol{x}, \boldsymbol{t})_i, i = 1, ..., N\}$ ,
- a Neural Network f(x, W) that depends on a collection of parameters W, the training optimizes the values of W such that f "learns" the correct values on the training set.



In practice, networks learn by minimizing their mistakes encoded in a loss function (the lower the more accurate f is in predicting the target values t).

For example (mean squared error)

$$L(W, \boldsymbol{x}_i, \boldsymbol{t}_i) = \frac{1}{N} (f(W, \boldsymbol{x}_i) - \boldsymbol{t}_i)^2$$

The training (hopefully) returns the parameters W of the weights that minimize the loss (the mistakes on the training set)

However we don't care very much on mistakes on the Training Set, we want that our network can correctly predict labels on unseen data. We assess our model on the Test Set.

In the metaphor of learning, it is the same difference as «parroting» the lesson, or really understanding what one has studied.

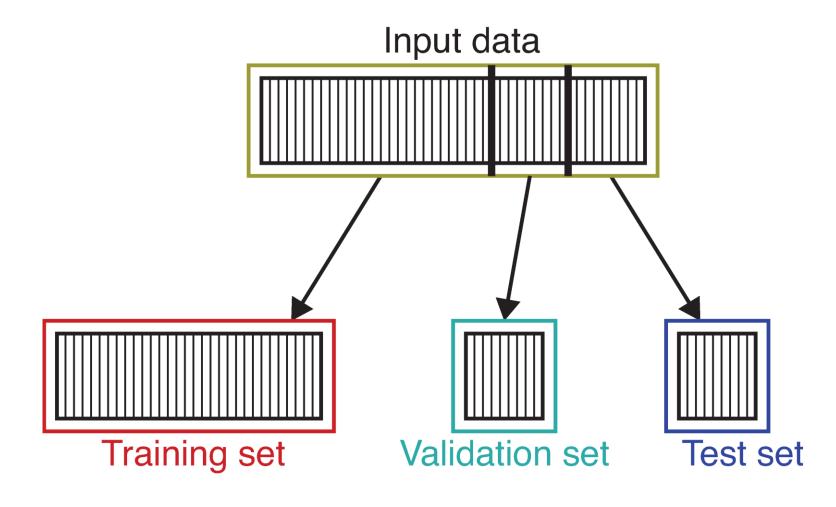


#### Training, testing and validation

Training set: the data used to learn the model parameters

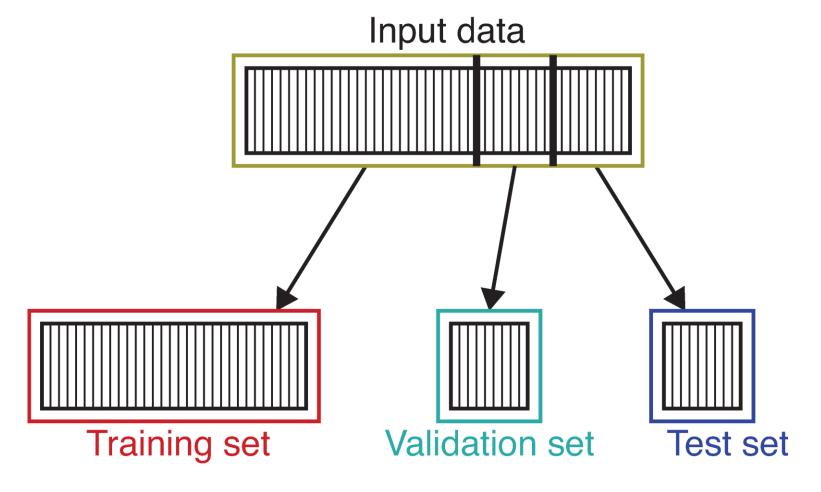
Test set: used only at the end to perform final model assessment

Validation set: the data used to perform "model selection". The validation set is also used to assess stopping criteria during training.



#### Training, testing and validation

We want that all the splits have the same distribution of the input data.

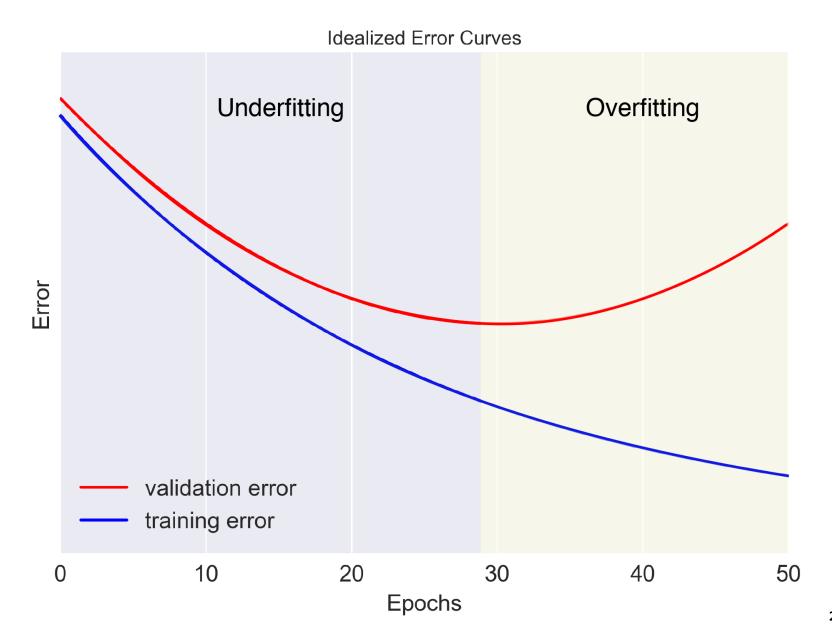


#### Make list of hyperparameters to try Get next set of hyperparameters **Build classifier** from these hyperparameters Train classifier **Training** with the training set set Evaluate this Validation classifier with set the validation set Test set Save evaluation results No List is done? Get classifier with the best hyperparameters Evaluate with the test set Deploy

#### Validation data

A good proxy of the real-world data we can use to deploy the system to test different hyperparameters and perform model selection.

## Underfitting and overfitting

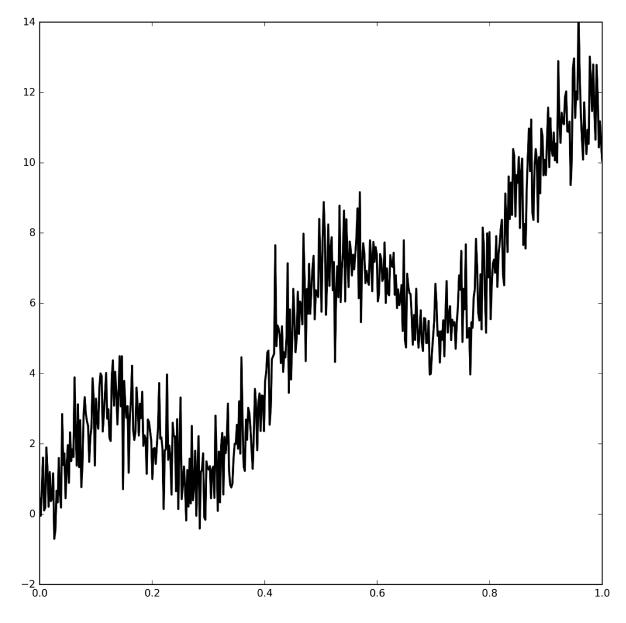


#### Occam's razor



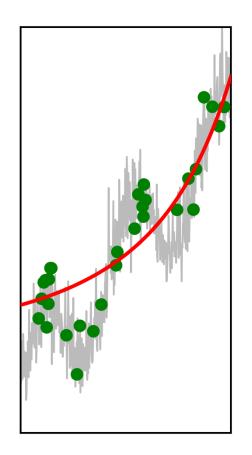
#### OCCAM'S RAZOR

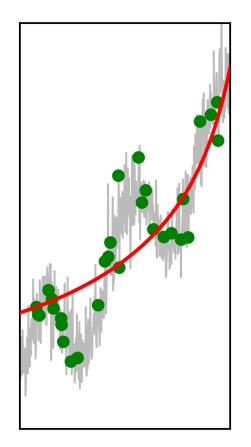
"WHEN FACED WITH TWO POSSIBLE EXPLANATIONS, THE SIMPLER OF THE TWO IS THE ONE MOST LIKELY TO BE TRUE."

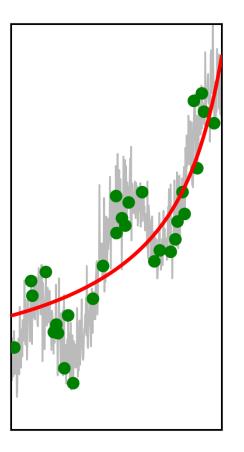


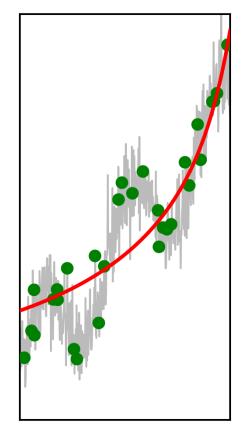
20600 DL4CV, Boracchi, Magri

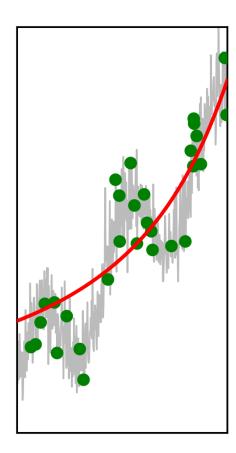
# Under-fitting



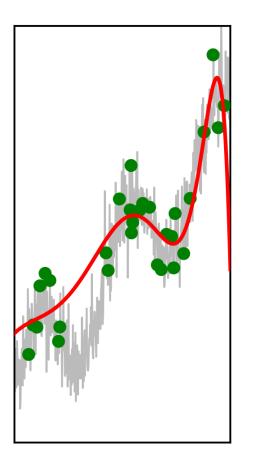


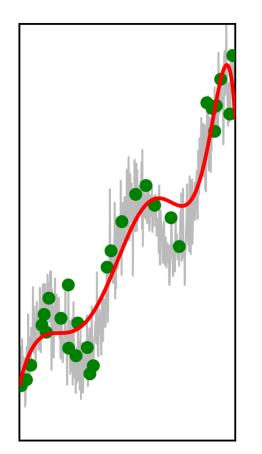


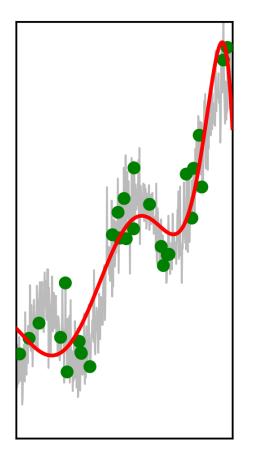


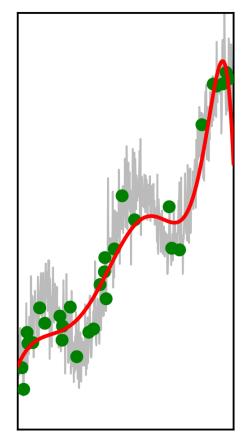


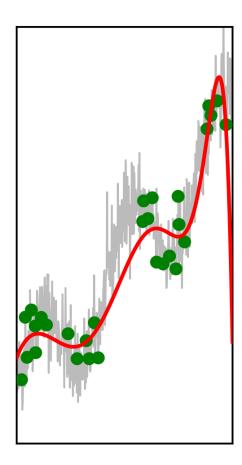
# Over-fitting









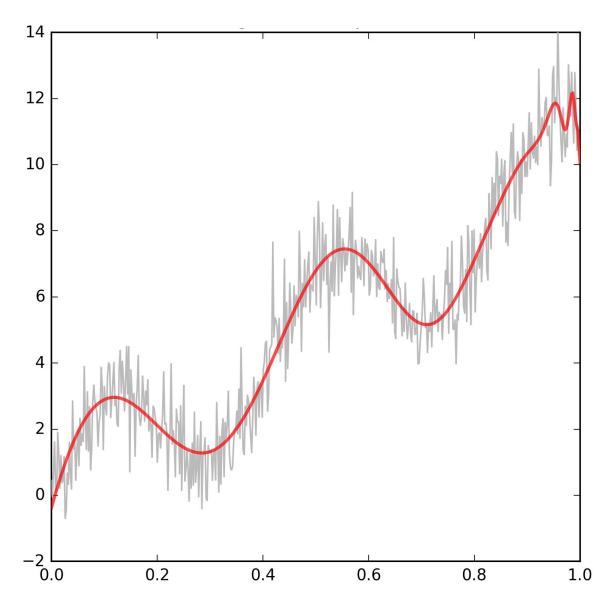


#### Occam's razor



#### OCCAM'S RAZOR

"WHEN FACED WITH TWO POSSIBLE EXPLANATIONS, THE SIMPLER OF THE TWO IS THE ONE MOST LIKELY TO BE TRUE."



20600 DL4CV, Boracchi, Magri

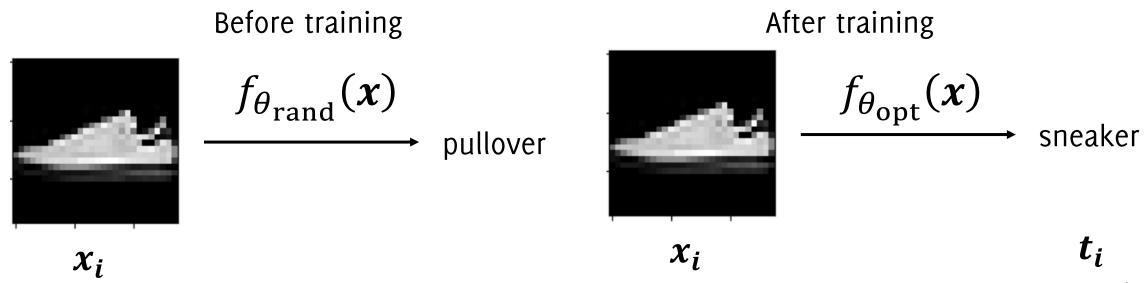
## How to prevent overfitting?

- early stopping
- add a regularization in the loss
- drop-out

### **Network Training**

#### Given:

- the training set  $TR = \{(x, y)_i, i = 1, ..., N\}$ ,
- a Neural Network  $f_{\theta}$  that depends on a collection of parameters  $\theta$ , the training optimizes the values of  $\theta$  such that f "learns" the correct values on the training set.



### Training in Supervised Settings

Networks learn by minimizing a loss function over the training set

$$TR = \{(x, y)_i, i = 1, ..., N\},\$$

The loss function

$$\mathcal{L}(\theta, TR) \in \mathbb{R}$$

returns a number that is low when  $f_{\theta}$  is good at predicting the target y over the entire TR. The loss function accounts of all the errors on TR.

#### Network training is an optimization process:

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$

Namely, finding the parameters  $\theta$  of the weights that minimize the loss

#### An Important Benefit of Neural Networks

- Losses used can be written and derived w.r.t. the network parameters.
- You do not simply know "the value of  $\mathcal{L}(\theta, TR)$ " for a given value of  $\theta$ , but you also know  $\nabla \mathcal{L}(\theta, TR)$ , which tells you how to modify  $\theta$  to reduce the value of the loss.
- Network training (namely parameters optimization) can be performed by Gradient Descent

$$\theta^{(i+1)} = \theta^{(i)} - \gamma \nabla \mathcal{L}(\theta^{(i)}, TR)$$

This iterative procedure converges to a local minima of the loss function (no guarantees of hitting the global minima). The  $\gamma>0$  parameter regulates the convergence speed and needs to be carefully adjusted to prevent the procedure to diverge

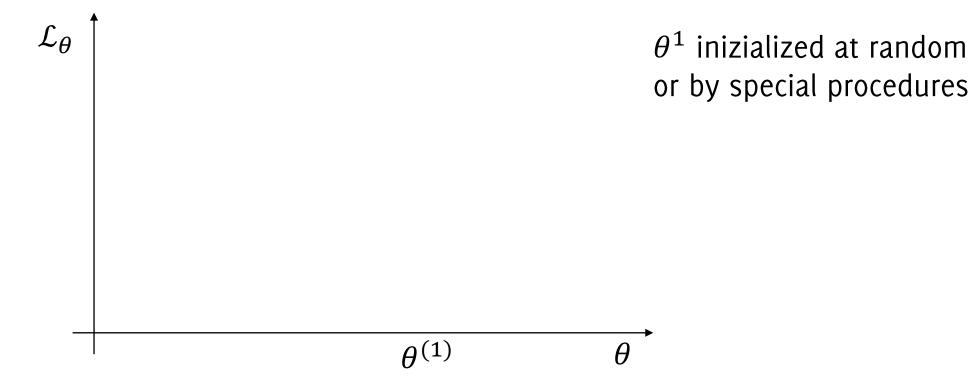
It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



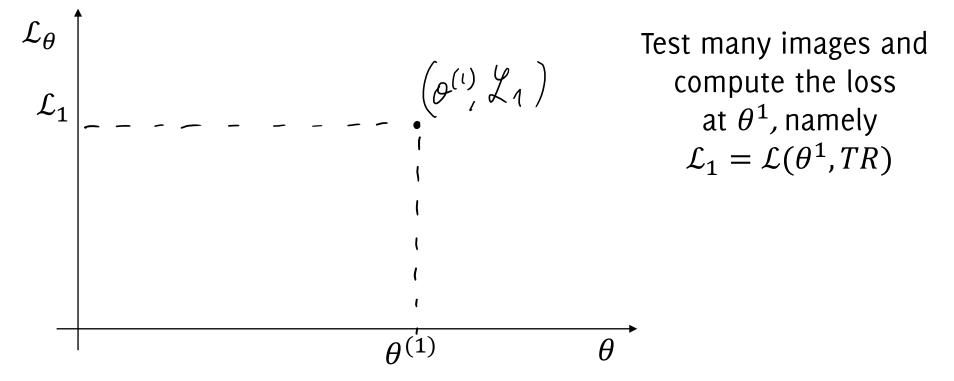
It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



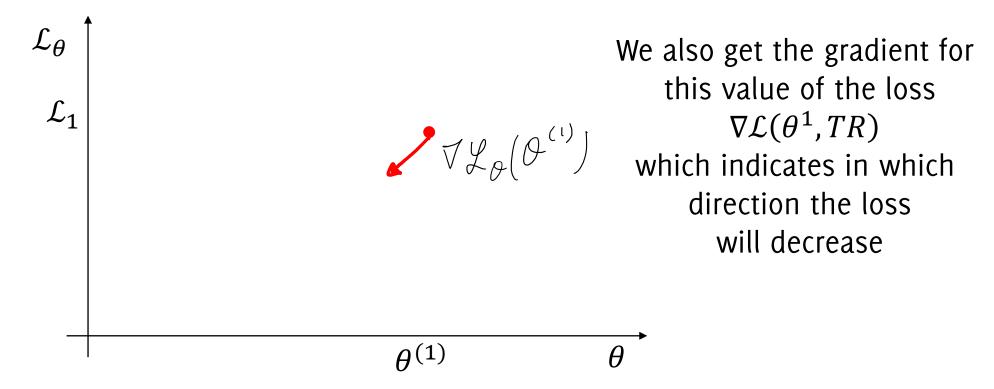
It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



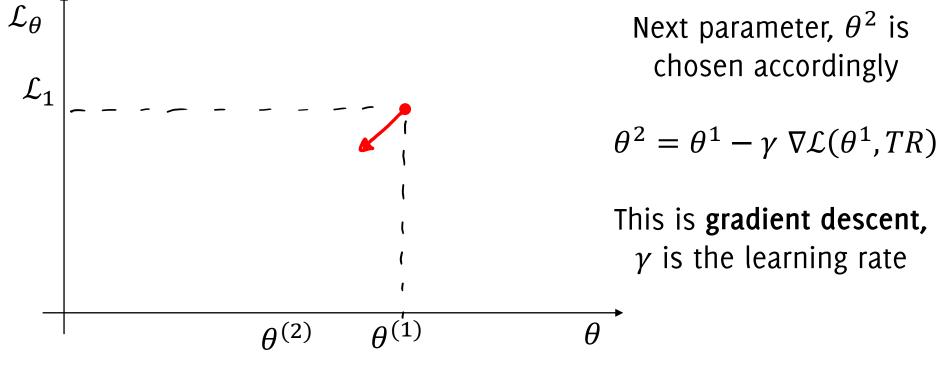
It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



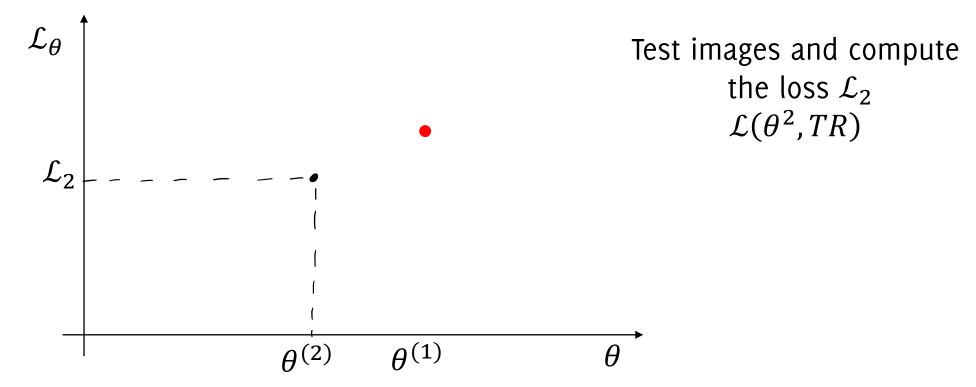
It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



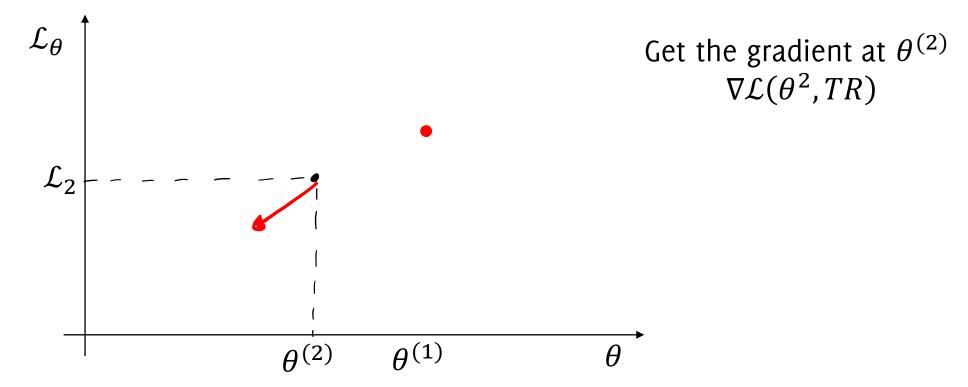
It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



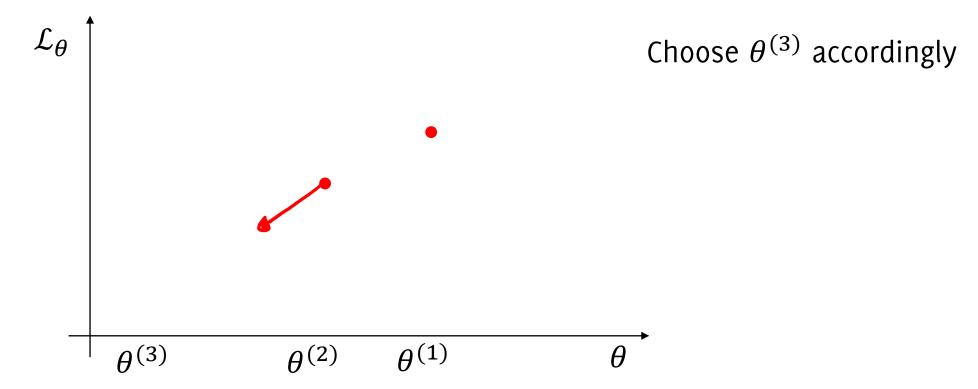
It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



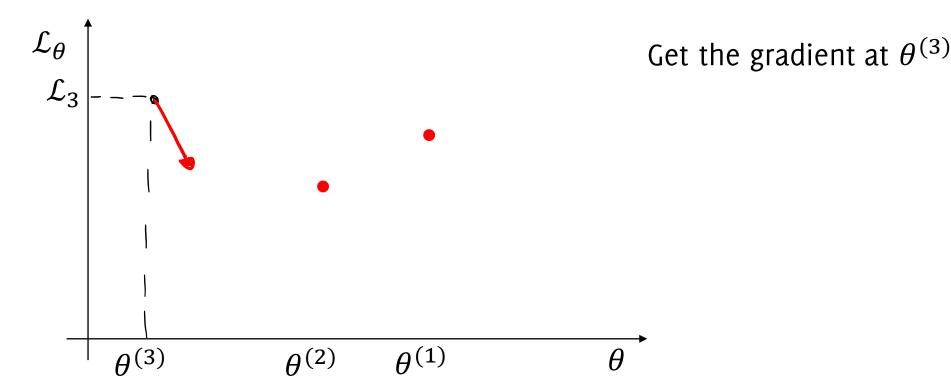
It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



It's an optimization problem

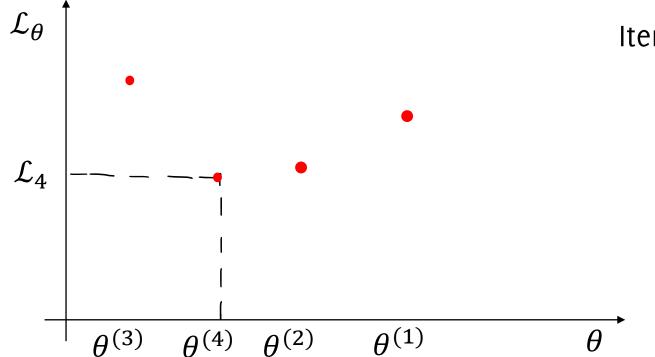
$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$

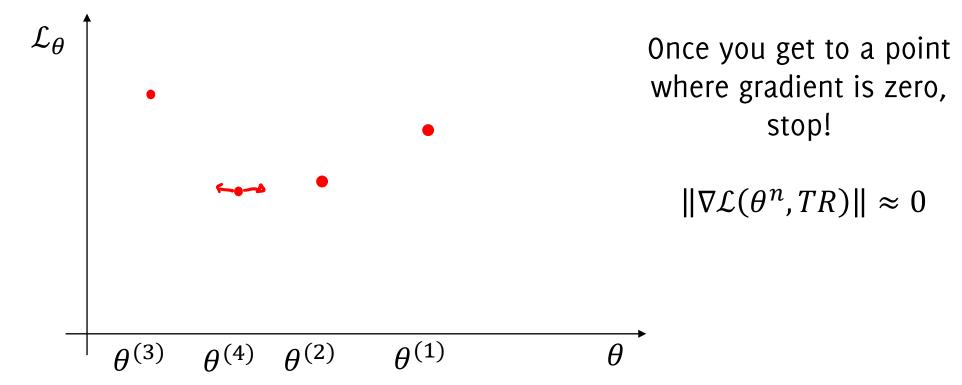
This is solved by an iterative procedure: gradient descent.



Iterate  $\theta^{(4)}$  and possibly many times

It's an optimization problem

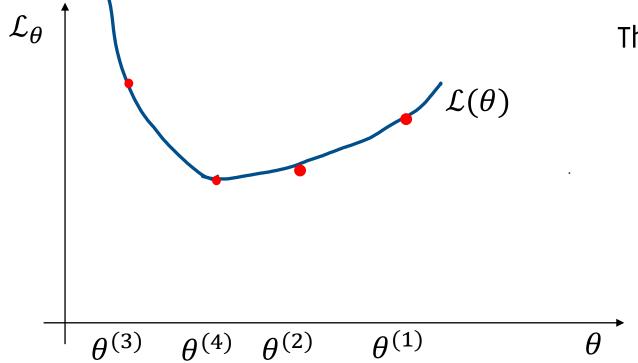
$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$



It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$

This is solved by an iterative procedure: gradient descent.

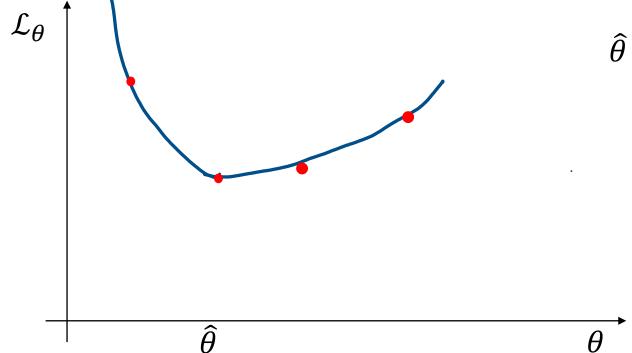


This is how we minimize the loss function

It's an optimization problem

$$\theta^* = \operatorname*{argmin}_{\theta} \mathcal{L}(\theta, TR)$$

This is solved by an iterative procedure: gradient descent.



 $\hat{\theta}$  is the network parameter

#### Do I need to take care of this process?

Of couse not!

```
learning_rate = 0.5
optimizer = tfk.optimizers.SGD(learning_rate)
```

The optimization process adjusts the learning rate  $\gamma$ , which is how much to trust the gradient in each iteration.

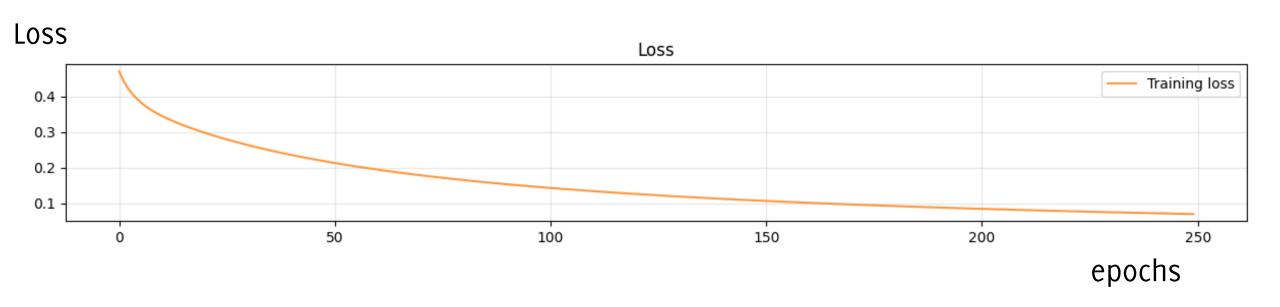
#### Do I need to take care of this process?

There are optimizers implemented that can adjust the step size to prevent the procedure to diverge, adopt momentum etc..

The most popular one is Adam optimizer

```
learning_rate = 1e-3
opt = tfk.optimizers.Adam(learning_rate)
```

#### Loss during training



(the number of times the entire training set is being scanned)

# Training Losses

#### Training in Supervised Settings

The MSE (Mean Squared Error) is the most popular loss for regression:

$$\mathcal{L}(\theta, TR) = \frac{1}{N} \sum_{i=1}^{N} (f_{\theta}(x_i) - y_i)^2$$

The loss measures how far the predictions  $f_{\theta}(x_i)$  are from the corresponding target  $y_i$ 

In keras: tfk.losses.MeanSquaredError()

#### Training in Supervised Settings

The most famous classification losses are different

Binary Cross-entropy (when  $y \in \{0,1\}$ )

$$\mathcal{L}(\theta, TR) = \frac{1}{N} \sum_{i=1}^{N} \left( y_i \log(f_{\theta}(x_i)) + (1 - y_i) \log(1 - f_{\theta}(x_i)) \right)$$

To minimize the loss, you want to minimize each summand, thus

- $f_{\theta}(x_i) \approx 0$  when  $y_i = 0$
- $f_{\theta}(x_i) \approx 1$  when  $y_i = 1$

In keras: tfk.losses.BinaryCrossentropy()

#### Training in Supervised Settings

In case of multi-class classification we have the

Categorical Cross-entropy, when  $\#\Lambda > 2$ :

$$\mathcal{L}(\theta, TR) = \frac{1}{N} \sum_{i=1}^{N} \sum_{j=1}^{\#\Lambda} [\mathbf{y}_i]_j \log([f_{\theta}(x_i)]_j)$$

Where  $[y_i]_j$  is the  $j^{\mathrm{th}}$  component of the vector  $y_i$ 

This means you want the network to return a vector  $f_{\theta}(x_i)$  having

- $[f_{\theta}(x_i)]_j \approx 0$  when  $[y_i]_j = 0$ , i.e., low probability to the wrong class
- $[f_{\theta}(x_i)]_j \approx 1$  when  $[y_i]_j = 1$ , i.e., high probability to the correct class

In keras: tfk.losses.CategoricalCrossentropy()

## Performance Assessment

#### Training

However we don't care very much on mistakes on the Training Set, we want that our network can correctly predict labels on unseen data. We assess our model on the Test Set.

In the metaphor of learning, it is the same difference as «parroting» the lesson, or really understanding what one has studied.

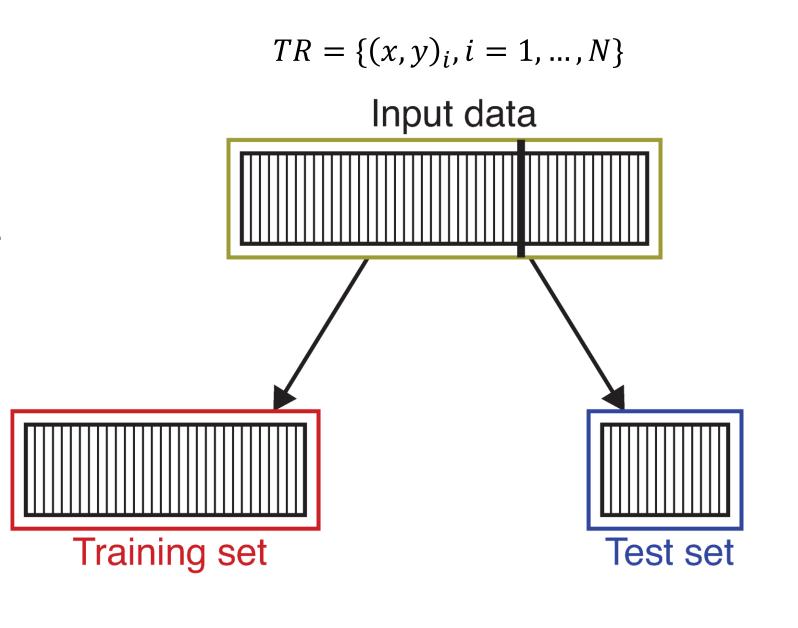


#### Training, testing

Training set: the data used to learn the model parameters

Test set: used only at the end to perform final model assessment

The test should be used only when all the parameters are fixed, to assess how good the model can generalize

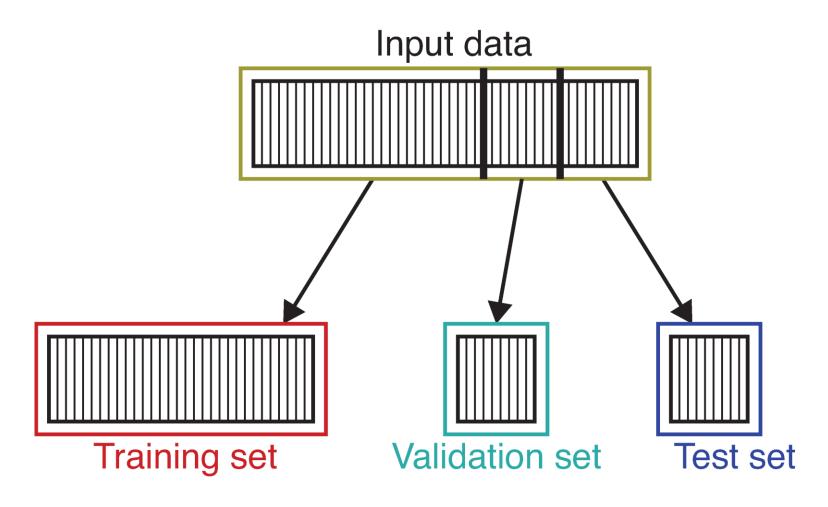


#### Training, testing and validation

Training set: the data used to learn the model parameters

Test set: used only at the end to perform final model assessment

Validation set: the data used to perform "model selection"



#### Training, testing and validation

We want that all the splits have the same distribution of the input data.

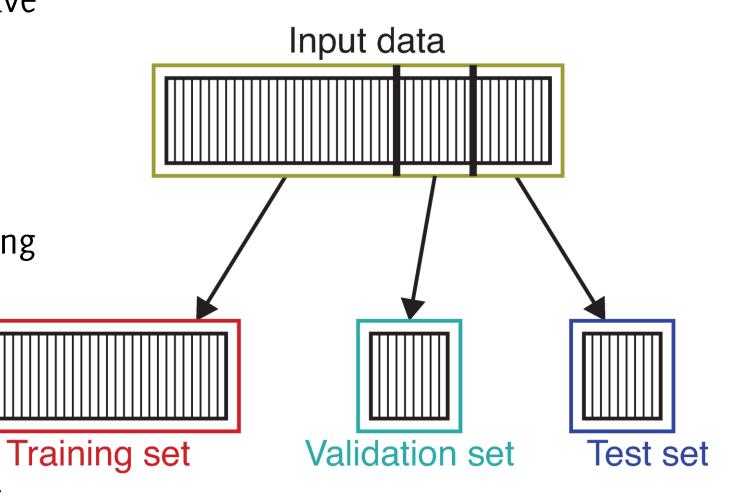
**Cross-Validation:** 

Parameters are optimized using

Training set

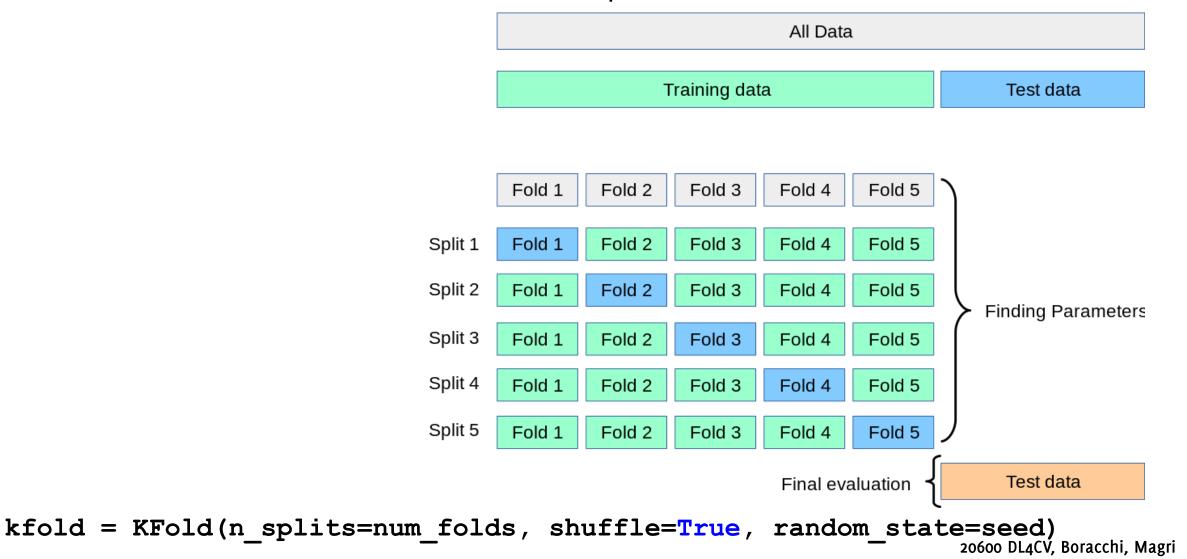
Validation set

Network performance is assessed on the independent test set



#### K-fold Cross-Validation

This is meant to use the entire dataset for performance assessment

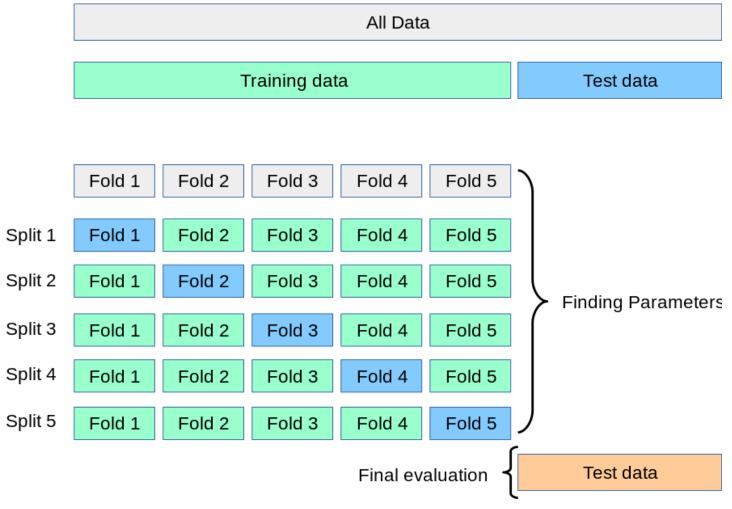


#### K-fold Cross-Validation

This is meant to use the entire dataset for performance assessment

K-fold cross validation can be extended in two directions:

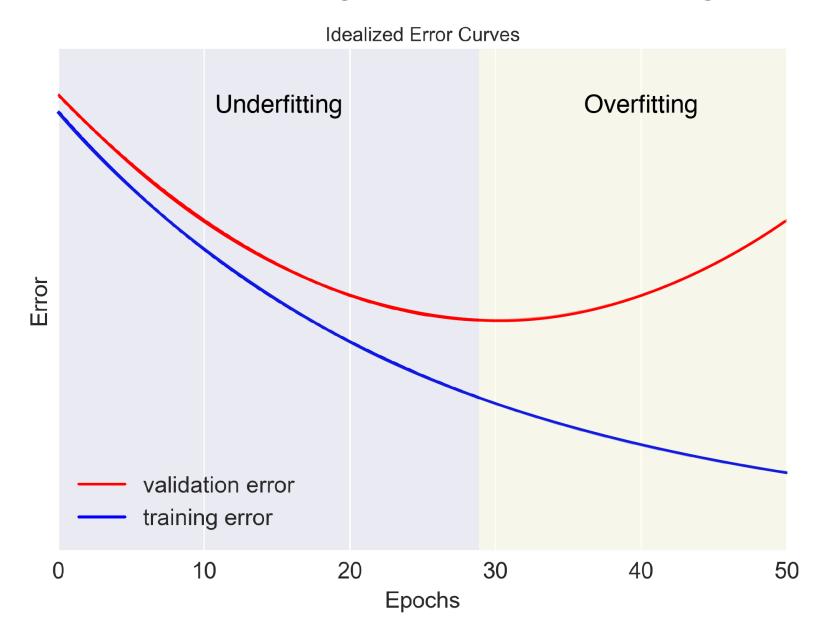
- Leave-one-out cross
   validation, where you use
   as test set a single sample
   (and train N 1 models)
- Split is ruled by specific criteria rather than random to assess the generalization performance: e.g., stratified cross-validation, leave-onepatient-out



kfold = KFold(n\_splits=num\_folds, shuffle=True, random\_state=seed)
20600 DL4CV, Boracchi, Magri

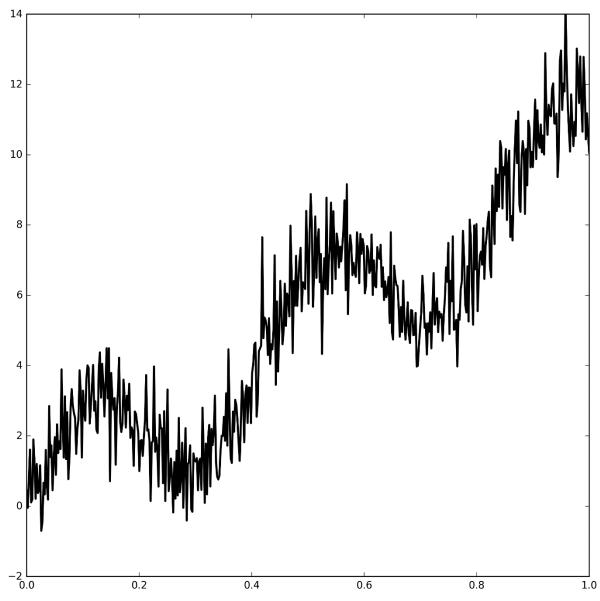
## Overfitting and Countermeasures

#### Underfitting and overfitting

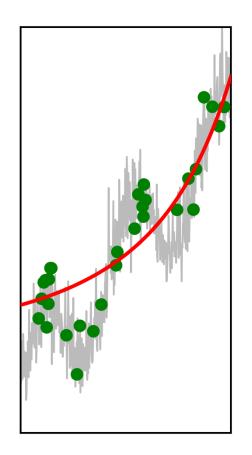


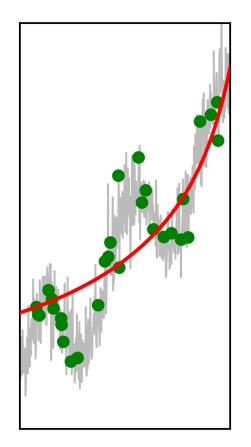
Overfitting networks show a monotone training error trend (on average with SGD) as the number of gradient descent iterations, but they lose generalization at some point ...

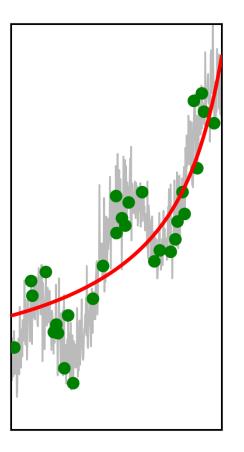
### What happens with the data?

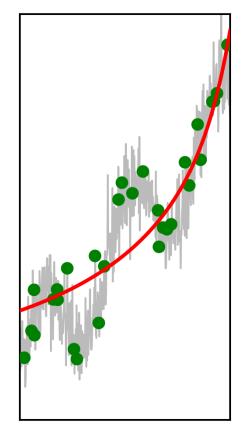


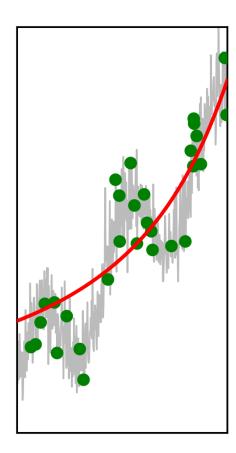
## Under-fitting



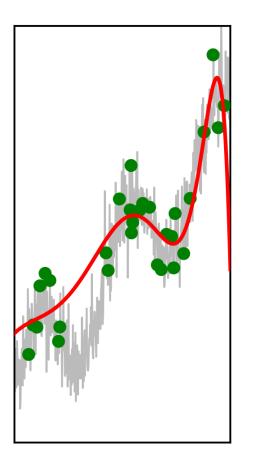


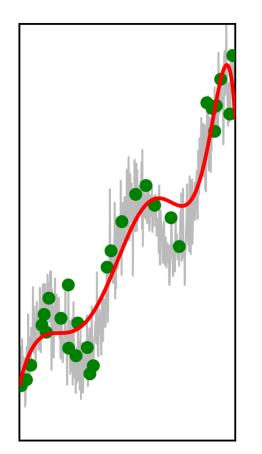


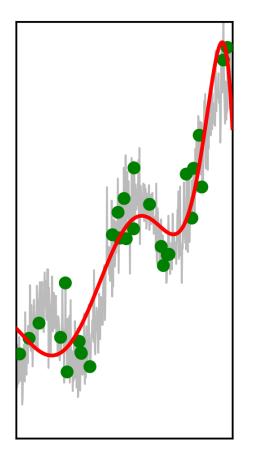


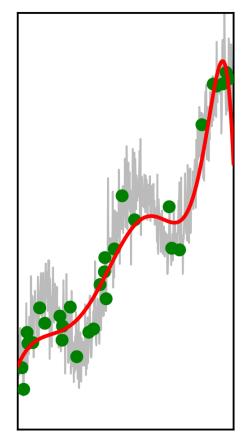


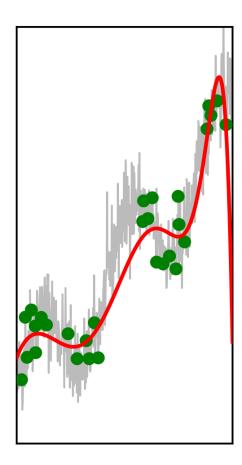
### Over-fitting











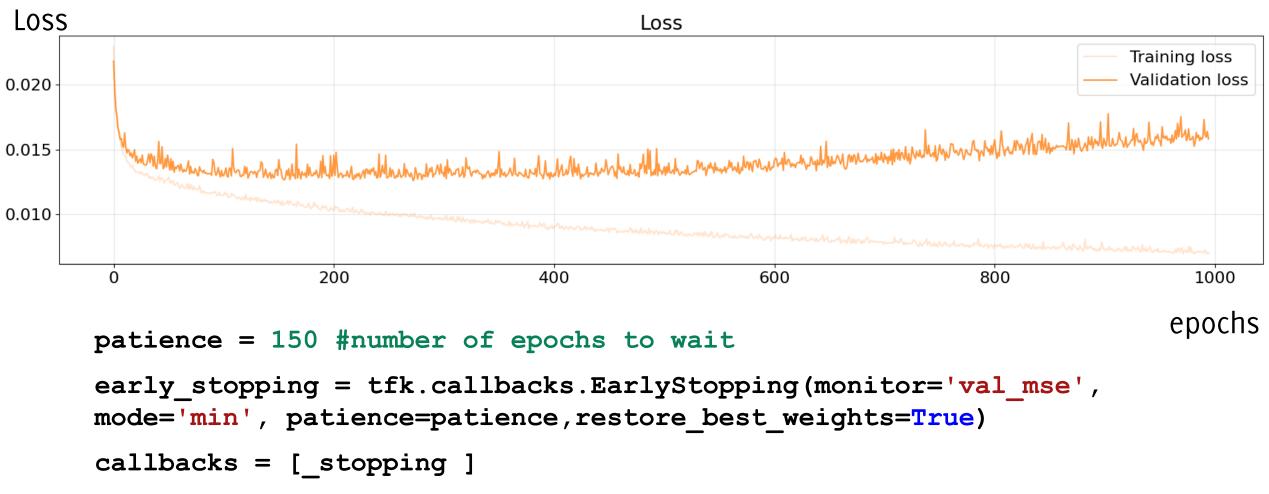
#### Solution to Prevent Overfitting

The most common strategies to prevent overfitting when training NN:

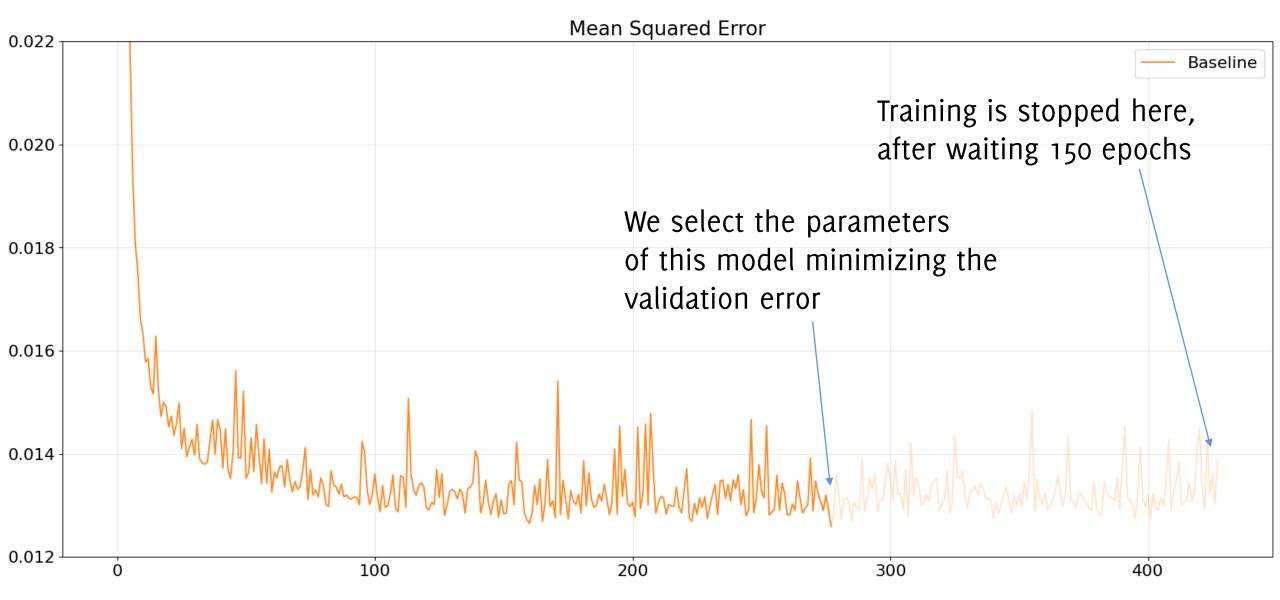
- early stopping
- add a regularization term to the loss
- drop-out

#### Early Stopping

Stop the training process when the validation error stops decreasing



### Early Stopping

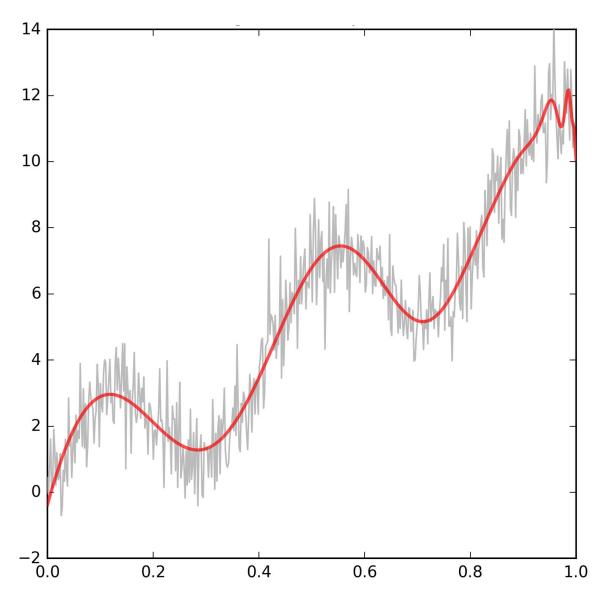


#### Regularization (on the loss side)



#### OCCAM'S RAZOR

"WHEN FACED WITH TWO POSSIBLE EXPLANATIONS, THE SIMPLER OF THE TWO IS THE ONE MOST LIKELY TO BE TRUE."



#### Regularization loss

Loss seen so far includes only *data-fidelity term*, thus tend to return models that can explain data at best.

$$\mathcal{L}(\theta, TR) = \frac{1}{N} \sum_{i=1}^{N} (f_{\theta}(x_i) - y_i)^2$$

This promotes *overly complex* models.

Add a term to the loss to penalize model complexity

$$\mathcal{L}(\theta, TR) = \frac{1}{N} \sum_{i=1}^{N} (f_{\theta}(x_i) - y_i)^2 + \lambda \mathcal{R}(\theta)$$

#### Popular Regularizer

#### Ridge regression

$$\mathcal{L}(\theta, TR) = \frac{1}{N} \sum_{i=1}^{N} (f_{\theta}(x_i) - y_i)^2 + \lambda \|\theta\|_2^2$$

In gradient descent,  $\theta^{(i+1)} = \theta^{(i)} - \gamma \nabla \mathcal{L}(\theta^{(i)}, TR)$  this adds a term  $-2\lambda\theta$ , which implies that weights tend towards zero. Therefore, this procedure is also called **weight decay**.

In keras, you need to add this parameter to each layer
output\_layer = tfkl.Dense(units=1,name='Output',
kernel\_regularizer=tf.keras.regularizers.12(12\_lambda))(
hidden activation)

#### Popular Regularizer

Lasso

$$\mathcal{L}(\theta, TR) = \frac{1}{N} \sum_{i=1}^{N} (f_{\theta}(x_i) - y_i)^2 + \lambda \|\theta\|_1$$

This tend to have **sparse solutions**, where many parameters (or network weights) are zero, and few are not.

In keras, you need to add this parameter to each layer

```
output_layer = tfkl.Dense(units=1,name='Output',
kernel_regularizer=tf.keras.regularizers.l1(l1_lambda))(
hidden_activation)
```

#### Popular Regularizer

#### **Elastic Net**

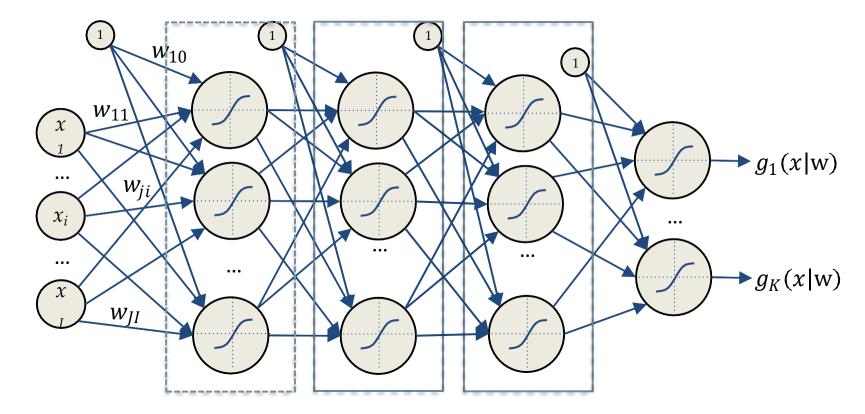
$$\mathcal{L}(\theta, TR) = \frac{1}{N} \sum_{i=1}^{N} (f_{\theta}(x_i) - y_i)^2 + \lambda \|\theta\|_1 + \mu \|\theta\|_2^2$$

This tend to have yet **sparse solutions** but with a smoother loss function ( $\|\cdot\|_1$  is not differentiable in zero).

In keras, you need to add this parameter to each layer
output\_layer = tfkl.Dense(units=1,name='Output',
kernel\_regularizer=tf.keras.regularizers.L1L2(l1\_lambda,
12 lambda)) (hidden activation)

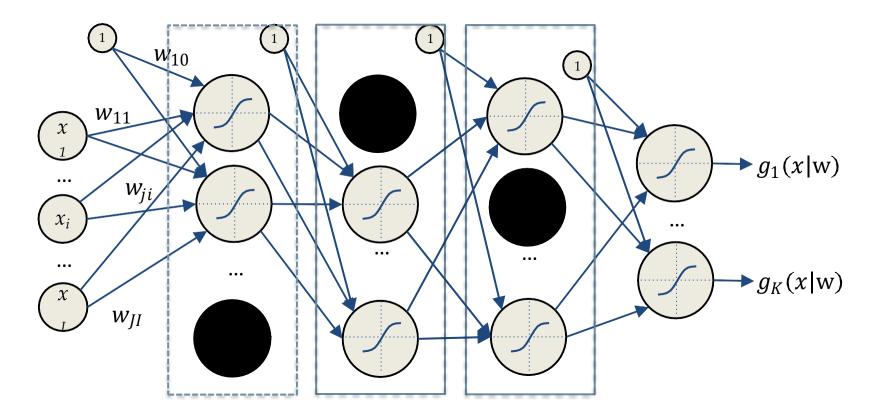
By turning off randomly some neurons we force to learn an independent feature preventing hidden units to rely on other units (co-adaptation):

• Each hidden unit is set to zero with  $p_j$  probability, e.g.,  $p_j = 0.3$ 



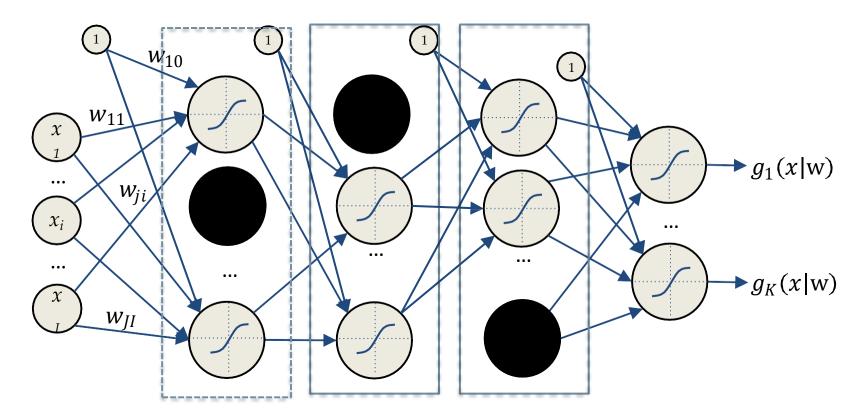
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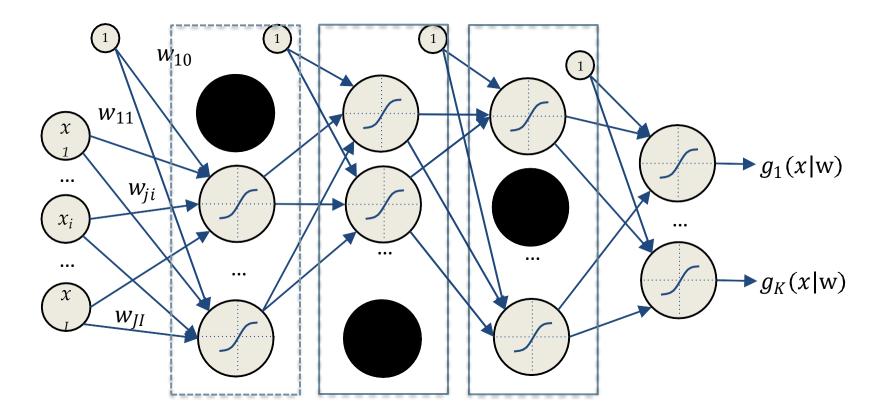
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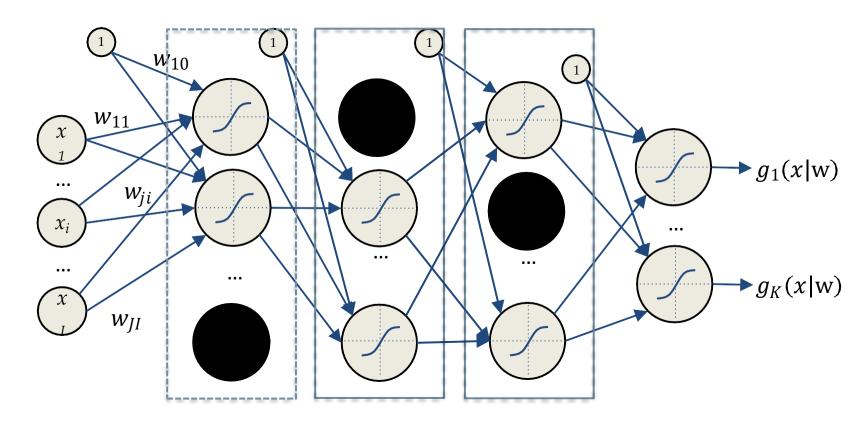


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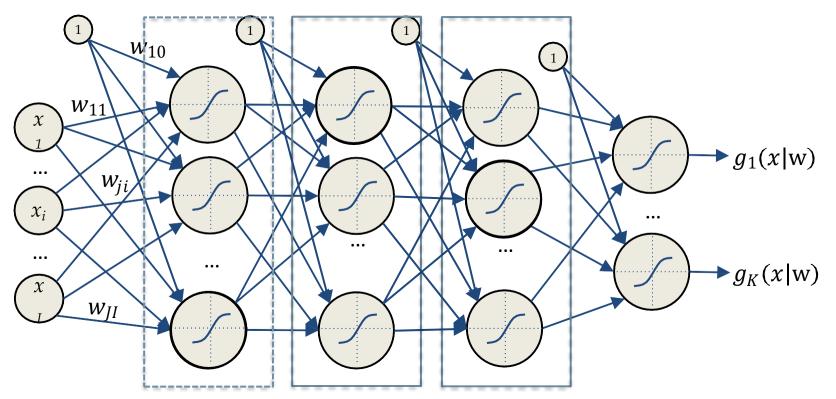


Dropout trains weaker classifiers, on different mini- batches and then at test time we implicitly average the responses of all ensemble members.



Dropout trains weaker classifiers, on different mini- batches and then at test time we implicitly average the responses of all ensemble members.

At testing time we remove masks and average output (by weight scaling)



Behaves as an ensemble method

Dropout complements the other regularization methods.

```
In keras, you just add a layer to the network
```

```
dropout = tfkl.Dropout(dropout_rate,
seed=seed) (hidden_activation)
```

# Data Preprocessing

#### Preprocessing

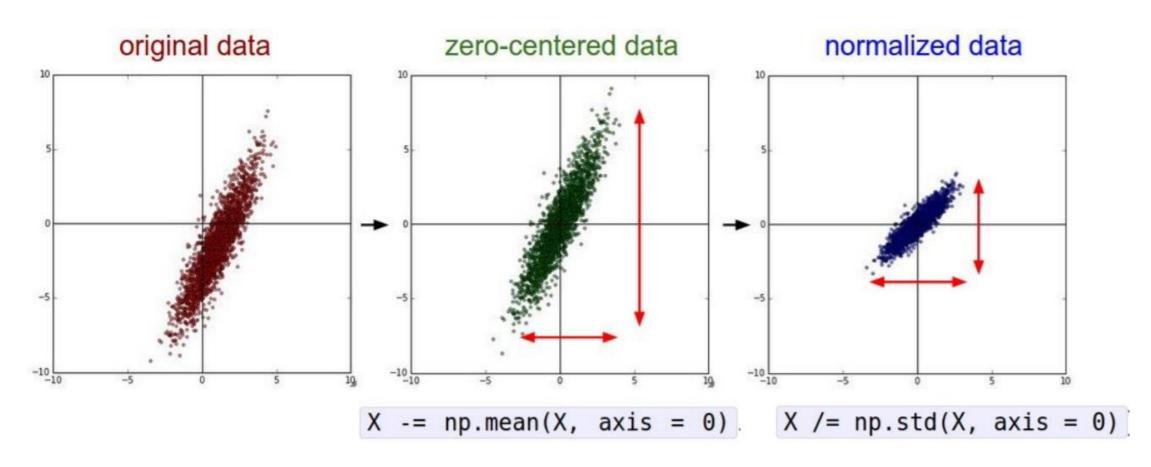
In general, normalization can improve convergence of gradient-based optimizers.

Normalization is meant to **bring training data "around the origin"** and possibly further rescale the data

In practice, optimization on pre-processed data is made easier and results are less sensitive to perturbations in the parameters

There are several options

#### There are different form of preprocessing



This option brings the data to zero mean and unitary variance along each component

### There are different form of preprocessing

This option brings the data to the range [-1,1] in each component

```
max_df = X_train.max()
min_df = X_train.min()

X_train_val = (X_train_val - min_df)/(max_df - min_df)

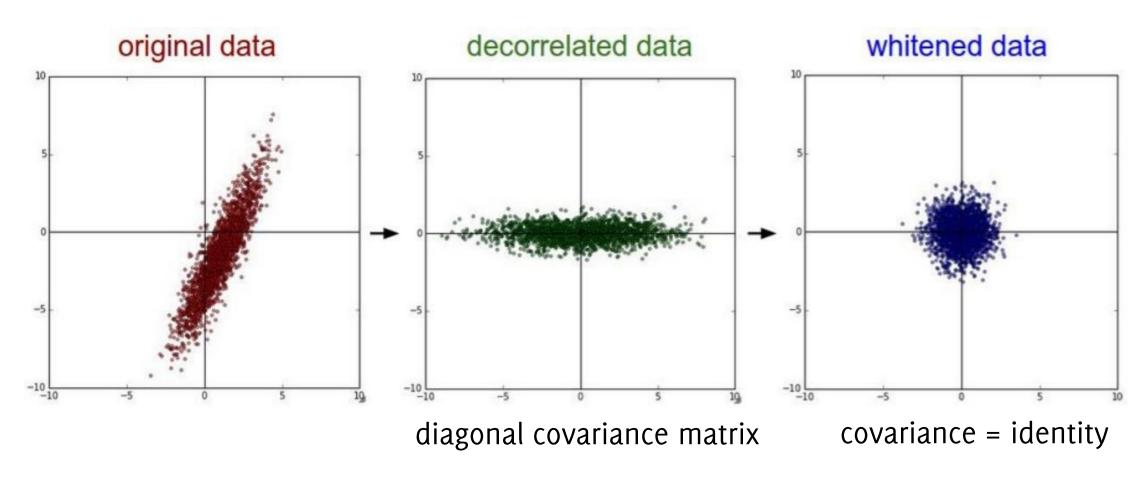
X train = (X train - min df)/(max df - min df)
```

#### Watch out:

- You might want to scale also the target in case of regression, as too large component might dominate when computing the error.
- This normalization might heavily suffer of outliers!

### PCA – based preprocessing

This is performed after having «zero-centered» the data



### **Preprocessing and Training**

- Any preprocessing statistics (e.g. the data mean) must be computed on training data, and applied to the validation / test data.
- Do not normalize first and then split in training, validation, test
- Normalization statistics are parameters of your ML model

## TODO:

### Colab Notebooks

First Colab Notebook is

Feedforward Neural Network.ipynb

This is already prepared notebook to show you

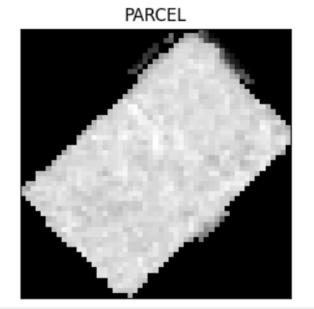
- how to assemble Neural Networks (MLP) for classifying tabular data (IRIS DATA)
- How to train Neural Networks on tabular data
- How to assess performance of Neural Networks

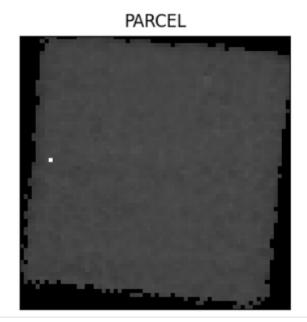
You will then be asked to replicate the same on penguin dataset

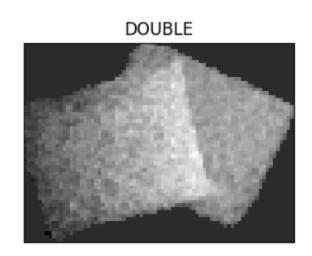
### Colab Notebooks

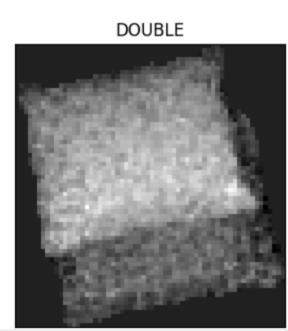
The second Colab Notebook implements the parcel classification problem: 2023\_Lez\_03\_handcrafted\_feature\_classifier\_parcel.ipynb

```
Training image index 181 has shape (53, 53) and label PARCEL Training image index 91 has shape (67, 66) and label PARCEL Training image index 149 has shape (57, 77) and label DOUBLE Training image index 116 has shape (65, 62) and label DOUBLE Training image index 228 has shape (74, 64) and label DOUBLE Training image index 73 has shape (39, 34) and label PARCEL Training image index 138 has shape (68, 93) and label DOUBLE Training image index 94 has shape (69, 51) and label PARCEL
```









### Colab Notebooks

The script is operational, but:

- Implement additional hand-crafted features in the function makefeatures
- Implement one of the following classifiers
  - Neural Network (refer to the notebook on feed-forward NN)
  - k-nearest neighbor
  - Decision Three

# Let's go back to Image Classification

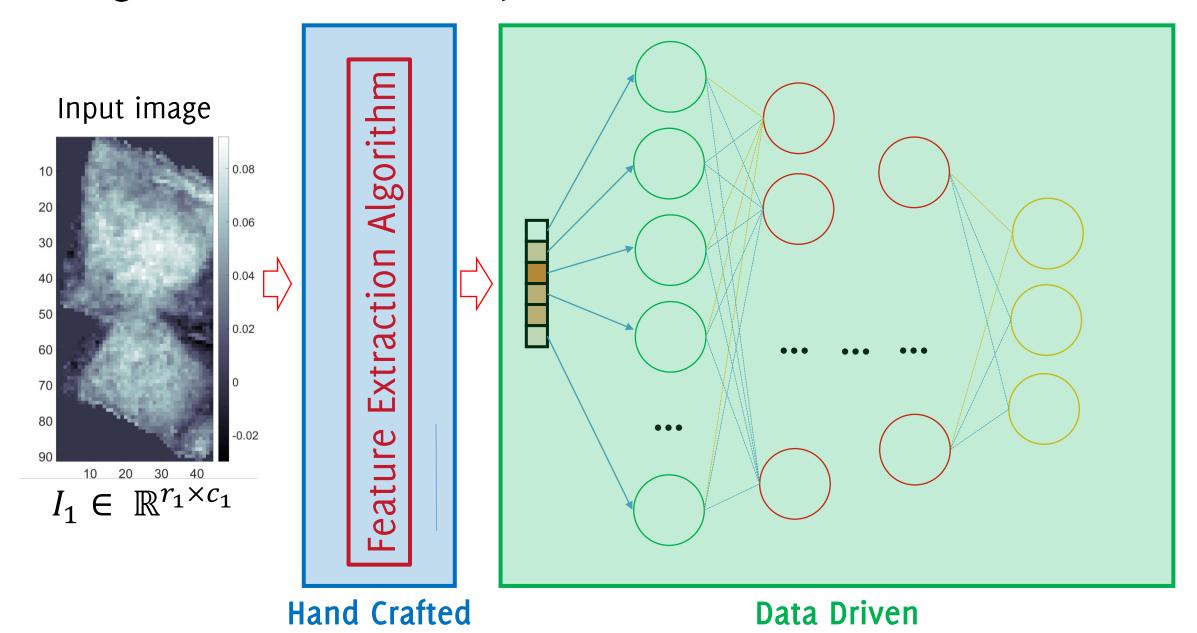
Giacomo Boracchi

giacomo.boracchi@unibocconi.it

February 14<sup>th</sup> 2024 UEM, Maputo

https://boracchi.faculty.polimi.it

### Image Classification by Hand Crafted Features



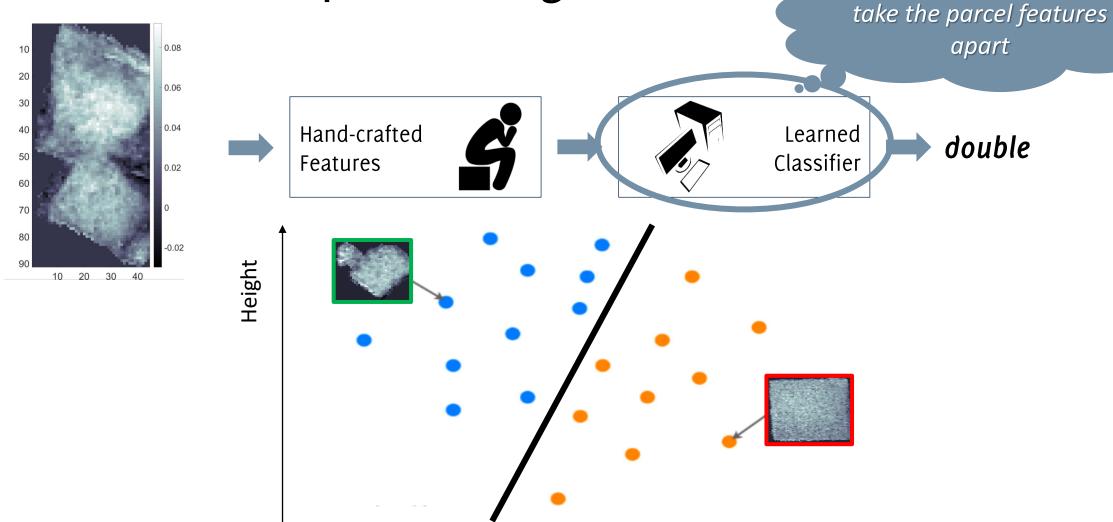
### Hand Crafted Featues, pros:

- Exploit a priori / expert information
- Features are **interpretable** (you might understand why they are not working)
- You can adjust features to improve your performance
- Limited amount of training data needed
- You can give more relevance to some features

### Hand Crafted Featues, cons:

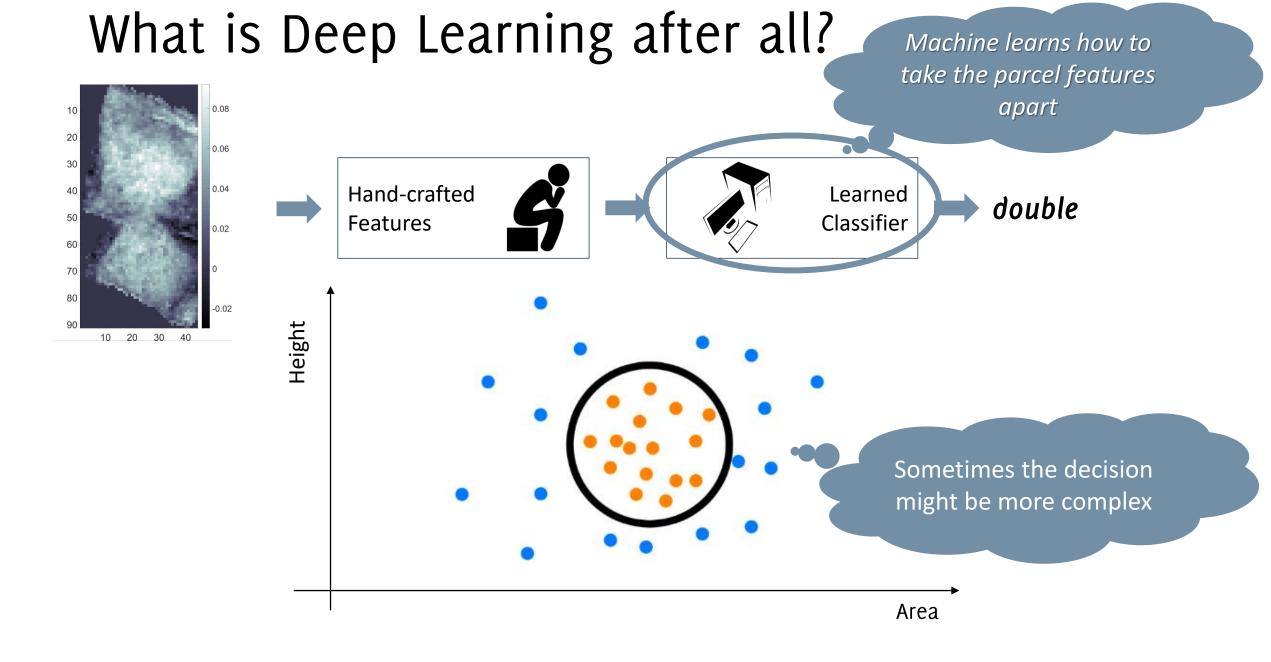
- Requires a lot of design/programming efforts
- **Not viable** in many **visual recognition** tasks that are easily performed by humans (e.g. when dealing with natural images)
- Risk of overfitting the training set used in the feature design
- Not very general and "portable"

### What is Deep Learning after all?



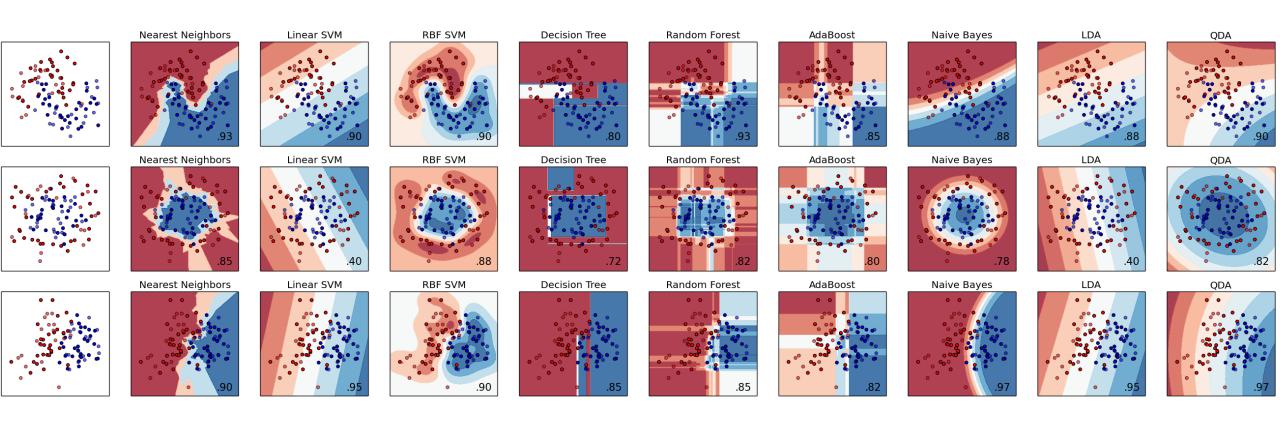
Machine learns how to

Area



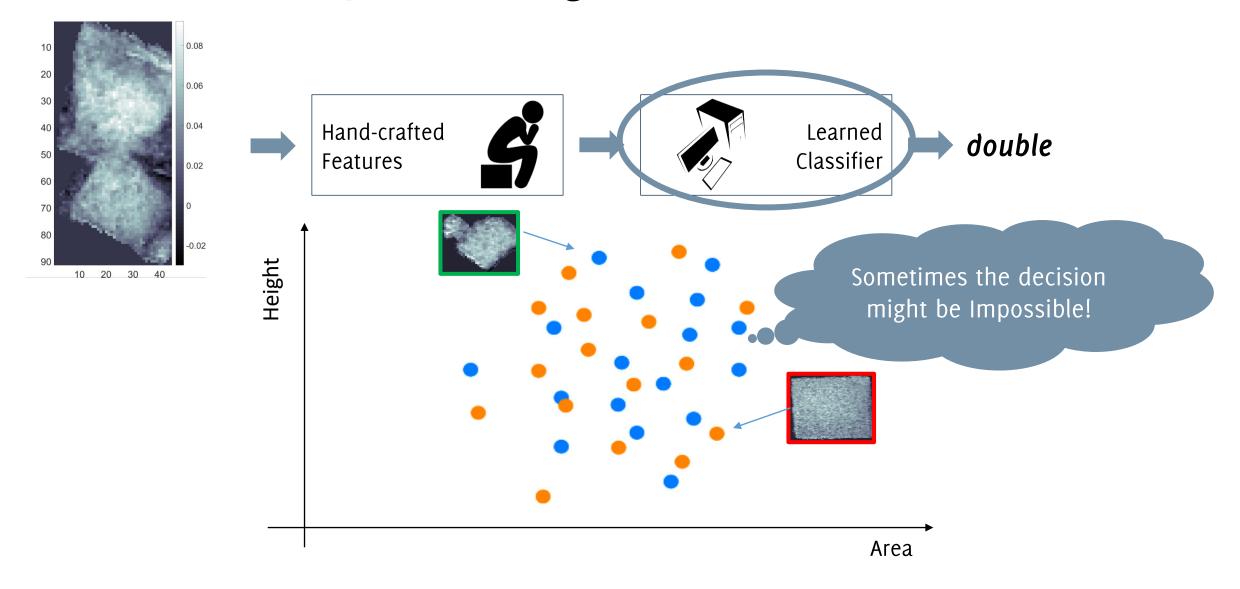
### What is Deep Learning after all?

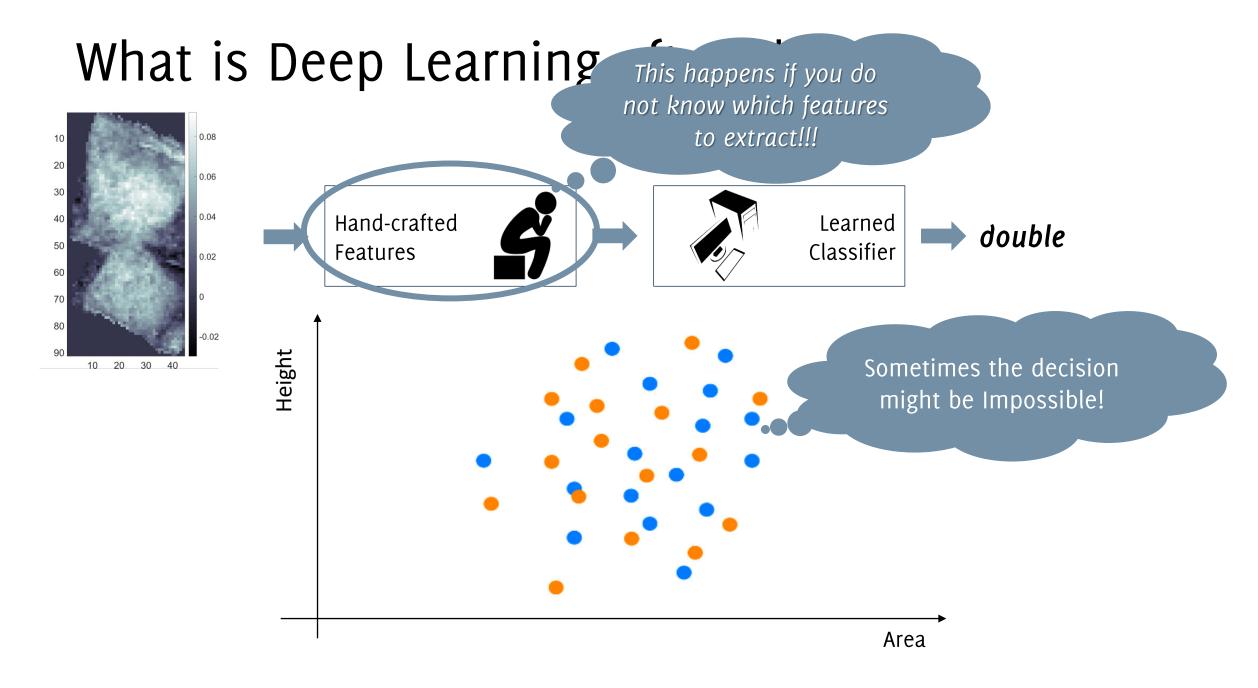
### Machine learns how to take the Iris apart



Sepal Lenght

### What is Deep Learning after all?





### Data Driven Features

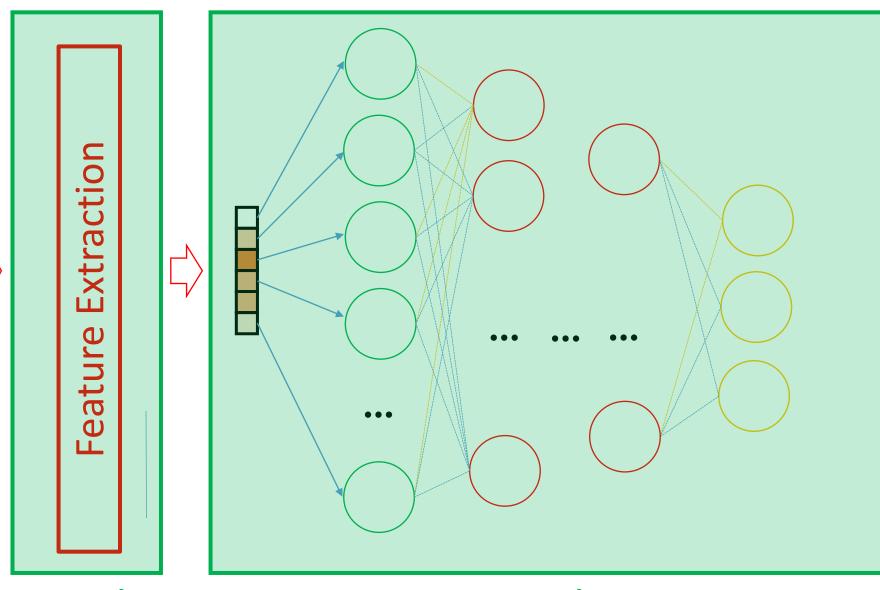
... the advent of Deep Learning

### Data-Driven Features

Input image



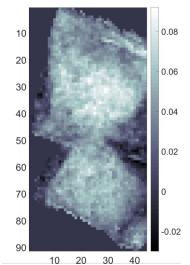
 $I_1 \in \mathbb{R}^{r_1 \times c_1}$ 

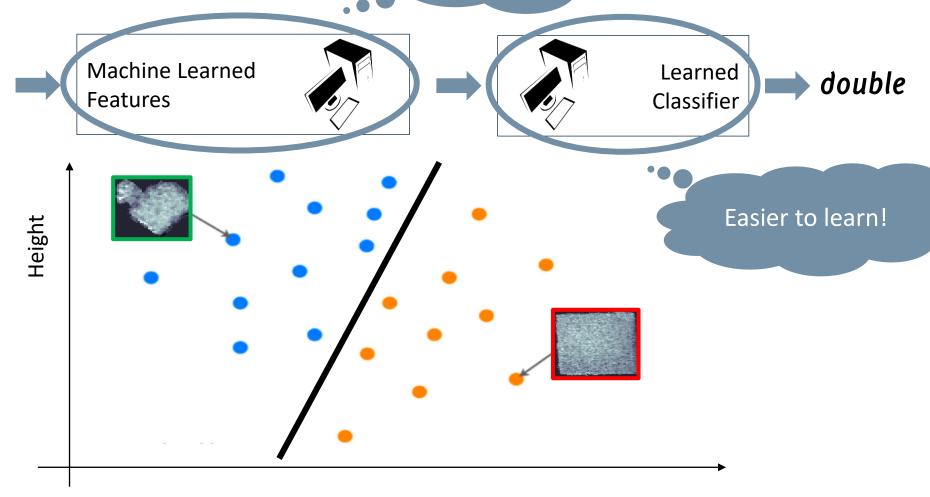


**Data Driven** 

**Data Driven** 

# What is Deep Learning a Optimized for the task!





#### Learning aft Hierarchical representation optimized for the task! Learn from data! 0.08 20 0.06 30 Learned Learned Learned Learned double 40 features Classifier features features 50 60 70 80 10 20 30 40 Deep Learning is about learning data representation from data! But which data?

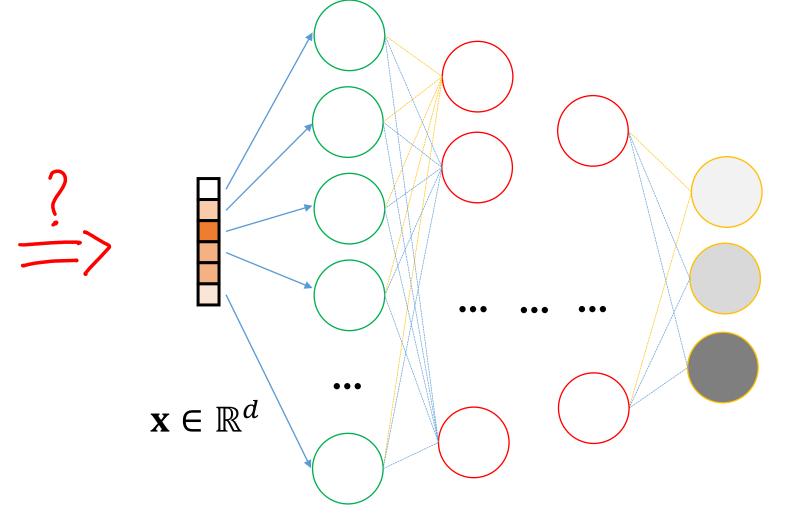
### Linear Classifier

the basic building block for deep architectures

### How to feed images to NN?

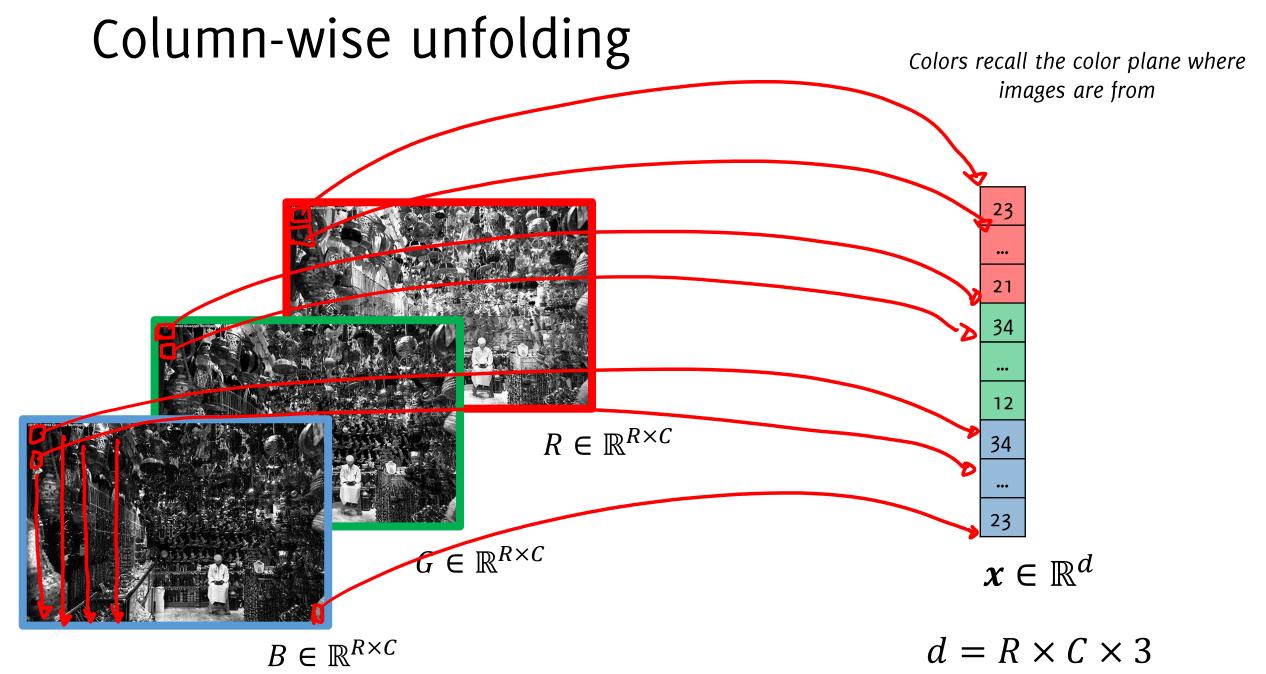






input layer

Hidden layer(s)



20600 DL4CV, Boracchi, Magri

### Classification over the CIFAR-10 dataset

bird

cat

deer

dog

frog

horse

ship

truck

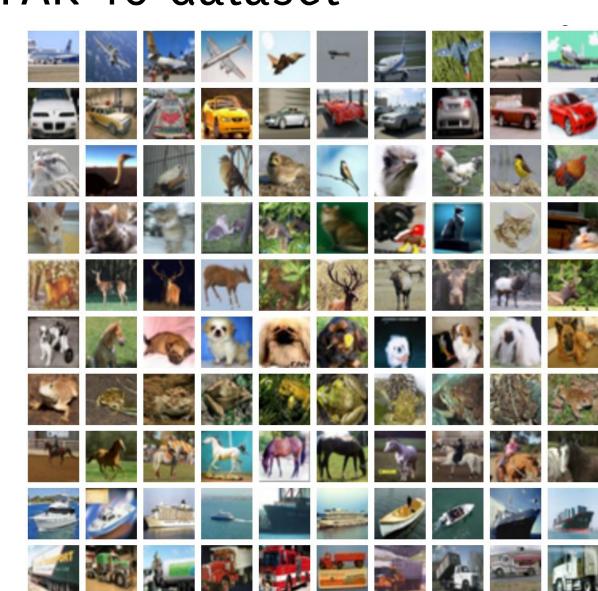
airplane

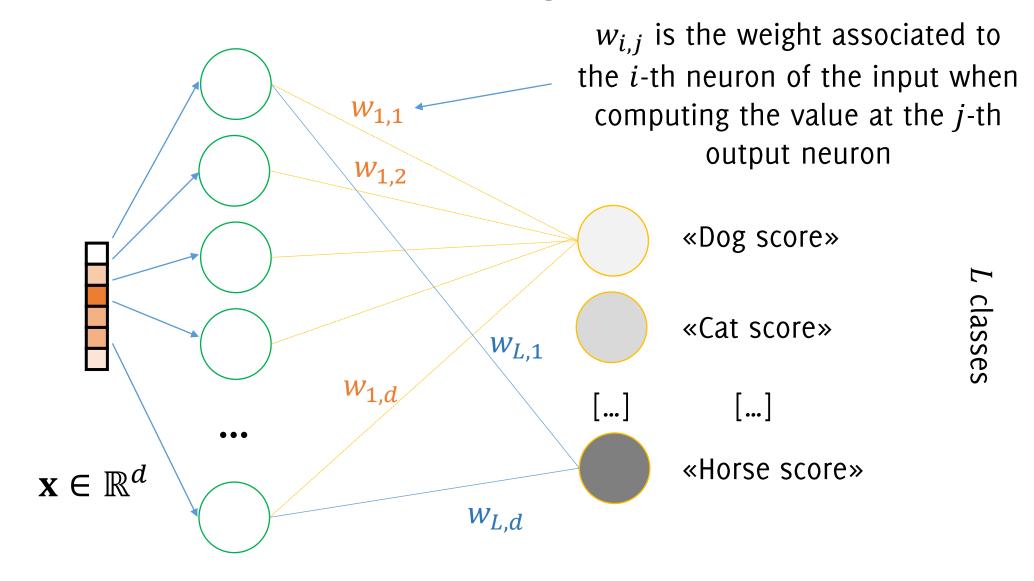
automobile

The CIFAR-10 dataset contains 60000 images:

- Each image is 32x32 RGB
- Images are in 10 classes
- 6000 images per class

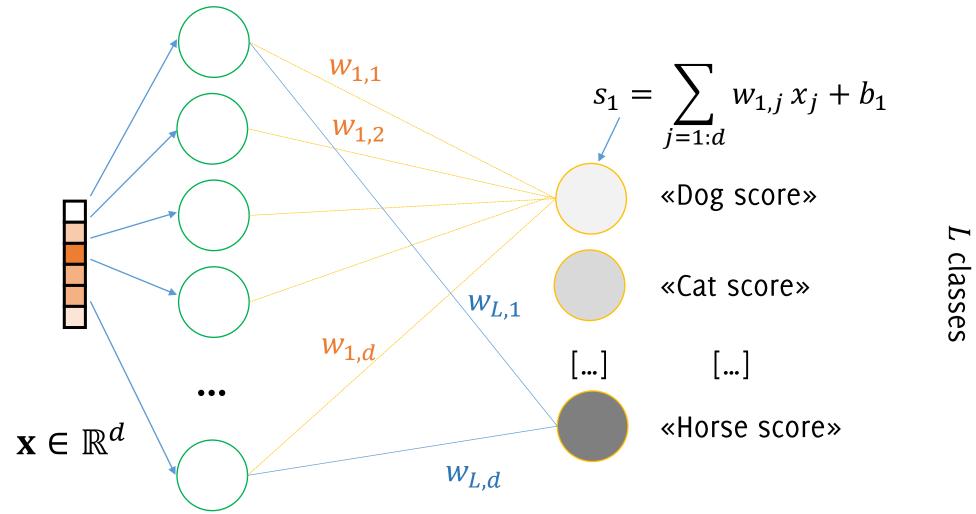
$$x \in \mathbb{R}^d$$
,  $d = 3072$ 





input layer

**Output Layer** 



### model.summary();

Layer (type)	Output Shape	Param #
Input (InputLayer)	[(None, 32, 32, 3)]	0
Flatten (Flatten)	(None, 3072)	0
Output (Dense)	(None, 10)	30730

Total params: 30,730

Trainable params: 30,730

Non-trainable params: 0

### Why don't we take a larger network?

Dimensionality prevents us from using in a straightforward manner deep NN as those seen so far.

Let's take a network with an hidden layer having half of the neurons of the input layer.

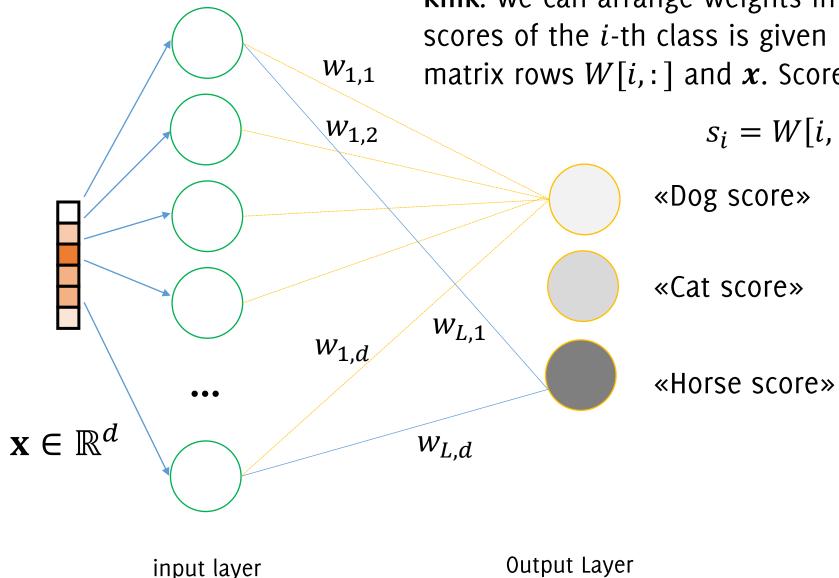
On CIFAR10 images, the number of neurons would be:

- 3072 first layer
- 10 output layer

```
1,536 * 3,072 + 1,536 = 4,720,128 parameters (!)
1536 second layer
10 output layer

1,536 * 3,072 + 1,536 = 4,720,128 parameters (!)
10* 1,536 + 10 = 15,370 parameters
```

$$10^* 1,536 + 10 = 15,370$$
 parameters



**Rmk**: we can arrange weights in a matrix  $W \in \mathbb{R}^{L \times d}$ , then the scores of the i-th class is given by inner product between the matrix rows W[i,:] and x. Scores then becomes:

$$s_i = W[i,:] * \mathbf{x} + b_i$$

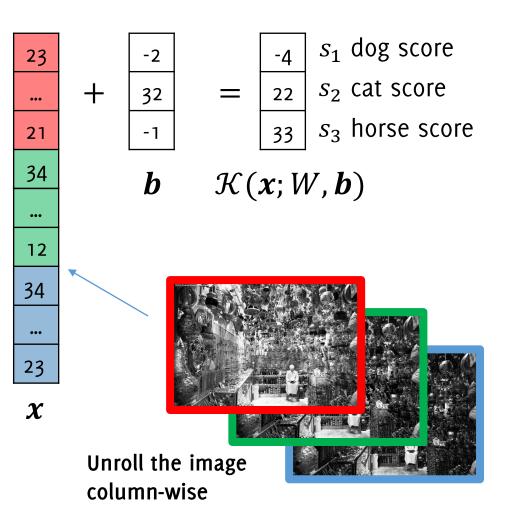
**Rmk**: nonlinearity is not needed here since there are no layers following

**Rmk**: we can also ignore the softmax in the output since this would not change the order of the scores (would just normalize them)

$$W \in \mathbb{R}^{L \times d}$$

-8.1	•••	2.7	9.5	•••	-9.0	-5.4	•••	4.8
9.0								
1.2	•••	9.5	-8.0	•••	8.1	-2.7	•••	9.5

Rmk: colors indicate to which color plane in the image these weights refer to



$$W \in \mathbb{R}^{L \times d}$$

W[I;]	-8.1	•••	2.7	9.5	•••	-9.0	-5.4	•••	4.8
W[1,:]	9.0	•••	5.4	4.8	•••	1.2	9.5	•••	-8.0
W[3,:]									

**~** 

34

34

23

X

21

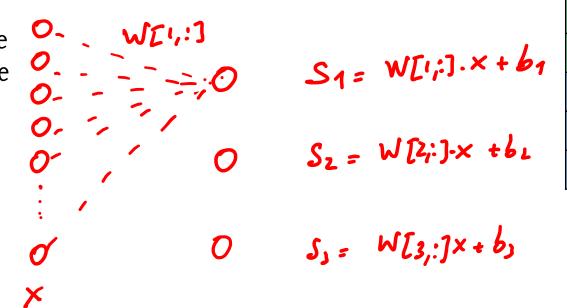
23

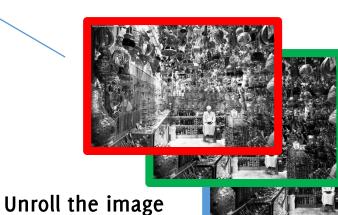
 $\mathcal{K}(\boldsymbol{x}; W, \boldsymbol{b})$ 

b

column-wise

Rmk: colors indicate to which color plane in the image these weights refer to





### This simple layer is a linear classifier

In linear classification  $\mathcal K$  is a linear function:

$$\mathcal{K}(\mathbf{x}) = W\mathbf{x} + b$$

23

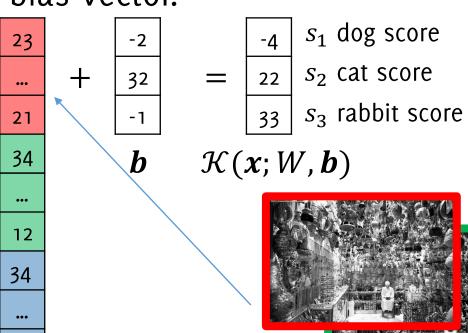
 $\boldsymbol{\chi}$ 

where  $W \in \mathbb{R}^{L \times d}$ ,  $b \in \mathbb{R}^{L}$  are the parameters of the classifier  $\mathcal{K}$ .

W are referred to as the weights, b the bias vector.

-8.1	•••	2.7	9.5	•••	-9.0	-5.4	•••	4.8
9.0	•••	5.4	4.8	•••	1.2	9.5	•••	-8.0
1.2	•••	9.5	-8.0	•••	8.1	-2.7	•••	9.5

W



Unroll the image

column-wise

### This simple layer is a linear classifier

The classifier assign to an input image the class corresponding to the largest score

$$\hat{y}_j = \underset{i=1,\dots,L}{\operatorname{argmax}} \left[ s_j \right]_{i}$$

being  $[s_j]_i$  the i —th component of the vector

$$\mathcal{K}(x) = \mathbf{s} = Wx + \mathbf{b}$$

-8.1 ... 2.7 9.5 ... -9.0 -5.4 ... 4.8

9.0 ... 5.4 4.8 ... 1.2 9.5 ... -8.0 \* ... + 32

1.2 ... 9.5 -8.0 ... 8.1 -2.7 ... 9.5

 $W$ 

34  $\mathcal{K}(x) = \mathbf{s} = Wx + \mathbf{b}$ 

23  $\mathcal{K}(x) = \mathbf{s} = \mathbf{b}$ 

34  $\mathcal{K}(x) = \mathbf{b}$ 
 $\mathcal{K}(x) = \mathbf{s} = \mathbf{b}$ 
 $\mathcal{K}(x) = \mathbf{b}$ 
 $\mathcal{K}(x) = \mathbf{b}$ 

12

34

X

**Rmk:** softmax is not needed as long as we take as output the largest score: this would be the one yielding the largest posterior

### The Parameters of a Linear Classifier

The score of a class is the weighted sum of all the image pixels. Weights are actually the classifier parameters.

#### The weights are:

-8.1	••	2.7	9.5	•••	-9.0	-5.4	•••	4.8
9.0	•••	5.4	4.8	•••	1.2	9.5	•••	-8.0
1.2	•••	9.5	-8.0	•••	8.1	-2.7	•••	9.5

W

-2 32 -1

b

and indicate which are the most important pixels / colours

### Why nonlinear layers?

Each layer in a NN can be seen as matrix multiplication (+ bias).

$$\mathbf{s} = W\mathbf{x} + \mathbf{b}$$

If we stack 3 layers without activations:

$$\mathbf{s} = ((W_1 \mathbf{x} + \mathbf{b}_1) W_2 + \mathbf{b}_2) W_3 + b_3$$

This becomes equivalent to

$$\mathbf{s} = W\mathbf{x} + \mathbf{b}$$

This is a further confirmation why it becomes pointless to stack many layers without including a nonlinear activations...

# Training the Linear Classifier

## Training a Classifier

Given a training set TR and a loss function, define the parameters that minimize the loss function over the whole TR

In case of linear classifier

$$[W,b] = \underset{W \in \mathbb{R}^{L \times d}, b \in \mathbb{R}^L}{\operatorname{argmin}} \sum_{(x_i,y_i) \in TR} \mathcal{L}_{W,b}(x,y_i)$$

Solving this minimization problem provides the weights of our classifier

#### Loss Function

**Loss function:** a function  $\mathcal{L}$  that measures our unhappiness with the score assigned to training images

The loss  $\mathcal{L}$  will be high on a training image that is not correctly classifier, low otherwise.

#### Loss Function Minimization

Loss function can be minimized by gradient descent and all its variants (see Prof. Matteucci classes)

The loss function has to be typically regularized to achieve a unique solution satisfying some desired property

$$[W,b] = \underset{W \in \mathbb{R}^{L \times d}, b \in \mathbb{R}^L}{\operatorname{argmin}} \sum_{(x_i,y_i) \in TR} \mathcal{L}_{W,b}(x,y_i) + \lambda \, \mathcal{R}(W,b)$$

being  $\lambda > 0$  a parameter balancing the two terms

#### ... Once Trained

The training data is used to learn the parameters W,  $\boldsymbol{b}$ 

The classifier is expected to assign to the correct class a score that is larger than that assigned to the incorrect classes.

Once the training is completed, it is possible to discard the whole training set and keep only the learned parameters.

-8.1	•••	2.7	9.5	•••	-9.0	-5.4	•••	4.8
9.0	•••	5.4	4.8	•••	1.2	9.5	•••	-8.0
1.2	•••	9.5	-8.0	•••	8.1	-2.7	•••	9.5

-2 32 -1

W

b

#### Geometric Interpretation of a Linear Classifier

W[i,:] is a d —dimensional vector **containing the weights** of the score function for **the** i-**th class**.

Computing the score function for the i —th class corresponds to computing the inner product (and summing the bias)

$$W[i,:] * \mathbf{x} + b_i$$

Thus, the NN computes the inner products against L different weights vectors, and selects the one yielding the largest score (up to bias correction)

**Rmk:** these "inner product classifiers" operate independently, and the output of the *j*-th row is not influenced weights at a different row

**Rmk:** this would not be the case if the network had hidden layer that would mix the outputs of intermediate layers

### Geometric Interpretation of a Linear Classifier

#### In Python notation:

In Python \* denotes the element-wise product, here I mean the inner product of vectors:

np.inner(W[i,:], x) +  $b_i$ 

#### Geometric Interpretation

Interpret each image as a point in  $\mathbb{R}^d$ .

Each classifier is a weighted sum of pixels, which corresponds to a

linear function in  $\mathbb{R}^d$ 

In  $\mathbb{R}^2$  these would be

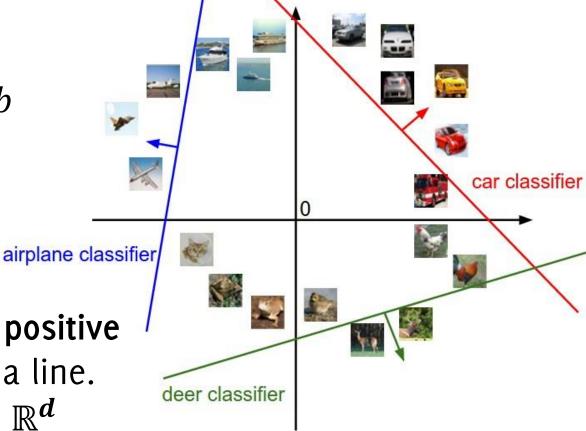
$$f([x_1, x_2]) = w_1 x_1 + w_2 x_2 + b$$

Then, points  $[x_1, x_2]$  yielding

$$f([x_1, x_2]) = 0$$

would be lines.

Thus, in  $\mathbb{R}^2$  the region that separates positive from negative scores for each class is a line. This region becomes an hyperplane in  $\mathbb{R}^d$ 



#### Template Matching Interpretation

#### In Python notation:

- W[i,:] is a d —dimensional vector containing the weights of the score function for the i —th class
- Computing the score function for the i —th class corresponds to computing the inner product

$$W[i,:] * \mathbf{x} + b_i$$

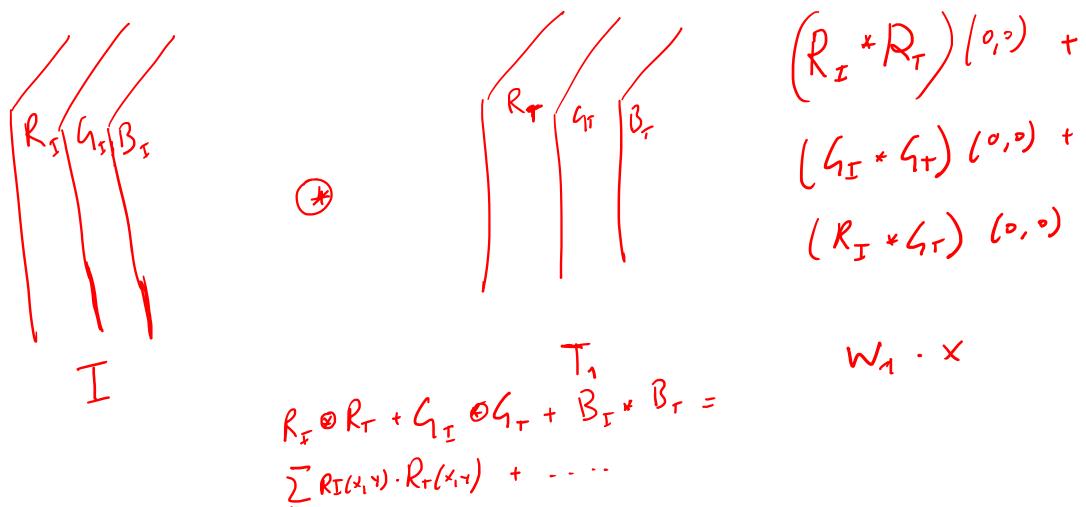
Then, W[i,:] can be seen as a template used in matching (the output of correlation in the central pixel)

The template W(i,:) is learned to match at best images belonging to the i —th class

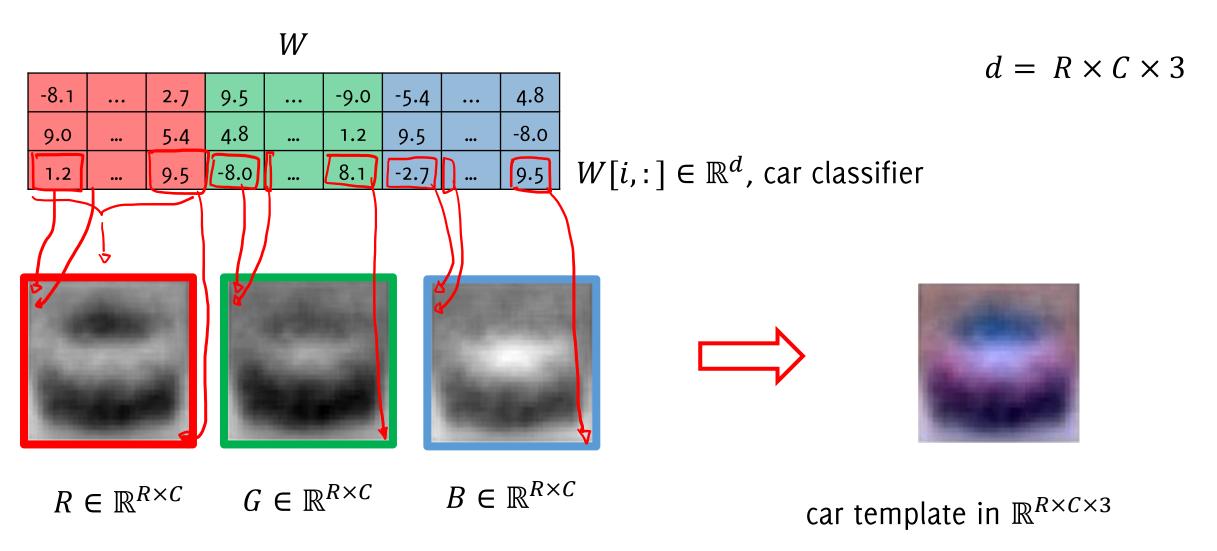
Let's have a look at these templates

#### Correlation between two RGB images

The image and the filter have the same size



# Bring the classifier weights back to images



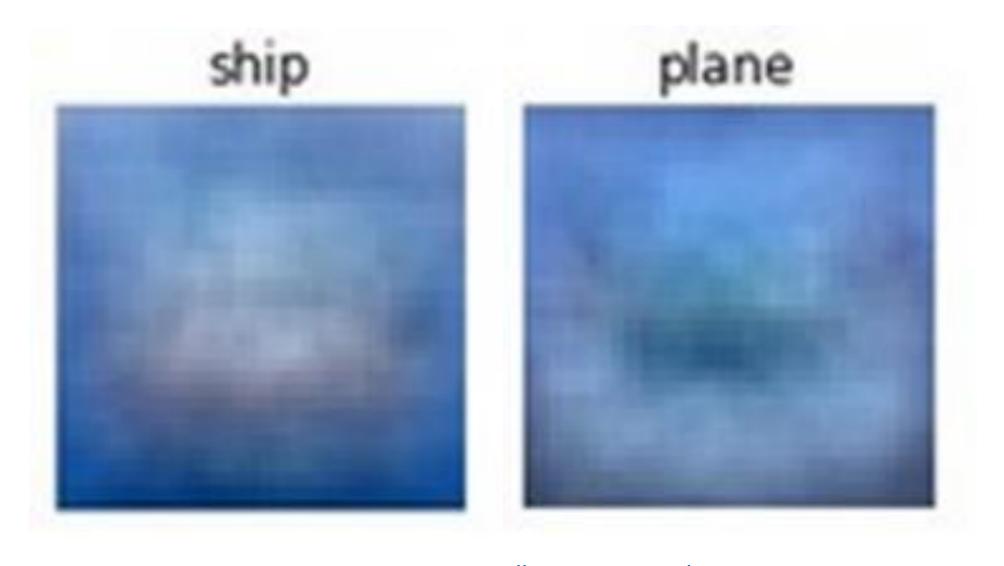


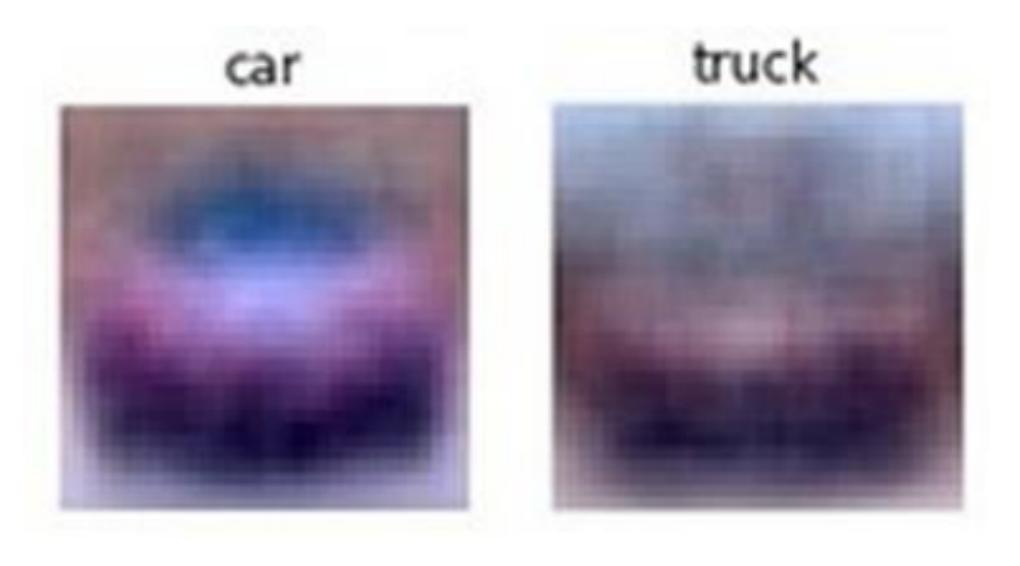
#### The Class Score

The classification score is then computed as the correlation between each input image and the «template» of the coresponding class



$$(I \otimes T_1)(0,0) = \sum_{(x,y) \in U} T_1(x,y) * I(x,y)$$









# Linear Classifier as a Template Matching

What has the classifier learned?

- That the background of bird and frog is green, (plane and boat is blue)
- Cars are typically red
- Horses have two heads!

The model was definitively too simple / data were not enough for achieving higher performance and better templates

#### However:

- Linear Classifiers are among the most important layer of NN
- Such a simple model can be interpreted (with more sophisticated models you typically can't)

# Linear Classifier as a Template Matching

What has the classifier learned?

- That the background of bird and frog is gradule and boat is blue)
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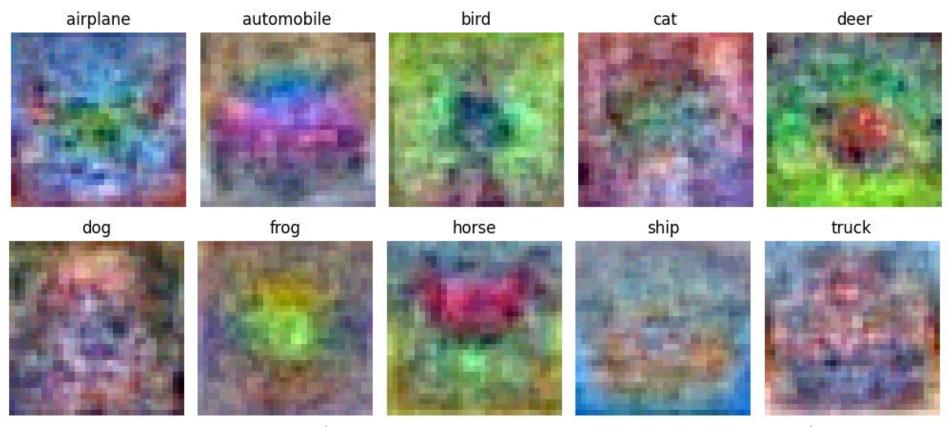
The model was definitively to data were not enough for achieving higher performance and to take the latest achieving the model was definitively to the latest achieving the model was definitively to the latest achieving the model was definitively to the latest achieving the latest a

#### However:

- Linear Classifiers are ng the most important layer of NN
- Such a simple model can be interpreted (with more sophisticated models you typically can't)

#### Do it yourself!

https://colab.research.google.com/drive/1kflPH3CDgnvk1JptUoCbp2LK-owoh6R3?usp=sharing



Credits Eugenio Lomurno! (visualization with clipped colors)